

## LEONARD PAIRS FROM THE EQUITABLE BASIS OF $sl_2^*$

HASAN ALNAJJAR<sup>†</sup> AND BRIAN CURTIN<sup>‡</sup>

**Abstract.** We construct Leonard pairs from finite-dimensional irreducible  $sl_2$ -modules, using the equitable basis for  $sl_2$ . We show that our construction yields all Leonard pairs of Racah, Hahn, dual Hahn, and Krawtchouk type, and no other types of Leonard pairs.

**Key words.** Lie algebra, Racah polynomials, Hahn polynomials, Dual Hahn polynomials, Krawtchouk polynomials.

**AMS subject classifications.** 17B10, 05E35, 33C45.

**1. Introduction.** In this paper, we construct Leonard pairs from each finite-dimensional irreducible  $sl_2$ -module. We show that this construction yields all Leonard pairs of Racah, Hahn, dual Hahn, and Krawtchouk type, and no other types of Leonard pairs.

Leonard pairs were introduced by P. Terwilliger [9] to abstract Bannai and Ito's [1] algebraic approach to a result of D. Leonard concerning the sequences of orthogonal polynomials with finite support for which the dual sequence of polynomials is also a sequence of orthogonal polynomials [7, 8]. These polynomials arise in connection with the finite-dimensional representations of certain Lie algebras and quantum groups, so one expects Leonard pairs to arise as well. Leonard pairs of Krawtchouk type have been constructed from finite-dimensional irreducible  $sl_2$ -modules [12]. In this paper, we give a more general construction based upon the equitable basis for  $sl_2$  [2, 5]. The equitable basis of  $sl_2$  arose in the study of the Tetrahedron algebra and the 3-point loop algebra of  $sl_2$  [3]–[5]. These references consider the modules of these algebras and their connections with a generalization of Leonard pairs called tridiagonal. Here, we consider only Leonard pairs and  $sl_2$ , which has not been considered elsewhere.

**2. Leonard pairs.** We recall some facts concerning Leonard pairs; see [10]–[14] for more details. Fix an integer  $d \geq 1$ . Throughout this paper  $\mathcal{F}$  shall denote a field whose characteristic is either zero or an odd prime greater than  $d$ . Also,  $V$  shall denote an  $\mathcal{F}$ -vector space of dimension  $d + 1$ , and  $\text{End}(V)$  shall denote the  $\mathcal{F}$ -algebra

---

\*Received by the editors October 5, 2009. Accepted for publication July 13, 2010. Handling Editor: Hans Schneider.

<sup>†</sup>Department of Mathematics, University of Jordan, Amman 11942, Jordan (h.najjar@ju.edu.jo).

<sup>‡</sup>Department of Mathematics and Statistics, University of South Florida, 4202 E. Fowler Ave. PHY114, Tampa, FL 33620, USA (bcurtin@math.usf.edu).

of linear transformations from  $V$  to  $V$ . In addition,  $\mathcal{F}^{d+1}$  shall denote the vector space over  $\mathcal{F}$  consisting of column vectors of length  $d+1$ , and  $\text{Mat}_{d+1}(\mathcal{F})$  shall denote the  $\mathcal{F}$ -algebra of  $(d+1) \times (d+1)$  matrices with entries in  $\mathcal{F}$  having rows and columns indexed by  $0, 1, \dots, d$ . Observe that  $\text{Mat}_{d+1}(\mathcal{F})$  acts on  $\mathcal{F}^{d+1}$  by left multiplication.

A square matrix is said to be *tridiagonal* whenever every nonzero entry appears on, immediately above, or immediately below the main diagonal. A tridiagonal matrix is said to be *irreducible* whenever all entries immediately above and below the main diagonal are nonzero. A square matrix is said to be *upper* (resp., *lower*) *bidagonal* whenever every nonzero entry appears on or immediately above (resp., below) the main diagonal.

DEFINITION 2.1. By a *Leonard pair* on  $V$ , we mean an ordered pair  $A, A^*$  of elements from  $\text{End}(V)$  such that (i) there exists a basis of  $V$  with respect to which the matrix representing  $A$  is diagonal and the matrix representing  $A^*$  is irreducible tridiagonal; and (ii) there exists a basis of  $V$  with respect to which the matrix representing  $A^*$  is diagonal and the matrix representing  $A$  is irreducible tridiagonal.

An element of  $\text{End}(V)$  is *multiplicity-free* when it has  $d+1$  mutually distinct eigenvalues in  $\mathcal{F}$ . Let  $A \in \text{End}(V)$  denote a multiplicity-free linear transformation. Let  $\theta_0, \theta_1, \dots, \theta_d$  denote an ordering of the eigenvalues of  $A$ , and for  $0 \leq i \leq d$ , set

$$(2.1) \quad E_i = \prod_{\substack{0 \leq j \leq d \\ j \neq i}} \frac{A - \theta_j I}{\theta_i - \theta_j},$$

where  $I$  denotes the identity map on  $V$ . By elementary linear algebra,  $AE_i = E_i A = \theta_i E_i$  ( $0 \leq i \leq d$ ),  $E_i E_j = \delta_{ij} E_i$  ( $0 \leq i, j \leq d$ ), and  $\sum_{i=0}^d E_i = I$ . It follows that  $E_0, E_1, \dots, E_d$  is a basis for the subalgebra of  $\text{End}(V)$  generated by  $A$ . We refer to  $E_i$  as the *primitive idempotent* of  $A$  associated with  $\theta_i$ . Observe that  $V = E_0 V + E_1 V + \dots + E_d V$  (direct sum). For  $0 \leq i \leq d$ ,  $E_i V$  is the (one-dimensional) eigenspace of  $A$  in  $V$  associated with the eigenvalue  $\theta_i$ , and  $E_i$  acts on  $V$  as the projection onto this eigenspace.

DEFINITION 2.2. [10] By a *Leonard system* on  $V$ , we mean a sequence of the form  $(A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d)$  of elements of  $\text{End}(V)$  that satisfies (i)–(v) below.

