LINEAR SYSTEMS OF DIOPHANTINE EQUATIONS*

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Abstract. Given free modules $M \subseteq L$ of finite rank $f \ge 1$ over a principal ideal domain R, we give a procedure to construct a basis of L from a basis of M assuming the invariant factors or elementary divisors of L/M are known. Given a matrix $A \in M_{m,n}(R)$ of rank r, its nullspace L in \mathbb{R}^n is a free R-module of rank f = n - r. We construct a free submodule M of L of rank f naturally associated with A and whose basis is easily computable, we determine the invariant factors of the quotient module L/M and then indicate how to apply the previous procedure to build a basis of L from one of M.

Key words. Linear system, Diophantine equation, Smith normal form.

AMS subject classifications. 11D04, 15A06.

1. Introduction. Let R be a principal ideal domain. Given $f \in \mathbb{N}$, by a lattice of rank f we understand a free R-module L of rank f. By a sublattice of L, we mean a submodule M of L, necessarily free, also of rank f. In this case, L/M is a finitely generated torsion R-module.

In different settings, we may face the problem of having to construct a basis of L from a known basis $\{u_1, \ldots, u_f\}$ of M. A prime example occurs when $R = \mathbb{Z}$, $L = \mathcal{O}_K$, the ring of integers of an algebraic number field K of degree f over \mathbb{Q} , $M = \mathbb{Z}[\theta]$, and $\{u_1, \ldots, u_f\} = \{1, \theta, \ldots, \theta^{f-1}\}$, where $\theta \in \mathcal{O}_K$ is chosen so that $\mathbb{Q}[\theta] = K$.

A general procedure to construct a basis of L from a known basis $\{u_1, \ldots, u_f\}$ of M is available to us, provided we know the index i(M, L) of M in L, which is the determinant of the matrix whose columns are the coordinates of any basis of M relative to any basis of L. This is determined up to multiplication by units only. Note that if $R = \mathbb{Z}$, then |i(M, L)| is the order of the finite abelian group L/M.

If i(M, L) = 1, then L = M has basis $\{u_1, \ldots, u_f\}$. Suppose next $i(M, L) \neq 1$ and let $p \in R$ be a prime factor of i(M, L). Then, L/M has a cyclic submodule isomorphic to R/Rp, so there exist $a_1, \ldots, a_f \in \mathbb{Z}$, such that

(1.1)
$$v = \frac{a_1 u_1 + \dots + a_f u_f}{p} \in L \setminus M.$$

Since $v \notin M$, we have $p \nmid a_i$ for some *i* and we assume for notational convenience that i = 1. Since $gcd(p, a_1) = 1$, we can find $x, y \in R$ such that $xa_1 + yp = 1$. Here $ypv \in M$, so

$$xa_1v = (1 - yp)v = v - ypv \notin M,$$

whence $xv \notin M$ and a fortiori $v' = xv + yu_1 \in L \setminus M$, where

$$v' = \frac{x(a_1u_1 + \dots + a_fu_f) + pyu_1}{p} = \frac{u_1 + xa_2u_2 + \dots + xa_fu_f}{p}.$$

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Thus, replacing v by a suitable R-linear combination of itself and u_1 , namely v', we may suppose that $a_1 = 1$ in (1.1). Then, $\{v, u_2, \ldots, u_f\}$ is a basis for a sublattice, say P, of L such that $M \subset P$ and i(M, P) = p, so i(P, L) = i(M, L)/p. Repeating this process, we eventually arrive at a basis of L.

In this paper, we modify and improve this procedure, provided the invariant factors or elementary divisors of L/M are known, and we illustrate the use of this method with a concrete problem.

Indeed, let F be the field of fractions of R. Given a matrix $A \in M_{m,n}(R)$, we write T for the nullspace of A in F^n so that $S = T \cap R^n$ is the nullspace of A in R^n . We note that L = S is a lattice of rank f = n - r, where r is the rank of A.