- (i)  $A$  and  $A^*$  are multiplicity-free.
- (ii)  $E_0, E_1, \dots, E_d$  is an ordering of the primitive idempotents of  $A$ .
- (iii)  $E_0^*, E_1^*, \dots, E_d^*$  is an ordering of the primitive idempotents of  $A^*$ .
- (iv)  $E_i A^* E_j = \begin{cases} 0 & \text{if } |i-j| > 1, \\ \neq 0 & \text{if } |i-j| = 1 \end{cases} \quad (0 \leq i, j \leq d).$
- (v)  $E_i^* A E_j^* = \begin{cases} 0 & \text{if } |i-j| > 1, \\ \neq 0 & \text{if } |i-j| = 1 \end{cases} \quad (0 \leq i, j \leq d).$

We recall the relationship between Leonard systems and Leonard pairs. Suppose

$(A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d)$  is a Leonard system on  $V$ . For  $0 \leq i \leq d$ , pick any nonzero vectors  $v_i \in E_i V$  and  $v_i^* \in E_i^* V$ . Then the sequence  $\{v_i\}_{i=0}^d$  (resp.,  $\{v_i^*\}_{i=0}^d$ ) is a basis for  $V$  which satisfies condition (i) (resp., condition (ii)) of Definition 2.1. Thus,  $A, A^*$  is a Leonard pair. Conversely, suppose  $A, A^*$  is a Leonard pair on  $V$ . By [10, Lemma 1.3], each of  $A$  and  $A^*$  is multiplicity-free. Let  $\{v_i\}_{i=0}^d$  (resp.,  $\{v_i^*\}_{i=0}^d$ ) be a basis of  $V$  which witnesses condition (i) (resp., condition (ii)) of Definition 2.1. For  $0 \leq i \leq d$ ,  $v_i$  (resp.,  $v_i^*$ ) is an eigenvector of  $A$  (resp.,  $A^*$ ); let  $E_i$  (resp.,  $E_i^*$ ) denote the corresponding primitive idempotent of  $A$  (resp.,  $A^*$ ). Then  $(A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d)$  is a Leonard system on  $V$ .

Suppose  $A, A^*$  is a Leonard pair on  $V$ , and suppose  $(A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d)$  is an associated Leonard system. Then the only other Leonard systems associated with  $A, A^*$  are  $(A; \{E_i\}_{i=0}^d; A^*; \{E_{d-i}^*\}_{i=0}^d)$ ,  $(A; \{E_{d-i}\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d)$ , and  $(A; \{E_{d-i}\}_{i=0}^d; A^*; \{E_{d-i}^*\}_{i=0}^d)$ . Since  $d \geq 1$ , these four Leonard systems are distinct, so there is a one-to-four correspondence between Leonard pairs and Leonard systems here.

We recall the equivalence of Leonard systems and parameter arrays.

DEFINITION 2.3. [10] By a *parameter array* over  $\mathcal{F}$  of diameter  $d$ , we mean a sequence of scalars  $(\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d, \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  taken from  $\mathcal{F}$  that satisfy the following conditions:

$$(2.2) \quad \theta_i \neq \theta_k \quad (0 \leq i < k \leq d),$$

$$(2.3) \quad \theta_i^* \neq \theta_k^* \quad (0 \leq i < k \leq d),$$

$$(2.4) \quad \varphi_j \neq 0 \quad (1 \leq j \leq d),$$

$$(2.5) \quad \phi_j \neq 0 \quad (1 \leq j \leq d),$$

$$(2.6) \quad \varphi_j = \varphi_1 \sum_{h=0}^{j-1} \frac{\theta_h - \theta_{d-h}}{\theta_0 - \theta_d} + (\theta_j^* - \theta_0^*)(\theta_{j-1} - \theta_d) \quad (1 \leq j \leq d),$$

$$(2.7) \quad \phi_j = \varphi_1 \sum_{h=0}^{j-1} \frac{\theta_h - \theta_{d-h}}{\theta_0 - \theta_d} + (\theta_j^* - \theta_0^*)(\theta_{d-j+1} - \theta_0) \quad (1 \leq j \leq d),$$

$$(2.8) \quad \frac{\theta_{i-2} - \theta_{i+1}}{\theta_{i-1} - \theta_i} = \frac{\theta_{k-2}^* - \theta_{k+1}^*}{\theta_{k-1}^* - \theta_k^*} \quad (2 \leq i, k \leq d-1).$$

DEFINITION 2.4. Let  $(A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d)$  be a Leonard system on  $V$ . For each  $i$  ( $0 \leq i \leq d$ ), let  $\theta_i$  be the eigenvalue of  $A$  associated with  $E_i$ . We refer to  $\{\theta_i\}_{i=0}^d$  as an *eigenvalue sequence* of  $A$ . For each  $i$  ( $0 \leq i \leq d$ ), let  $\theta_i^*$  be the eigenvalue of  $A^*$  associated with  $E_i^*$ . We refer to  $\{\theta_i^*\}_{i=0}^d$  as an *eigenvalue sequence* of  $A^*$ .

THEOREM 2.5. [11] Let  $\Phi = (A; \{E_i\}_{i=0}^d; A^*; \{E_i^*\}_{i=0}^d)$  be a Leonard system on

*V. Let  $\{\theta_i\}_{i=0}^d$  and  $\{\theta_i^*\}_{i=0}^d$  denote the respective eigenvalue sequences for  $A$  and  $A^*$ . Fix a nonzero vector  $v \in E_0^*V$ .*

- (i) *For  $0 \leq i \leq d$ , define a vector  $\omega_i = (A - \theta_{i-1}I) \cdots (A - \theta_1I)(A - \theta_0I)v$ . Then  $\{\omega_i\}_{i=0}^d$  is a basis for  $V$  with action*

$$\begin{aligned} A\omega_i &= \theta_i\omega_i + \omega_{i+1} \quad (0 \leq i \leq d-1), & A\omega_d &= \theta_d\omega_d \\ A^*\omega_0 &= \theta_0^*, & A^*\omega_i &= \varphi_i\omega_{i-1} + \theta_i^*\omega_i \quad (1 \leq i \leq d) \end{aligned}$$

*for some sequence of nonzero scalars  $\{\varphi_j\}_{j=1}^d$  from  $\mathcal{F}$ , which we refer to as the first split sequence of  $\Phi$ .*

- (ii) *For  $0 \leq i \leq d$ , define a vector  $w_i = (A - \theta_{d-i+1}I) \cdots (A - \theta_{d-1}I)(A - \theta_dI)v$ . Then  $\{w_i\}_{i=0}^d$  is a basis for  $V$  with action*

$$\begin{aligned} Aw_i &= \theta_{d-i}w_i + w_{i+1} \quad (0 \leq i \leq d-1), & Aw_d &= \theta_0w_d \\ A^*w_0 &= \theta_0^*, & A^*w_i &= \phi_iw_{i-1} + \theta_i^*w_i \quad (1 \leq i \leq d) \end{aligned}$$

*for some sequence of nonzero scalars  $\{\phi_j\}_{j=1}^d$  from  $\mathcal{F}$ , which we refer to as the second split sequence of  $\Phi$ .*

- (iii) *The sequence  $(\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  is a parameter array, which we refer to as the parameter array of  $\Phi$ .*

We say that a parameter array is *associated* with a Leonard pair whenever it is the parameter array of any associated Leonard system. Observe that with respect to the basis  $\{\omega_i\}_{i=0}^d$  from Theorem 2.5, the matrices representing  $A$  and  $A^*$  are respectively lower bidiagonal and upper bidiagonal.

**THEOREM 2.6.** [10] *Let  $B \in \text{Mat}_{d+1}(\mathcal{F})$  be lower bidiagonal, and let  $B^* \in \text{Mat}_{d+1}(\mathcal{F})$  be upper bidiagonal. Then the following are equivalent:*

- (i) *The pair  $B, B^*$  is a Leonard pair on  $\mathcal{F}^{d+1}$ .*  
 (ii) *There exists a parameter array  $(\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  over  $\mathcal{F}$  such that*

$$\begin{aligned} B(i, i) &= \theta_i, & B^*(i, i) &= \theta_i^* \quad (0 \leq i \leq d), \\ B(j, j-1)B^*(j-1, j) &= \varphi_j \quad (1 \leq j \leq d). \end{aligned}$$

*When (i), (ii) hold,  $B, B^*$  and  $(\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  are associated.*

Theorem 2.5 constructs a parameter array from any Leonard system. Theorem 2.6 implies that certain matrices with entries taken from a parameter array form a Leonard pair on  $\mathcal{F}^{d+1}$  associated with the parameter array. The first two subsequences of the parameter array are the eigenvalue sequences, so (2.1) yields the primitive idempotents of an associated Leonard system. Any Leonard systems with the same parameter array are isomorphic since they have the same action by Theorem 2.5.

That is to say, there is a one-to-one correspondence between parameter arrays and isomorphism classes of associated Leonard systems. In light of the discussion following Definition 2.2, there is a one-to-four correspondence between associated Leonard pairs and parameter arrays.

**3. Parameter arrays of classical type.** In [14], parameter arrays are classified into 13 families, each named for certain associated sequences of orthogonal polynomials. The four families which arise in this paper share a common property. Given a parameter array, let  $\beta$  be the common value of (2.8) minus one if  $d \geq 3$ , and let  $\beta$  be any scalar in  $\mathcal{F}$  if  $d \leq 2$ .

DEFINITION 3.1. A parameter array is of *classical type* whenever  $\beta = 2$ .

We shall show that only the four classical families arise from  $sl_2$  via the construction of this paper. The following results characterize these types.

THEOREM 3.2. [14, Example 5.10] Fix nonzero  $h, h^* \in \mathcal{F}$  and  $s, s^*, r_1, r_2, \theta_0, \theta_0^* \in \mathcal{F}$  such that  $r_1 + r_2 = s + s^* + d + 1$  and none of  $r_1, r_2, s^* - r_1, s^* - r_2$  is equal to  $-j$  for  $1 \leq j \leq d$  and that neither of  $s, s^*$  is equal to  $-i$  for  $2 \leq i \leq 2d$ . Let

$$\begin{aligned}\theta_i &= \theta_0 + hi(i + 1 + s) \quad (0 \leq i \leq d), \\ \theta_i^* &= \theta_0^* + h^*i(i + 1 + s^*) \quad (0 \leq i \leq d), \\ \varphi_j &= hh^*j(j - d - 1)(j + r_1)(j + r_2) \quad (1 \leq j \leq d), \\ \phi_j &= hh^*j(j - d - 1)(j + s^* - r_1)(j + s^* - r_2) \quad (1 \leq j \leq d).\end{aligned}$$

Then  $\Phi = (\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  is a parameter array; we say that it is of Racah type. We refer to the scalars  $r_1, r_2, s, s^*, h, h^*, \theta_0, \theta_0^*$  as hypergeometric parameters for  $\Phi$ .

THEOREM 3.3. [14, Example 5.11] Fix nonzero  $s, h^* \in \mathcal{F}$  and  $s^*, r, \theta_0, \theta_0^* \in \mathcal{F}$  such that neither of  $r, s^* - r$  is equal to  $-j$  for  $1 \leq j \leq d$  and that  $s^*$  is not equal  $-i$  for  $2 \leq i \leq 2d$ . Let

$$\begin{aligned}\theta_i &= \theta_0 + si \quad (0 \leq i \leq d), \\ \theta_i^* &= \theta_0^* + h^*i(i + 1 + s^*) \quad (0 \leq i \leq d), \\ \varphi_j &= h^*sj(j - d - 1)(j + r) \quad (1 \leq j \leq d), \\ \phi_j &= -h^*sj(j - d - 1)(j + s^* - r) \quad (1 \leq j \leq d).\end{aligned}$$

Then  $\Phi = (\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  is a parameter array; we say that it is of Hahn type. We refer to the scalars  $r, s, s^*, h^*, \theta_0, \theta_0^*$  as hypergeometric parameters for  $\Phi$ .

THEOREM 3.4. [14, Example 5.12] Fix nonzero  $h, s^* \in \mathcal{F}$  and  $s, r, \theta_0, \theta_0^* \in \mathcal{F}$  such that neither of  $r, s - r$  is equal to  $-j$  for  $1 \leq j \leq d$ , and that  $s$  is not equal  $-i$

for  $2 \leq i \leq 2d$ . Let

$$\begin{aligned}\theta_i &= \theta_0 + hi(i+1+s) \quad (0 \leq i \leq d), \\ \theta_i^* &= \theta_0^* + s^*i \quad (0 \leq i \leq d), \\ \varphi_j &= hs^*j(j-d-1)(j+r) \quad (1 \leq j \leq d), \\ \phi_j &= hs^*j(j-d-1)(j+r-s-d-1) \quad (1 \leq j \leq d).\end{aligned}$$

Then  $\Phi = (\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  is a parameter array; we say that it is of dual Hahn type. We refer to the scalars  $r, s, s^*, h, \theta_0, \theta_0^*$  as hypergeometric parameters of  $\Phi$ .

THEOREM 3.5. [14, Example 5.13] Fix nonzero  $r, s, s^* \in \mathcal{F}$  and  $\theta_0, \theta_0^* \in \mathcal{F}$  such that  $r \neq ss^*$ . Let

$$\begin{aligned}\theta_i &= \theta_0 + si \quad (0 \leq i \leq d), \\ \theta_i^* &= \theta_0^* + s^*i \quad (0 \leq i \leq d), \\ \varphi_j &= rj(j-d-1) \quad (1 \leq j \leq d), \\ \phi_j &= (r - ss^*)j(j-d-1) \quad (1 \leq j \leq d).\end{aligned}$$

Then  $\Phi = (\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  is a parameter array; we say that it is of Krawtchouk type. We refer to the scalars  $r, s, s^*, \theta_0, \theta_0^*$  as hypergeometric parameters of  $\Phi$ .

THEOREM 3.6. [14] A parameter array is of classical type if and only if it is of Racah, Hahn, dual Hahn, or Krawtchouk type.

The parameter arrays of classical type are not distinct when  $d = 1$ ; it is customary to define the type to be Krawtchouk in this case. If  $d \geq 2$ , then the parameter arrays of classical type are distinguished by their eigenvalue sequences. Indeed, one need only determine which eigenvalue sequences are linear and which are quadratic in their subscript.

Given a parameter array, all associated Leonard pairs and Leonard systems are said to be of the same type as the parameter array. Assume  $d \geq 3$ . Then  $\beta$  is the same in all four parameter arrays associated with a given Leonard pair; in particular, the type of a Leonard pair is well-defined.