It is easy to find an *F*-basis of *T* from the reduced row echelon form, say *E*, of *A*. It is not clear at all how to use *E* to produce an *R*-basis of *S*. To achieve this, we first identify a sublattice *M* of *L* as well as a basis of *M*, naturally, in terms of *E*; we then compute the complete structure of the *R*-module L/M, namely its invariant factors, whose product is equal to i(M, L); we finally indicate how to build a basis of *L* from the given basis of *M* by making use of the full structure of L/M.

Now if r = n, then E consists of the first n canonical vectors of \mathbb{R}^m , and L = 0. On the other hand, if r = 0 then E = 0 and $L = \mathbb{R}^n$. None of these cases is of any interest, so we assume throughout that 0 < r < n.

In Sections 2, 3, 4, and 5, we use E to naturally produce a lattice U of rank f, a basis of U, and a nonzero scalar $d \in R$ such that M = dU is a sublattice of L, and L is a sublattice of U. Moreover, we compute the full structures of L/M and U/L. Furthermore, in Section 6, we indicate how to use either the invariant factors or the elementary divisors of L/M to construct a basis of L from one of M (this is done for arbitrary L and M). In addition, if d = p is a prime, we indicate in Section 7 how to produce a basis of L more or less directly from one of U. Examples can be found in Section 8.

We may summarize our study of S as follows: given the lattice S of all solutions of AX = 0 in \mathbb{R}^n , we approximate S from below by a naturally occurring lattice of solutions M in \mathbb{R}^n , we determine how far Mis from S, and we describe how to bridge the gap between them. A like approach was recently utilized in [9] in the special case of a single linear homogeneous equation, that is, when m = 1, except that in [9] the approximation was taken from above, by means of U. The case m = 1 is necessarily simpler than the general case addressed here, as much in the computation of the structures of U/S and S/M as in the passage from a basis of a lattice to a basis of S, where the material from Section 6 not required.

As is well known (see the note at the end of [9, Section 4] in the special case m = 1), we may also find a basis of S by appealing to the Smith normal form D of A. There are $P \in GL_m(R)$ and $Q \in GL_n(R)$ such that D = PAQ. It is then trivial to find a basis, say \mathcal{B} , of the nullspace of D, whence $Q\mathcal{B}$ is a basis of the nullspace of A. This approach gives no information whatsoever on how far naturally occurring lattices of solutions of AX = 0 are from S, as provided in Theorem 5.1, or how to expand or shrink these lattices to reach S, as expounded in Section 6 or [9, Theorem 4.5].

Most of the literature on systems of linear diophantine equations is naturally focused on the case R = Z. One significant body of work is focused on nonnegative solutions, with applications to linear programming and combinatorial optimization. See [1], [2], [3], [4], [7], [10], and references therein.

Regarding lattices over the integers and their bases, a large body of literature is concerned with lattice basis reduction, which takes as input a basis of a lattice and aims at producing as output a new basis of

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the same lattice with vectors that are short and nearly orthogonal. A celebrated algorithm of this kind is the LLL algorithm [6], which has a wide range of applications, such as in cryptanalysis, algorithmic number theory, factorization of polynomials with rational coefficients, integer linear programming, and many more. See the reference book [8] for comprehensive information on this subject.

2. Reduced matrices. A matrix $Z \in M_{m,n}(R)$ of rank r is said to be *reduced* if there are $0 \neq d \in R$ and $K \in M_{r,f}(R)$ such that

(2.2)
$$Z = \begin{pmatrix} dI_r & K \\ 0 & 0 \end{pmatrix}.$$

Two matrices $B, C \in M_{m,n}$ are associated if there are $L \in \operatorname{GL}_m(F)$ and $\Sigma \in \operatorname{GL}_n(F)$ such that Σ is a permutation matrix and $LB\Sigma = C$. This is clearly an equivalence relation.

LEMMA 2.1. The given matrix A is associated with a reduced matrix.

Proof. Let $Y \in M_{m,n}(F)$ be the reduced row echelon form of A. Multiplying Y by suitable element of R and permuting the columns of resulting matrix yields a reduced matrix associated with A.

For the remainder of the paper, we fix a reduced matrix Z associated with A, say via that $LA\Sigma = Z$, and write $J = (dI_r K) \in M_{r,n}(R)$ for the matrix obtained from Z by eliminating its last m - r rows. We let N stand for the nullspace of J in R^n so that $S = \Sigma N$ (thus, up to permutation of the variables X_1, \ldots, X_n , our linear system is JX = 0).

3. Choice of a lattice. The linear system JX = 0 reads as follows:

$$dX_1 = -(K_{1,1}X_{r+1} + \dots + K_{1,f}X_n),$$

$$dX_2 = -(K_{2,1}X_{r+1} + \dots + K_{2,f}X_n),$$

$$\vdots$$

$$dX_r = -(K_{r,1}X_{r+1} + \dots + K_{r,f}X_n).$$

Consider the f vectors $V(1), \ldots, V(f) \in F^n$ and defined as follows:

(3.3)
$$V(1) = \begin{pmatrix} -\frac{K_{1,1}}{d} \\ \vdots \\ -\frac{K_{r,1}}{d} \\ 1 \\ 0 \\ \vdots \\ 0 \end{pmatrix}, \dots, V(f) = \begin{pmatrix} -\frac{K_{1,f}}{d} \\ \vdots \\ -\frac{K_{r,f}}{d} \\ 0 \\ \vdots \\ 0 \\ 1 \end{pmatrix}.$$

It is clear that $\{V(1), \ldots, V(f)\}$ is an *F*-basis of the nullspace of *J* in F^n . We set

$$W = \operatorname{span}_R\{V(1), \dots, V(f)\},\$$

so that $\{V(1), \ldots, V(f)\}$ is an *R*-basis of *W*. We thus have

$$(3.4) dW \subseteq N \subseteq W,$$



and we aim to determine the structure of the factors:

N/dW and W/N,

where

$$W/dW \cong (R/Rd)^f.$$

Given $\alpha_1, \ldots, \alpha_f \in F$, we have

$$(3.5) \quad \alpha_1 V(1) + \dots + \alpha_f V(f) \in N \Leftrightarrow \alpha_1, \dots, \alpha_f \in R \text{ and } \alpha_1 K_{i,1} + \dots + \alpha_f K_{i,f} \equiv 0 \mod d, \ 1 \le i \le r.$$

Thus, we have an isomorphism $R^f \to W$ given by:

$$(\alpha_1,\ldots,\alpha_f)\mapsto \alpha_1V(1)+\cdots+\alpha_fV(f),$$

and N corresponds to the submodule, say Y, of R^f of all $(\alpha_1, \ldots, \alpha_f)$ such that the right-hand side of (3.5) holds. In particular, $W/N \cong R^f/Y$.

4. Each of N/dW and W/N determines the other. By the theory of finitely generated modules over a principal ideal domain, there is a basis $\{u_1, \ldots, u_f\}$ of W and nonzero elements $a_1, \ldots, a_f \in R$ such that

$$a_1 | \cdots | a_f | d$$

and $\{a_1u_1,\ldots,a_fu_f\}$ is a basis of N. Since $\{du_1,\ldots,du_f\}$ is a basis of dW, we see that

$$W/N \cong (R/Ra_1) \oplus \cdots \oplus (R/Ra_f)$$
 and $N/dW \cong (R/Rb_f) \oplus \cdots \oplus (R/Rb_1)$

where

$$b_i = d/a_i, \quad 1 \le i \le f,$$

and

$$|b_f| \cdots |b_1|$$

As d is fixed, we see that N/dW and W/N determine each other.

5. Structures of W/N and N/dW. Set $\overline{R} = R/Rd$ and consider the homomorphism of R-modules:

$$\Delta: R^f \to R^r \to \overline{R}^r,$$

given by:

$$\alpha \mapsto \overline{K}\overline{\alpha},$$

where $\alpha = (\alpha_1, \ldots, \alpha_f)$, and \overline{K} and $\overline{\alpha}$ are the reductions of K and α modulo Rd. Then, (3.5) shows that the kernel of Δ is Y. Thus,

$$W/N \cong R^f/Y \cong \Delta(R^f) \cong C(\overline{K}),$$

where $C(\overline{K})$ is the column space of \overline{K} , namely the \overline{R} -span of the columns of \overline{K} .