Each set of hypergeometric parameters uniquely determines a parameter array. Suppose  $d \geq 2$ . Then each parameter array of Hahn, dual Hahn, and Krawtchouk type has a unique set of hypergeometric parameters. Swapping hypergeometric parameters  $r_1$  and  $r_2$  in Theorem 3.2 (Racah type) gives a sequence of hypergeometric parameters for the same parameter array (it might be the case that  $r_1 = r_2$ ).

**4. The Lie algebra  $sl_2$ .** In this section, we recall some facts concerning the Lie algebra  $sl_2$ .

DEFINITION 4.1. [6] The Lie algebra  $sl_2$  is the Lie algebra over  $\mathcal{F}$  that has a basis  $e, f, h$  satisfying the following conditions:

$$[h, e] = 2e, \quad [h, f] = -2f, \quad [e, f] = h,$$

where  $[-, -]$  denotes the Lie bracket.

LEMMA 4.2. [5] *With reference to Definition 4.1, let*

$$x = 2e - h, \quad y = -2f - h, \quad z = h.$$

*Then  $x, y, z$  is a basis for  $sl_2$ , and*

$$[x, y] = 2x + 2y, \quad [y, z] = 2y + 2z, \quad [z, x] = 2z + 2x.$$

We call  $x, y, z$  the *equitable basis* for the Lie algebra  $sl_2$ .

Observe that the map  $x \mapsto y \mapsto z \mapsto x$  defines an automorphism of  $sl_2$ . Thus, for simplicity, we shall state all results for  $x, y, z$  with the understanding that they are readily extended by applying any cyclic shift to the equitable basis.

LEMMA 4.3. [6] *There is a finite-dimensional irreducible  $sl_2$ -module  $V_d$  with basis  $v_0, v_1, \dots, v_d$  and action  $hv_i = (d-2i)v_i$  ( $0 \leq i \leq d$ ),  $fv_i = (i+1)v_{i+1}$  ( $0 \leq i \leq d-1$ ),  $fv_d = 0$ ,  $ev_0 = 0$ ,  $ev_i = (d-i+1)v_{i-1}$  ( $1 \leq i \leq d$ ). Moreover, up to isomorphism,  $V_d$  is the unique irreducible  $sl_2$ -module of dimension  $d+1$ .*

LEMMA 4.4. [5] *With reference to Lemmas 4.2 and 4.3,*

$$\begin{aligned} (x + dI)v_0 &= 0, & (x + (d-2i)I)v_i &= 2(d-i+1)v_{i-1} \quad (1 \leq i \leq d), \\ (y + (d-2i)I)v_i &= -2(i+1)v_{i+1} \quad (0 \leq i \leq d-1), & (y - dI)v_d &= 0, \\ (z - (d-2i)I)v_i &= 0 \quad (0 \leq i \leq d). \end{aligned}$$

**5. A pair of linear operators.** Let  $U(sl_2)$  denote the universal enveloping algebra of  $sl_2$ , that is, the associative  $\mathcal{F}$ -algebra with generators  $e, f, h$  and relations  $[h, e] = 2e$ ,  $[h, f] = -2f$ ,  $[e, f] = h$ , where  $[a, b] = ab - ba$  is commutator of  $a$  and  $b$ .

DEFINITION 5.1. Let  $A \in U(sl_2)$  denote an arbitrary linear combination of  $1, y, z$ , and  $yz$ , and let  $A^* \in U(sl_2)$  denote an arbitrary linear combination of  $1, z, x$ , and  $zx$ . Write

$$A = \kappa 1 + \lambda y + \mu z + \nu yz, \quad A^* = \kappa^* 1 + \lambda^* z + \mu^* x + \nu^* zx.$$

Our goal is to characterize when  $A$  and  $A^*$  act on  $V_d$  as a Leonard pair. In this section, we show that this is the case if and only if the following sequences of scalars form a parameter array.

DEFINITION 5.2. With reference to Definition 5.1, define

$$\begin{aligned}\theta_i &= \kappa - (\lambda - \mu)(d - 2i) - (d - 2i)^2\nu \quad (0 \leq i \leq d), \\ \theta_i^* &= \kappa^* + (\lambda^* - \mu^*)(d - 2i) - (d - 2i)^2\nu^* \quad (0 \leq i \leq d), \\ \varphi_j &= -4j(d - j + 1)(\lambda + (d - 2(j - 1))\nu)(\mu^* + (d - 2(j - 1))\nu^*) \quad (1 \leq j \leq d), \\ \phi_j &= 4j(d - j + 1)((\lambda + d\nu)(\mu^* + d\nu^*) \\ &\quad + (\lambda - \mu + 2(j - 1)\nu)(\lambda^* - \mu^* - 2(d - j)\nu^*)) \quad (1 \leq j \leq d).\end{aligned}$$

LEMMA 5.3. The pair  $A, A^*$  of Definition 5.1 act on the  $sl_2$ -module  $V_d$  as follows. Referring to the basis  $\{v_i\}_{i=0}^d$  of Lemma 4.3,

$$\begin{aligned}Av_i &= \theta_i v_i + \sigma_i v_{i+1} \quad (0 \leq i \leq d - 1), \quad Av_d = \theta_d v_d, \\ A^*v_0 &= \theta_0^* v_0, \quad A^*v_i = \tau_i^* v_{i-1} + \theta_i^* v_i \quad (1 \leq i \leq d),\end{aligned}$$

where  $\{\theta_i\}_{i=0}^d$  and  $\{\theta_i^*\}_{i=0}^d$  are as in Definition 5.2 and where

$$\begin{aligned}\sigma_i &= -2(i + 1)(\lambda + (d - 2i)\nu) \quad (0 \leq i \leq d - 1), \\ \tau_i^* &= 2(d - i + 1)(\mu^* + (d - 2(i - 1))\nu^*) \quad (1 \leq i \leq d).\end{aligned}$$

*Proof.* Straightforward from Lemma 4.4.  $\square$

LEMMA 5.4. With reference to Definition 5.2 and Lemma 5.3,

$$(5.1) \quad \varphi_j = \sigma_{j-1} \tau_j^* \quad (1 \leq j \leq d).$$

*Proof.* Straightforward.  $\square$

THEOREM 5.5. The pair  $A, A^*$  of Definition 5.1 acts on the  $sl_2$ -module  $V_d$  as a Leonard pair if and only if the sequence of scalars  $(\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  from Definition 5.2 forms a parameter array, in which case they are associated.

*Proof.* Let  $B$  and  $B^*$  denote the respective matrices representing  $A$  and  $A^*$  with respect to the basis  $\{v_i\}_{i=0}^d$  of Lemma 4.3. This defines an  $\mathcal{F}$ -algebra isomorphism from  $\text{End}(V)$  to  $\text{Mat}_{d+1}(\mathcal{F})$ , so  $A, A^*$  act on  $V_d$  as a Leonard pair if and only if  $B,$



$B^*$  is a Leonard pair on  $\mathcal{F}^{d+1}$ . By Lemma 5.3,  $B$  is lower bidiagonal and  $B^*$  is upper bidiagonal with  $B(i, i) = \theta_i$ ,  $B^*(i, i) = \theta_i^*$  ( $0 \leq i \leq d$ ) and  $B(j, j-1)B^*(j-1, j) = \sigma_{j-1}\tau_j^*$  ( $1 \leq j \leq d$ ). Recall that  $\varphi_j = \sigma_{j-1}\tau_j^*$  ( $1 \leq j \leq d$ ) by (5.1).