Consider the natural epimorphism of R-modules $\Lambda : \mathbb{R}^r \to \overline{\mathbb{R}}^r$ with kernel $(\mathbb{R}d)^r$. Then, Λ restricts to an epimorphism of R-modules $\Omega : C(K) \to C(\overline{K})$ with kernel $C(K) \cap (\mathbb{R}d)^r$.

Let $Q = \text{diag}(q_1, \ldots, q_s)$ be the Smith normal form of K, where $q_1 | \cdots | q_s$ and $s = \min\{r, n-r\}$, and let t be the rank of K, so that t = 0 if and only if K = 0.

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If K = 0, then (3.5) implies that W = N and a fortiori:

$$N/dW \cong W/dW \cong \overline{R}^{f}.$$

Suppose next $K \neq 0$. Then, t is the last index such that $q_t \neq 0$ and from the theory of finitely generated modules over a principal ideal domain, there is a basis $\{u_1, \ldots, u_r\}$ of R^r such that $\{q_1u_1, \ldots, q_tu_t\}$ is a basis for C(K). Notice that

$$C(K) \cap (Rd)^r = (Rq_1u_1 \oplus \dots \oplus Rq_tu_t) \cap (Rdu_1 \oplus \dots \oplus Rdu_r) = R\operatorname{lcm}(d, q_1)u_1 \oplus \dots \oplus R\operatorname{lcm}(d, q_t)u_t,$$

so that

$$W/N \cong C(\overline{K}) \cong C(K)/(C(K) \cap (Rd)^r) \cong (Rq_1u_1 \oplus \dots \oplus Rq_tu_t)/(R\operatorname{lcm}(d, q_1)u_1 \oplus \dots \oplus R\operatorname{lcm}(d, q_t)u_t).$$

Since

$$\operatorname{lcm}(d, q_i)/q_i = d/\operatorname{gcd}(d, q_i), \quad 1 \le i \le t,$$

setting

$$m_i = \operatorname{lcm}(d, q_i)/q_i, \ d_i = d/\operatorname{gcd}(d, q_i), \quad 1 \le i \le t,$$

we infer

(5.6)
$$W/N \cong R/Rm_t \oplus \dots \oplus R/Rm_1 \cong R/Rd_t \oplus \dots \oplus R/Rd_1.$$

Adding f - t zero summands to the right-hand side of (5.6), we may write

$$W/N \cong (R/R \cdot 1)^{f-t} \oplus R/Rd_t \oplus \cdots \oplus R/Rd_1.$$

We finally deduce from Section 4 the sought formula:

(5.7)
$$N/Wd \cong R/R \operatorname{gcd}(d, q_1) \oplus \cdots \oplus R/R \operatorname{gcd}(d, q_t) \oplus (R/Rd)^{f-t}.$$

Dividing every entry of Z by $g = \gcd\{d, K_{ij} | 1 \le i \le r, 1 \le j \le f\}$, we may assume that g = 1, which translates into $\gcd(d, q_1) = 1$. In this case, if r = 1 then (5.6) and (5.7) reduce to the corresponding formulas from [9, Theorems 4.1 and 3.2], respectively.

Notice that (5.6) and (5.7) remain valid when K = 0.

Set $U = \Sigma W$, with Σ as in Section 2, and let M = dU. We have an isomorphism $W \to U$, given by $X \mapsto \Sigma X$, yielding isomorphisms $W/N \to U/S$ and $N/dW \to S/M$. We have thus proved the following result.

THEOREM 5.1. Let $A \in M_{m,n}(R)$, with rank 0 < r < n and nullspace S in \mathbb{R}^n . Let Z be a reduced matrix associated with A, as in (2.2), say via $LA\Sigma = Z$. Let W be the free R-module of rank n - r corresponding to Z as defined in Section 3 and set $U = \Sigma W$ and M = dU. Then, $M \subseteq S \subseteq U$, where $U/S \cong W/N$ and $S/M \cong N/dW$ are as described in (5.6) and (5.7), respectively.

COROLLARY 5.2. We have U = S if and only if d divides every entry of K, and S = M if and only if $gcd(d, q_i) = 1, 1 \le i \le t$, and either d is a unit or K has rank f.

Proof. This follows immediately from (5.6) and (5.7).

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6. An improved procedure to construct a basis of L. Here, we go back to the general case and suppose that L is an arbitrary lattice of rank f with a proper sublattice M. We assume that the list of invariant factors or elementary divisors of L/M is known, and we wish to use one list or the other to improve the process indicated in the Introduction to obtain a basis of L from a given basis $\{u_1, \ldots, u_f\}$ of M.

Let $g_1, \ldots, g_s \in R$ be the unique elements, up to multiplication by units, such that g_1 is not a unit, g_s is not zero, $g_1 | \cdots | g_s$, and

$$(6.8) L/M \cong R/Rg_1 \oplus \cdots \oplus R/Rg_s.$$

Here, $i(M, L) = g_1 \cdots g_s$, and we will use all of g_1, \ldots, g_s instead of i(M, L) to obtain a basis of L. The idea is to advance one invariant factor of L/M at a time, rather than one prime factor of i(M, L) at a time.

According to (6.8), S/M has a vector with annihilating ideal Rg_s . This means that there are a_1, \ldots, a_f in R such that the following extension of (1.1) holds

(6.9)
$$v = \frac{a_1 u_1 + \dots + a_f u_f}{g_s} \in L \text{ but } hv \notin M \text{ for any proper factor } h \text{ of } g_s.$$

In particular, $Rv \cap M = Rg_s v$, and we set P = Rv + M. Thus,

$$P/M \cong Rv/(Rv \cap M) \cong R/Rg_s$$

is a submodule of L/M. On the other hand, it is well known [5, Lemma 6.8 and Theorem 6.7] that any cyclic submodule of L/M with annihilating ideal Rg_s is complemented in L/M. The uniqueness of the invariant factors of L/M implies that

$$S/P \cong R/Rg_1 \oplus \cdots \oplus R/Rg_{s-1}$$

Thus, if we can provide a way to produce a basis of P from a basis of M, then successively applying the above procedure with $g_s, g_{s-1}, \ldots, g_1$ will yield a basis of L. We next indicate two ways to construct a basis of P from $\{u_1, \ldots, u_f\}$ and v. Set $v = u_{f+1}$ and $a_{f+1} = -g_s$. Then from the first condition in (6.9), we have

$$(6.10) a_1 u_1 + \dots + a_f u_f + a_{f+1} u_{f+1} = 0,$$

while the second condition in (6.9) implies

(6.11)
$$gcd(a_1, \ldots, a_f, a_{f+1}) = 1.$$

In the first way, set $a = (a_1, \ldots, a_{f+1})$ and let u be the column vector with vector entries (u_1, \ldots, u_{f+1}) . Using an obvious notation, (6.10) means au = 0. Moreover, from (6.11), we infer the existence of Q in $\operatorname{GL}_{f+1}(R)$ such that $aQ = (1, 0, \ldots, 0)$. Setting $v = Q^{-1}u$, we have

$$0 = au = aQQ^{-1}u = (1, 0, \dots, 0)v.$$

Now v is a column vector, say with vector entries (v_1, \ldots, v_{f+1}) , where $v_1 = 0$. But $v = Q^{-1}u$ ensures that the entries of u and v have the same span. Since P is a lattice of rank f, it follows that the f spanning vectors v_2, \ldots, v_{f+1} must form a basis of P.

For the second way, we assume that R is an Euclidean domain. Thus, R is an integral domain endowed with a function $\delta : R \to \mathbb{Z}_{\geq 0}$ such that given any $a, b \in R$ with $b \neq 0$, there are $q, r \in R$ such that a = bq + r, with r = 0 or $\delta(r) < \delta(b)$. We may then use (6.11) and the Euclidean algorithm to transform (6.10) into