Suppose  $(\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  is a parameter array. Then  $B, B^*$  is a Leonard pair by Theorem 2.6. The same theorem also implies that this parameter array is associated with  $B, B^*$ .

Now suppose  $B, B^*$  is a Leonard pair. Then by Theorem 2.6, there is an associated parameter array  $(\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j'\}_{j=1}^d)$  for some scalars  $\{\phi_j'\}_{j=1}^d$ . It remains to verify that  $\phi_j = \phi_j'$  ( $1 \leq j \leq d$ ). Because it is part of a parameter array,  $\phi_j'$  is given by the right-hand side of (2.7) (which is well-defined since the  $\theta_i$  are distinct). Simplifying  $\phi_j'$  verifies that  $\phi_j = \phi_j'$  ( $1 \leq j \leq d$ ). This calculation will appear with more detail in the next section.  $\square$

**6. The associated parameter array.** In this section, we characterize when the scalars  $(\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  of Definition 5.2 form a parameter array. The first two conditions of Definition 5.2 (equations (2.2) and (2.3)) require that the eigenvalue sequences consist of distinct elements, so we make a preliminary calculation.

LEMMA 6.1. *With reference to Definition 5.2,*

$$(6.1) \quad \theta_i - \theta_k = 2(i-k)(\lambda - \mu + 2(d-i-k)\nu) \quad (0 \leq i, k \leq d),$$

$$(6.2) \quad \theta_i^* - \theta_k^* = 2(k-i)(\lambda^* - \mu^* - 2(d-i-k)\nu^*) \quad (0 \leq i, k \leq d).$$

*Proof.* Clear from the definition of the  $\theta_i$  and  $\theta_i^*$ .  $\square$

LEMMA 6.2. *With reference to Definition 5.2, the following hold:*

(i) *Equation (2.2) holds if and only if*

$$(6.3) \quad \lambda - \mu + 2(d-\ell)\nu \neq 0 \quad (1 \leq \ell \leq 2d-1).$$

(ii) *Equation (2.3) holds if and only if*

$$(6.4) \quad \lambda^* - \mu^* + 2(d-\ell)\nu^* \neq 0 \quad (1 \leq \ell \leq 2d-1).$$

*Proof.* Here  $i \neq k$ , so  $2(i-k) \neq 0$ . Also,  $\ell = i+k$  is 1, 2, ..., or  $2d-1$ . The result follows from (6.1) and (6.2).  $\square$

The second pair of conditions of Definition 5.2 (equations (2.4) and (2.5)) require that the split sequences be nonzero.

LEMMA 6.3. *With reference to Definition 5.2, equation (2.4) holds if and only if both*

$$(6.5) \quad \lambda - (d - 2j)\nu \neq 0 \quad (1 \leq j \leq d)$$

and

$$(6.6) \quad \mu^* - (d - 2j)\nu^* \neq 0 \quad (1 \leq j \leq d).$$

*Proof.* Recall that  $\varphi_j = \sigma_{j-1}\tau_j^*$  ( $1 \leq j \leq d$ ) by (5.1). Discard the nonzero factors in the expressions for  $\sigma_{j-1}$  and  $\tau_j^*$  of Lemma 5.3, and then reverse and shift the indices. This gives that  $\sigma_{j-1} \neq 0$  ( $1 \leq j \leq d$ ) if and only if (6.5) holds and that  $\tau_j^* \neq 0$  ( $1 \leq j \leq d$ ) if and only if (6.6) holds. The result follows.  $\square$

LEMMA 6.4. *With reference to Definition 5.2, equation (2.5) holds if and only if*

$$(6.7) \quad (\lambda + d\nu)(\mu^* + d\nu^*) \neq -(\lambda - \mu + 2(j-1)\nu)(\lambda^* - \mu^* - 2(d-j)\nu^*) \quad (1 \leq j \leq d).$$

*Proof.* Clear from the definition of  $\phi_j$ .  $\square$

The next pair of conditions of Definition 5.2 (equations (2.6) and (2.7)) relate the eigenvalue and split sequences.

LEMMA 6.5. *With reference to Definition 5.2, suppose  $\theta_0 \neq \theta_d$ . Then*

$$(6.8) \quad \sum_{h=0}^{i-1} \frac{\theta_h - \theta_{d-h}}{\theta_0 - \theta_d} = \frac{i(d-i+1)}{d} \quad (1 \leq i \leq d).$$

LEMMA 6.6. *With reference to Definition 5.2, suppose  $\theta_0 \neq \theta_d$ .*

- (i) *If  $d = 1$ , then equation (2.6) holds.*
- (ii) *Suppose that  $d \geq 2$ . Then equation (2.6) holds if and only if*

$$(6.9) \quad \lambda^*\nu + \mu\nu^* + 2\nu\nu^* = 0.$$

*Proof.* For  $1 \leq j \leq d$ , let  $\varphi'_j$  denote the right-hand side of equation (2.6). Simplifying  $\varphi'_j$  with (6.8) and expanding with Definition 5.2 gives

$$\begin{aligned} \varphi'_j = & -4j(d-j+1)((\lambda - \mu)(\lambda^* - \mu^* - 2(d-1)\nu^*) \\ & + (\lambda + d\nu)(\mu^* + d\nu^*) - (\lambda - \mu - 2(j-1)\nu)(\lambda^* - \mu^* - 2(d-j)\nu^*)). \end{aligned}$$

Now  $\varphi_j - \varphi'_j = -8j(d-j+1)(\lambda^*\nu + \mu\nu^* + 2\nu\nu^*)$  ( $1 \leq j \leq d$ ). If  $d = 1$ , the term  $(d-j+1)$  is zero for  $1 \leq j \leq d = 1$ . If  $d \geq 2$ ,  $\varphi_j = \varphi'_j$  for  $1 \leq j \leq d$  if and only if (6.9) holds.  $\square$

LEMMA 6.7. *With reference to Definition 5.2, suppose  $\theta_0 \neq \theta_d$ . Then equation (2.7) holds.*

*Proof.* Simplify the right-hand side of (2.7) with (6.8) to verify the equality.  $\square$

The final condition of Definition 5.2 (equation (2.8)) requires that a certain expression involving the eigenvalue sequences be equal and independent of the subscript.

LEMMA 6.8. *With reference to Definition 5.2, assume that both sets of equivalent conditions in Lemma 6.2 hold. Then  $\beta = 2$ . That is to say, equation (2.8) holds with*

$$(6.10) \quad \frac{\theta_{i-2} - \theta_{i+1}}{\theta_{i-1} - \theta_i} = \frac{\theta_{i-2}^* - \theta_{i+1}^*}{\theta_{i-1}^* - \theta_i^*} = 3 \quad (2 \leq i \leq d-1).$$

*Proof.* Straightforward.  $\square$

The results of this section give the following.