(6.12)
$$b_1 v_1 + \dots + b_f v_f + v_{f+1} = 0,$$

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where u_1, \ldots, u_{f+1} and v_1, \ldots, v_f span the same module. As above, this implies that $\{v_1, \ldots, v_f\}$ is a basis of P. We briefly describe the foregoing transformation. Choose $1 \le i \le f+1$ such that $a_i \ne 0$ with $\delta(a_i)$ is as small as possible. For notational convenience, let us assume that i = f + 1. Dividing every other a_j by a_{f+1} , we obtain $a_j = q_j a_{f+1} + r_j$, where $r_j = 0$ or $\delta(r_j) < \delta(a_j)$, $1 \le j \le f$. If every $r_j = 0$, then (6.11) forces a_{f+1} to be a unit, so dividing (6.10) by a_{f+1} we obtain (6.12). Suppose at least one $r_j \ne 0$. We can rewrite (6.10) in the form:

$$r_1u_1 + \dots + r_fu_f + a_{f+1}(q_1u_1 + \dots + q_fu_f + u_{f+1}) = 0,$$

where $u_1, \ldots, u_f, u_{f+1}$ and $u_1, \ldots, u_f, q_1u_1 + \cdots + q_fu_f + u_{f+1}$ span the same module, $r_j \neq 0$, $\delta(r_j) < \delta(a_{f+1})$, and $gcd(r_1, \ldots, r_f, a_{f+1}) = 1$. Since δ takes only nonnegative values, repeating this process we must eventually arrive to a unit remainder, as required for (6.12).

We next indicate how to use the elementary divisors of L/M instead of its invariant factors to construct a basis of L. There are more of the former than of the latter, but this is be balanced by the fact that each intermediate basis is more easily found. Let $p \in R$ be a prime, $1 \leq e_1 \leq \cdots \leq e_k$, and suppose that p^{e_1}, \ldots, p^{e_k} are the *p*-elementary divisors of L/M. Set $e = e_k$. Then, L/M has a vector with annihilating ideal Rp^e , which translates as follows. There are $a_1, \ldots, a_f \in R$ such that the following extension of (1.1) holds

(6.13)
$$v = \frac{a_1 u_1 + \dots + a_f u_f}{p^e} \in L \text{ but } p^{e-1} v \notin M.$$

By [5, Lemma 6.8], any vector of L/M with annihilating ideal Rp^e has a complement in L/M. Thus, the preceding procedure applies, except that now we advance one *p*-elementary divisor of L/M at a time. In this case, however, it is easier to pass from a basis to the next one. Indeed, since $p^{e-1}v \notin M$, we must have $p \nmid a_i$ for some *i*, and the same argument given in the Introduction produces a basis of the span of v, u_1, \ldots, u_f from the basis $\{u_1, \ldots, u_f\}$ of M.

We finally indicate how to apply the above procedure when L = S, M = dU, and we take $\{u_1, \ldots, u_f\} = \Sigma\{dV(1), \ldots, dV(f)\}$. The invariant factors of $S/M \cong N/dW$ are given in Theorem 5.1, and we can obtain from these corresponding the elementary divisors. Furthermore, Corollary 5.2 makes it clear when S = M. Observe that we can replace L in (6.9) and (6.13) by \mathbb{R}^n , for in that case $v \in \mathbb{F}^n \cap T = S$.

7. The case when d is a prime. We assume throughout this section that d = p is a prime and set $\overline{R} = R/Rp$. In this case, a sharpening of (5.6) and (5.7) is available, and we can obtain a basis of N, and hence of $S = \Sigma N$, directly, without having to resort to the procedure outlined in Section 6. It follows from (3.4) that all of W/pW, W/N, and N/pW are \overline{R} -vector spaces, and hence completely determined by their dimensions. Let \overline{K} be the reduction of K modulo p. Then, $W/pW \cong \overline{R}^f$; N/pW is isomorphic to the nullspace of \overline{K} by Section 3; and W/N is isomorphic to the column space of \overline{K} by Section 5. Thus,

(7.14)
$$\dim W/N = \operatorname{rank} \overline{K}, \ \dim N/pW = f - \operatorname{rank} \overline{K}.$$

This formula is compatible with the isomorphism:

$$W/N \cong (W/pW)/(N/pW).$$

Moreover, a careful examination of (7.14) reveals that, as expected, it is in agreement with (5.6) and (5.7).

Next, we show how to obtain a basis of N directly from the basis $\{V(1), \ldots, V(f)\}$ of W. Let $H \in M_{r,f}(R)$ be such that \overline{H} is the reduced row echelon form of \overline{K} . For simplicity of notation, let us assume that the leading columns of \overline{H} are columns $1, \ldots, s$.