THEOREM 6.9. *With reference to Definition 5.2, assume  $d \geq 2$ . Then  $(\{\theta_i\}_{i=0}^d, \{\theta_i^*\}_{i=0}^d; \{\varphi_j\}_{j=1}^d, \{\phi_j\}_{j=1}^d)$  is a parameter array if and only if equations (6.3), (6.4), (6.5), (6.6), (6.7), and (6.9) hold.*

*Proof.* By Lemma 6.2, (2.2) holds if and only if (6.3) holds, and (2.3) holds if and only if (6.4) holds. By Lemma 6.3, (2.4) holds if and only if (6.5) and (6.6) hold. By Lemma 6.4, (2.5) holds if and only if (6.7) holds. Assume that the equivalent conditions of Lemma 6.2(i) hold. Then by Lemma 6.7, (2.6) holds. By Lemma 6.6, (2.7) holds if and only if (6.9) holds. Finally, (2.8) holds by (6.10). The result follows by Definition 2.3.  $\square$

Together, Theorems 5.5 and 6.9 give our main result concerning  $A, A^*$ .

THEOREM 6.10. *With reference to Definitions 5.1 and 5.2, assume  $d \geq 2$ . Then  $A, A^*$  act on  $V_d$  as a Leonard pair if and only if equations (6.3), (6.4), (6.5), (6.6), (6.7), and (6.9) hold.*

*Proof.* The result follows from Theorems 5.5 and 6.9.  $\square$

In Theorems 6.9 and 6.10, if the assumption  $d \geq 2$  is replaced with  $d = 1$ , then (6.9) must be removed from the list of conditions.

**7. Recognizing the types of Leonard pairs.** We consider which types of Leonard pairs/parameter arrays arise from our construction.

THEOREM 7.1. *With reference to Definition 5.1, suppose  $A, A^*$  act on  $V_d$  as a Leonard pair. Then this Leonard pair is of Racah, Hahn, dual Hahn, or Krawtchouk type.*

*Proof.* Clear from Theorem 3.6 and Lemma 6.8.  $\square$

The type of the Leonard pair arising in Theorem 6.10 is determined by  $\nu$  and  $\nu^*$ .

THEOREM 7.2. Assume  $d \geq 2$ . With reference to Definition 5.1, suppose  $A, A^*$  act on  $V_d$  as a Leonard pair. Then type of this Leonard pair is determined by  $\nu$  and  $\nu^*$  as follows.

$\nu, \nu^*:$	$\nu \neq 0, \nu^* \neq 0$	$\nu = 0, \nu^* \neq 0$	$\nu \neq 0, \nu^* = 0$	$\nu = 0, \nu^* = 0$
Type:	Racah	Hahn	dual Hahn	Krawtchouk

*Proof.* As an abuse of notation, in this proof take  $A, A^*$  to mean the Leonard pair on  $V_d$  arising from their action. Let  $\{\theta_i\}_{i=0}^d$  and  $\{\theta_i^*\}_{i=0}^d$  be as in Definition 5.2. Observe that  $\{\theta_i\}_{i=0}^d$  and  $\{\theta_i^*\}_{i=0}^d$  are eigenvalue sequences for  $A$  and  $A^*$ , respectively, since they are part of an associated parameter array by Theorem 6.9.

For  $0 \leq i \leq d$ ,  $\theta_i = \kappa - (\lambda - \mu)(d - 2i) - \nu(d - 2i)^2$ . If  $\nu \neq 0$ , then the  $\theta_i$  are quadratic functions of their subscripts. If  $\nu = 0$ , then the  $\theta_i$  are linear functions of their subscripts. Similarly,  $\nu^*$  determines the form of the  $\theta_i^*$ . By Theorem 7.1, the type of  $A, A^*$  is Racah, Hahn, dual Hahn, or Krawtchouk. Now compare the eigenvalue sequences in Theorems 3.2–3.5 to those of  $A, A^*$  to complete the proof.  $\square$

When one or both of  $\nu, \nu^*$  vanish, the conditions of Theorem 6.10 become simpler.

LEMMA 7.3. Assume  $d \geq 2$ . With reference to Definition 5.1,  $A, A^*$  acts on  $V_d$  as a Leonard pair of Hahn type if and only if

$$\begin{aligned} \lambda \neq 0, \quad \mu = 0, \quad \nu = 0, \quad \nu^* \neq 0, \\ \mu^* - (d - 2i)\nu^* \neq 0, \quad \lambda^* - (d - 2i)\nu^* \neq 0 \quad (1 \leq i \leq d), \\ \lambda^* - \mu^* + 2\nu^*(d - i) \neq 0 \quad (1 \leq i \leq 2d - 1). \end{aligned}$$

*Proof.* Set  $\nu = 0$  and assume  $\nu^* \neq 0$  in the lines referred to in Theorem 6.10 and simplify. Here, (6.9) implies that  $\mu = 0$ .  $\square$

LEMMA 7.4. Assume  $d \geq 2$ . With reference to Definition 5.1,  $A, A^*$  acts on  $V_d$  as a Leonard pair of dual Hahn type if and only if

$$\begin{aligned} \lambda^* = 0, \quad \nu \neq 0, \quad \mu^* \neq 0, \quad \nu^* = 0, \\ \lambda - (d - 2i)\nu \neq 0, \quad \mu - (d - 2i)\nu \neq 0 \quad (1 \leq i \leq d), \\ \lambda - \mu + 2\nu(d - i) \neq 0 \quad (1 \leq i \leq 2d - 1). \end{aligned}$$

*Proof.* Set  $\nu^* = 0$  and assume  $\nu \neq 0$  in the lines referred to in Theorem 6.10 and simplify. Here, (6.9) implies that  $\lambda^* = 0$ .  $\square$

LEMMA 7.5. Assume  $d \geq 2$ . With reference to Definition 5.1,  $A, A^*$  acts on  $V_d$

as a Leonard pair of Krawtchouk type if and only if

$$\mu \neq \lambda, \quad \mu^* \neq \lambda^*, \quad \lambda \neq 0, \quad \mu^* \neq 0, \quad \nu = 0, \quad \nu^* = 0, \quad \lambda\lambda^* - \mu\lambda^* + \mu\mu^* \neq 0.$$

*Proof.* Set  $\nu = \nu^* = 0$  in the lines referred to in Theorem 6.10, and simplify.  $\square$

**8. Hypergeometric parameters.** We now consider the hypergeometric parameters of the parameter arrays arising from  $sl_2$ .

LEMMA 8.1. Assume  $d \geq 2$ . With reference to Definition 5.1, suppose  $A, A^*$  acts on  $V_d$  as a Leonard pair of Racah type. Then this Leonard pair has hypergeometric parameters

$$\begin{aligned} \theta_0 &= \kappa - d(\lambda - \mu + d\nu), & \theta_0^* &= \kappa^* + d(\lambda^* - \mu^* - d\nu^*), \\ h &= -4\nu, & h^* &= -4\nu^*, \\ s &= -\frac{\lambda - \mu}{2\nu} - d - 1, & s^* &= \frac{\lambda^* - \mu^*}{2\nu^*} - d - 1, \\ \{r_1, r_2\} &= \left\{ -\frac{\lambda}{2\nu} - \frac{d}{2} - 1, -\frac{\mu^*}{2\nu^*} - \frac{d}{2} - 1 \right\}. \end{aligned}$$

*Proof.* This choice of parameters in Theorem 3.2 gives the same sequences  $\{\theta_i\}_{i=0}^d$ ,  $\{\theta_i^*\}_{i=0}^d$ ,  $\{\varphi_j\}_{j=1}^d$ ,  $\{\varphi_j^*\}_{j=1}^d$ , and  $\{\phi_j\}_{j=1}^d$ ,  $\{\phi_j^*\}_{j=1}^d$  as in Theorem 6.9. Thus,  $A, A^*$  act as on  $V_d$  as a Leonard pair of Racah type with the given hypergeometric parameters by Theorem 6.10.

(The inequalities and equalities in both Theorem 6.9 and Theorem 3.2 derive from those on a general parameter array in Definition 2.3. One may also verify directly that those of Theorem 3.2 are a consequence of those of Theorem 6.9.)  $\square$

We omit proofs for the other three types as the above argument proceeds virtually identically in each case.

LEMMA 8.2. Assume  $d \geq 2$ . With reference to Definition 5.1, suppose  $A, A^*$  acts on  $V_d$  as a Leonard pair of Hahn type. Then this Leonard pair has hypergeometric parameters

$$\begin{aligned} \theta_0 &= \kappa - d\lambda, & \theta_0^* &= \kappa^* + d(\lambda^* - \mu^* - d\nu^*), \\ s &= 2\lambda, & s^* &= \frac{\lambda^* - \mu^*}{2\nu^*} - d - 1, \\ h^* &= -4\nu^*, & r &= -\frac{\mu^*}{2\nu^*} - \frac{d}{2} - 1. \end{aligned}$$

LEMMA 8.3. Assume  $d \geq 2$ . With reference to Definition 5.1, suppose  $A, A^*$  acts on  $V_d$  as a Leonard pair of dual Hahn type. Then this Leonard pair has hypergeometric parameters

$$\begin{aligned}\theta_0 &= \kappa - d(\lambda - \mu + d\nu), & \theta_0^* &= \kappa^* - d\mu^*, \\ s &= -\frac{\lambda - \mu}{2\nu} - d - 1, & s^* &= 2\mu^*, \\ h &= -4\nu, & r &= -\frac{\lambda}{2\nu} - \frac{d}{2} - 1.\end{aligned}$$

LEMMA 8.4. Assume  $d \geq 2$ . With reference to Definition 5.1, suppose  $A, A^*$  acts on  $V_d$  as a Leonard pair of Krawtchouk type. Then this Leonard pair has hypergeometric parameters

$$\begin{aligned}\theta_0 &= \kappa - d(\lambda - \mu), & \theta_0^* &= \kappa^* + d(\lambda^* - \mu^*), \\ s &= 2(\lambda - \mu), & s^* &= -2(\lambda^* - \mu^*), \\ r &= 4\lambda\mu^*.\end{aligned}$$

**9. Leonard pairs of classical type.** We prove a converse to Theorem 6.10. We treat each type individually.

LEMMA 9.1. Assume  $d \geq 2$ . Let  $A, A^*$  be a Leonard pair on  $V$  of Racah type. Let  $h, h^*, s, s^*, r_1, r_2, \theta_0$ , and  $\theta_0^*$  be hypergeometric parameters of  $A, A^*$ . Then for each  $\ell$  and  $m$  such that  $\{\ell, m\} = \{1, 2\}$ , there exists an irreducible  $sl_2$ -module structure on  $V$  in which  $A$  and  $A^*$  act respectively as  $\kappa 1 + \lambda y + \mu z + \nu yz$  and  $\kappa^* 1 + \lambda^* z + \mu^* x + \nu^* zx$ , where

$$\begin{aligned}\kappa &= \theta_0 + \frac{dh(2s + d + 2)}{4}, & \kappa^* &= \theta_0^* + \frac{dh^*(2s^* + d + 2)}{4}, \\ \lambda &= \frac{h(2r_m + d + 2)}{4}, & \lambda^* &= -\frac{h^*(2s^* - 2r_\ell + d)}{4}, \\ \mu &= -\frac{h(2s - 2r_m + d)}{4}, & \mu^* &= \frac{h^*(2r_\ell + d + 2)}{4}, \\ \nu &= -\frac{h}{4}, & \nu^* &= -\frac{h^*}{4}.\end{aligned}$$

*Proof.* For  $0 \leq i \leq d$ , write  $S_j = 2(j+1)(\lambda + (d-2j)\nu) = h(j+1)(r_m + j+1)$  and  $P_i = \prod_{j=0}^{i-1} S_j$ . By Theorem 3.2,  $S_j \neq 0$  ( $0 \leq j \leq d-1$ ).

Let  $\{\omega_i\}_{i=0}^d$  be the basis of  $V$  from Theorem 2.5, and let  $\{v_i\}_{i=0}^d$  be the basis for  $V_d$  from Lemma 4.3. Define a linear transformation  $\psi : V \rightarrow V_d$  by  $\psi(\omega_i) = P_i v_i$ . Now  $\psi$  is a bijection since  $V$  and  $V_d$  both have dimension  $d+1$  and the  $P_i$  are nonzero. The map  $\Psi : \text{End}(V_d) \rightarrow \text{End}(V_d)$  defined by  $\Psi(X) = \psi X \psi^{-1}$  is an  $\mathcal{F}$ -algebra isomorphism.

Let  $A$  and  $A^*$  act on  $V_d$  as  $\Psi(A)$  and  $\Psi(A^*)$ . Using Theorem 2.5, compute the action of  $A$ ,  $A^*$ . For  $0 \leq i \leq d-1$ ,  $Av_i = \psi(A\psi^{-1}(v_i)) = \psi(AP_i^{-1}\omega_i) = \psi(\theta_i P_i^{-1}\omega_i + P_i^{-1}\omega_{i+1}) = \theta_i \psi(P_i^{-1}\omega_i) + S_i \psi(P_i^{-1}\omega_{i+1}) = \theta_i v_i + S_i v_{i+1}$ . Similarly,  $Av_d = \theta_d v_d$ . For  $1 \leq i \leq d$ ,  $A^*v_i = \psi(A^*\psi^{-1}(v_i)) = \psi(A^*P_i^{-1}\omega_i) = \psi(\theta_i^* P_i^{-1}\omega_i + P_i^{-1}\varphi_i \omega_{i-1}) = \theta_i^* \psi(P_i^{-1}\omega_i) + S_i^{-1} \varphi_i \psi(P_i^{-1}\omega_{i-1}) = \theta_i^* v_i + S_i^{-1} \varphi_i v_{i+1}$ . Also,  $A^*v_0 = \theta_0^* v_0$ .