THEOREM 7.1. Consider the vectors:

$$z_1 = pV(1), \ldots, z_s = pV(s),$$

and if s < f also the vectors:

$$z_{s+i} = -(H_{1,s+i}V(1) + \dots + H_{s,s+i}V(s)) + V(s+i), \quad 1 \le i \le f - s.$$

Then, $\{z_1, ..., z_f\}$ is a basis of N (if s = 0 $\{z_1, ..., z_f\}$ is simply $\{V(1), ..., V(f)\}$).

Proof. Given $\alpha = (\alpha_1, \ldots, \alpha_f) \in \mathbb{R}^f$, we have

$$\overline{K}\overline{\alpha} = 0 \Leftrightarrow \overline{H}\overline{\alpha} = 0,$$

and therefore (3.5) gives

$$\alpha_1 V(1) + \dots + \alpha_f V(f) \in N \Leftrightarrow \overline{H}\overline{\alpha} = 0.$$

Our choice of H ensures that $z_1, \ldots, z_f \in N$. Let $G \in M_f(R)$ be the matrix whose columns are the coefficients of z_1, \ldots, z_f relative to the basis $V(1), \ldots, V(f)$ of W. Then, $|G| = p^s$. On the other hand, $W/N \cong C(\overline{K})$ is a vector space over \overline{R} of dimension s, so there is a basis $\{u_1, \ldots, u_f\}$ of W such that $\{pu_1, \ldots, pu_s, u_{s+1}, \ldots, u_f\}$ is a basis of N. It follows from [9, Lemma 4.3] that $\{z_1, \ldots, z_f\}$ is a basis of N.

8. Examples.

(1) Consider the case $R = \mathbb{Z}$, n = 4, and

$$A = \left(\begin{array}{rrrr} 2 & 3 & 5 & 4 \\ 3 & -5 & 2 & -7 \end{array}\right).$$

Let B (resp. C) be the 2 × 2 submatrix formed by the first (resp. last) two columns of A and let D be the adjoint of B. Then |B| = -19, which implies |D| = -19 and $|DC| \equiv |D||C| \equiv 0 \mod 19$. Multiplying A on the left by C, we obtain the the following reduced matrix Z associated with A:

$$Z = \begin{pmatrix} dI_2 & K \end{pmatrix} = \begin{pmatrix} -19 & 0 & -31 & 1 \\ 0 & -19 & -11 & -26 \end{pmatrix}.$$

The reduction of K modulo 19 has rank s = 1, since $|K| \equiv 0 \mod 19$ and not all entries of K are divisible by 19. In this case, the formulas from Section 7 give $S/19W \cong \mathbb{Z}/19\mathbb{Z}$ and $W/S \cong \mathbb{Z}/19\mathbb{Z}$. We can use this information to obtain a \mathbb{Z} -basis of S. Indeed, by Section 3 the vectors:

$$V(1) = (-31/19, -11/19, 1, 0), V(2) = (1/19, -26/19, 0, 1),$$

form a Q-basis of T. Moreover, it is clear that if $\alpha_1, \alpha_2 \in \mathbb{Q}$, then $\alpha_1 V(2) + \alpha_2 V(2) \in S$ if and only if $\alpha_1, \alpha_2 \in \mathbb{Z}$ and

$$-31\alpha_1 + \alpha_2 \equiv 0 \mod 19, \ 11\alpha_1 + 26\alpha_2 \equiv 0 \mod 19.$$

The second equation is redundant since $|K| \equiv 0 \mod 19$, and the first equation is equivalent to

$$\alpha_2 \equiv 12\alpha_1 \mod 19.$$

This yields the following vectors from S:

$$z_1 = 19V(2) = (1, -26, 0, 19), \ z_2 = V(1) + 12V(2) = (-1, -17, 1, 12).$$

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The 2 \times 2 matrix formed by coordinates of z_1, z_2 relative to V(1), V(2) is

$$\left(\begin{array}{cc} 0 & 1\\ 19 & 12 \end{array}\right).$$

This implies $W/(Rz_1 \oplus Rz_2) \cong \mathbb{Z}/19\mathbb{Z}$, whence $\{z_1, z_2\}$ is a basis of S. (2) Consider the case $R = \mathbb{Z}$, r = 3, n = 6, and

Let B be the 3×3 submatrix formed by the first three columns of A. Then B is a Vandermonde matrix with determinant 48. Let C be the adjoint of B. Then,

$$C = \begin{pmatrix} 84 & -40 & 4\\ -42 & 48 & -6\\ 6 & -8 & 2 \end{pmatrix}.$$

Multiplying A on the left by C, we obtain the matrix:

Dividing every entry by 12, we obtain the following reduced matrix Z associated with A:

$$Z = \begin{pmatrix} dI_3 & K \end{pmatrix} = \begin{pmatrix} 4 & 0 & 0 & -4 & 0 & 4 \\ 0 & 4 & 0 & 9 & 9 & 9 \\ 0 & 0 & 4 & -1 & -1 & -1 \end{pmatrix}.$$

Thus, (3.3) produces a free submodule M of S of rank 3 with basis:

$$u_1 = (4, -9, 1, 4, 0, 0), u_2 = (0, -9, 1, 0, 4, 0), u_3 = (-4, -9, 1, 0, 0, 4).$$

The Smith normal form of K is diag(1, 4, 0). Here, d = 4, f = 3, and t = 2, so according to (5.7), we have

$$S/M \cong \mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z}.$$

We look for $a, b, c \in \mathbb{Z}$ such that

$$v = \frac{au_1 + bu_2 + cu_3}{4} \in \mathbb{Z}^3 \text{ but } 2v \notin M.$$

This translates into

$$a + b + c \equiv 0 \mod 4$$
 and $(a, b, c) \notin (2\mathbb{Z})^3$.

Taking (a, b, c) = (1, -1, 1), we find the following vectors from S:

$$z_1 = (u_1 - u_2)/4, \ z_2 = (u_2 - u_3)/4, \ u_3.$$

We clearly have

$$(\mathbb{Z}z_1 \oplus \mathbb{Z}z_2 \oplus \mathbb{Z}z_3)/M \cong \mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z}$$

which implies that $\{z_1, z_2, z_3\}$ is a basis of S.



(3) Consider the case $R = \mathbb{Z}, r = 3, n = 6$, and

 $A = \begin{pmatrix} 12 & 24 & 36 & -4 & 12 & 44 \\ 24 & 36 & 12 & -2 & 10 & 20 \\ 36 & 12 & 24 & 0 & 20 & 44 \end{pmatrix}.$

Multiplying A on the left by a suitable matrix from $GL_3(\mathbb{Q})$ yields the following reduced matrix associated with A:

$$Z = \begin{pmatrix} dI_3 & K \end{pmatrix} = \begin{pmatrix} 12 & 0 & 0 & 1 & 5 & 6 \\ 0 & 12 & 0 & -1 & -1 & -2 \\ 0 & 0 & 12 & -1 & 3 & 14 \end{pmatrix}.$$

Following (3.3), we obtain a free submodule M of S of rank 3 having basis:

$$u_1 = (-1, 1, 1, 12, 0, 0), u_2 = (-5, 1, -3, 0, 12, 0), u_3 = (-6, 2, -14, 0, 0, 12).$$

The Smith normal form of K is diag(1, 4, 12). We have d = 12, f = 3 and t = 3, so (5.7) yields

$$S/M \cong \mathbb{Z}/4\mathbb{Z} \oplus \mathbb{Z}/12\mathbb{Z}.$$

We use (6.9) to obtain the vector:

$$v = \frac{-u_1 - u_2 + u_3}{12} = (0, 0, -1, -1, -1, 1) \in S.$$

Then, $\{v, u_2, u_3\}$ is a basis of a module P containing M such that $S/P \cong \mathbb{Z}/4\mathbb{Z}$. Applying (6.9) once again yields the vector:

$$w = \frac{4v + 2u_2 + u_3}{4} = (-4, 1, -6, -1, 5, 4) \in S,$$

and the basis $\{w, v, u_2\}$ of S.

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