Compare the respective actions of  $A$  and  $A^*$  to those of  $\kappa 1 + \lambda y + \mu z + \nu yz$  and  $\kappa^* 1 + \lambda^* z + \mu^* x + \nu^* zx$  in Lemma 5.3. With the given coefficients, the formulas for  $\{\theta_i\}_{i=0}^d$  and  $\{\theta_i^*\}_{i=0}^d$  from Theorem 3.2 and Lemma 5.3 coincide. Also  $\sigma_i = S_i$  ( $0 \leq i \leq d-1$ ) and  $\tau_i^* = S_i^{-1} \varphi_i$  ( $1 \leq i \leq d$ ). The actions coincide as required, so the result follows.  $\square$

We omit proofs for the other three types as the above argument is modified only by choosing  $S_j$  so that it equals  $\sigma_j$  in each case.

LEMMA 9.2. Assume  $d \geq 2$ . Let  $A, A^*$  be a Leonard pair on  $V$  of Hahn type. Let  $h, s, s^*, r, \theta_0$ , and  $\theta_0^*$  be hypergeometric parameters of  $A, A^*$ . Then there exists an irreducible  $sl_2$ -module structure on  $V$  in which  $A$  and  $A^*$  act respectively as  $\kappa 1 + \lambda y$  and  $\kappa^* 1 + \lambda^* z + \mu^* x + \nu^* zx$ , where

$$\begin{aligned} \kappa &= \theta_0 + \frac{ds}{2}, & \kappa^* &= \theta_0^* + \frac{dh^*(2s^* + d + 2)}{4}, \\ \lambda &= \frac{s}{2}, & \lambda^* &= -\frac{h^*(2s^* - 2r + d)}{4}, \\ \mu^* &= \frac{h^*(2r + d + 2)}{4}, \\ \nu^* &= -\frac{h^*}{4}. \end{aligned}$$

LEMMA 9.3. Assume  $d \geq 2$ . Let  $A, A^*$  be a Leonard pair on  $V$  of dual Hahn type. Let  $h, s, s^*, r, \theta_0$ , and  $\theta_0^*$  be hypergeometric parameters of  $A, A^*$ . Then there exists an irreducible  $sl_2$ -module structure on  $V$  in which  $A$  and  $A^*$  act respectively as  $\kappa 1 + \lambda y + \mu z + \nu yz$  and  $\kappa^* 1 + \mu^* x$ , where

$$\begin{aligned} \kappa &= \theta_0 + \frac{dh(2s + d + 2)}{4}, & \kappa^* &= \theta_0^* + \frac{ds^*}{2}, \\ \lambda &= \frac{h(2r + d + 2)}{4}, \\ \mu &= -\frac{h(2s - 2r + d)}{4}, & \mu^* &= \frac{s^*}{2}, \\ \nu &= -\frac{h}{4}. \end{aligned}$$

LEMMA 9.4. Assume  $d \geq 2$ . Let  $A, A^*$  be a Leonard pair on  $V$  of Krawtchouk type. Let  $s^*, s, r, \theta_0$ , and  $\theta_0^*$  be hypergeometric parameters of  $A, A^*$ . Then there

exists an irreducible  $sl_2$ -module structure on  $V$  in which  $A$  and  $A^*$  act respectively as  $\kappa 1 + \lambda y + \mu z$  and  $\kappa^* 1 + \lambda^* z + \mu^* x$ , where for any nonzero  $t \in \mathcal{F}$ ,

$$\begin{aligned}\kappa &= \theta_0 + \frac{ds}{2}, & \kappa^* &= \theta_0^* + \frac{ds^*}{2}, \\ \lambda &= \frac{r}{4t}, & \lambda^* &= t - \frac{s^*}{2}, \\ \mu &= \frac{r}{4t} - \frac{s}{2}, & \mu^* &= t.\end{aligned}$$

Combining Lemmas 9.1–9.4 gives the following.

**THEOREM 9.5.** *Assume  $d \geq 2$ . Let  $A, A^*$  be a Leonard pair on  $V$  of Racah, Hahn, dual Hahn, or Krawtchouk type. Then there exists an irreducible  $sl_2$ -module structure on  $V$  in which  $A$  and  $A^*$  act respectively as linear combinations of  $1, y, z, yz$  and of  $1, z, x, zx$ .*

One may apply a cyclic shift to the equitable basis to get two other actions.

**Acknowledgment.** We thank Paul Terwilliger for his helpful comments.

#### REFERENCES

- [1] E. Bannai and T. Ito. *Algebraic Combinatorics I*. Benjamin/Cummings, Menlo Park, 1984.
- [2] G. Benkart and P. Terwilliger. The equitable basis for  $sl_2$ . *Preprint* (arXiv:0810.2066).
- [3] B. Hartwig. The tetrahedron algebra and its finite-dimensional irreducible modules. *Linear Algebra Appl.*, 422:219–235, 2007 (arXiv:math.RT/0606197).
- [4] B. Hartwig and P. Terwilliger. The tetrahedron algebra, the Onsager algebra, and the  $sl_2$  loop algebra. *J. Algebra*, 308:840–863, 2007 (arXiv:math.ph/0511004).
- [5] T. Ito and P. Terwilliger. Finite-dimensional irreducible modules for the three point  $sl_2$  loop algebra. *Preprint* (arXiv:0707.2313v1).
- [6] C. Kassel. *Quantum Groups*. Springer-Verlag, New York, 1995.
- [7] D.A. Leonard. Orthogonal polynomials, duality and association schemes. *SIAM J. Math. Anal.*, 13(4):656–663, 1982.
- [8] D.A. Leonard. Parameters of association schemes that are both P- and Q-polynomial. *J. Combin. Theory Ser. A*, 36(3):355–363, 1984.
- [9] P. Terwilliger. The subconstituent algebra of an association scheme, III. *J. Algebraic Combin.*, 2(2):177–210, 1993.
- [10] P. Terwilliger. Two linear transformations each tridiagonal with respect to an eigenbasis of the other. *Linear Algebra Appl.*, 330:149–203, 2001.
- [11] P. Terwilliger. Two linear transformations each tridiagonal with respect to an eigenbasis of the other: the  $TD$ - $D$  and the  $LB$ - $UB$  canonical form. *J. Algebra*, 291(1):1–45, 2005.
- [12] P. Terwilliger. Introduction to Leonard pairs. *J. Comput. Appl. Math.*, 153(2):463–475, 2003.
- [13] P. Terwilliger and R. Vidunas. Leonard pairs and the Askey-Wilson relations. *J. Algebra Appl.*, 3:1–16, 2004.
- [14] P. Terwilliger. Two linear transformations each tridiagonal with respect to an eigenbasis of the other; comments on the parameter array. *Des. Codes Cryptogr.*, 34:307–332, 2005.