# LINEAR SYSTEMS OF DIOPHANTINE EQUATIONS* 

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#### Abstract

Given free modules $M \subseteq L$ of finite rank $f \geq 1$ over a principal ideal domain $R$, we give a procedure to construct a basis of $L$ from a basis of $M$ assuming the invariant factors or elementary divisors of $L / M$ are known. Given a matrix $A \in M_{m, n}(R)$ of rank $r$, its nullspace $L$ in $R^{n}$ is a free $R$-module of rank $f=n-r$. We construct a free submodule $M$ of $L$ of rank $f$ naturally associated with $A$ and whose basis is easily computable, we determine the invariant factors of the quotient module $L / M$ and then indicate how to apply the previous procedure to build a basis of $L$ from one of $M$.


Key words. Linear system, Diophantine equation, Smith normal form.

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1. Introduction. Let $R$ be a principal ideal domain. Given $f \in \mathbb{N}$, by a lattice of rank $f$ we understand a free $R$-module $L$ of rank $f$. By a sublattice of $L$, we mean a submodule $M$ of $L$, necessarily free, also of rank $f$. In this case, $L / M$ is a finitely generated torsion $R$-module.

In different settings, we may face the problem of having to construct a basis of $L$ from a known basis $\left\{u_{1}, \ldots, u_{f}\right\}$ of $M$. A prime example occurs when $R=\mathbb{Z}, L=\mathcal{O}_{K}$, the ring of integers of an algebraic number field $K$ of degree $f$ over $\mathbb{Q}, M=\mathbb{Z}[\theta]$, and $\left\{u_{1}, \ldots, u_{f}\right\}=\left\{1, \theta, \ldots, \theta^{f-1}\right\}$, where $\theta \in \mathcal{O}_{K}$ is chosen so that $\mathbb{Q}[\theta]=K$.

A general procedure to construct a basis of $L$ from a known basis $\left\{u_{1}, \ldots, u_{f}\right\}$ of $M$ is available to us, provided we know the index $i(M, L)$ of $M$ in $L$, which is the determinant of the matrix whose columns are the coordinates of any basis of $M$ relative to any basis of $L$. This is determined up to multiplication by units only. Note that if $R=\mathbb{Z}$, then $|i(M, L)|$ is the order of the finite abelian group $L / M$.

If $i(M, L)=1$, then $L=M$ has basis $\left\{u_{1}, \ldots, u_{f}\right\}$. Suppose next $i(M, L) \neq 1$ and let $p \in R$ be a prime factor of $i(M, L)$. Then, $L / M$ has a cyclic submodule isomorphic to $R / R p$, so there exist $a_{1}, \ldots, a_{f} \in \mathbb{Z}$, such that

$$
\begin{equation*}
v=\frac{a_{1} u_{1}+\cdots+a_{f} u_{f}}{p} \in L \backslash M . \tag{1.1}
\end{equation*}
$$

Since $v \notin M$, we have $p \nmid a_{i}$ for some $i$ and we assume for notational convenience that $i=1$. Since $\operatorname{gcd}\left(p, a_{1}\right)=1$, we can find $x, y \in R$ such that $x a_{1}+y p=1$. Here $y p v \in M$, so

$$
x a_{1} v=(1-y p) v=v-y p v \notin M
$$

whence $x v \notin M$ and a fortiori $v^{\prime}=x v+y u_{1} \in L \backslash M$, where

$$
v^{\prime}=\frac{x\left(a_{1} u_{1}+\cdots+a_{f} u_{f}\right)+p y u_{1}}{p}=\frac{u_{1}+x a_{2} u_{2}+\cdots+x a_{f} u_{f}}{p} .
$$

[^0]Thus, replacing $v$ by a suitable $R$-linear combination of itself and $u_{1}$, namely $v^{\prime}$, we may suppose that $a_{1}=1$ in (1.1). Then, $\left\{v, u_{2}, \ldots, u_{f}\right\}$ is a basis for a sublattice, say $P$, of $L$ such that $M \subset P$ and $i(M, P)=p$, so $i(P, L)=i(M, L) / p$. Repeating this process, we eventually arrive at a basis of $L$.

In this paper, we modify and improve this procedure, provided the invariant factors or elementary divisors of $L / M$ are known, and we illustrate the use of this method with a concrete problem.

Indeed, let $F$ be the field of fractions of $R$. Given a matrix $A \in M_{m, n}(R)$, we write $T$ for the nullspace of $A$ in $F^{n}$ so that $S=T \cap R^{n}$ is the nullspace of $A$ in $R^{n}$. We note that $L=S$ is a lattice of rank $f=n-r$, where $r$ is the rank of $A$.

It is easy to find an $F$-basis of $T$ from the reduced row echelon form, say $E$, of $A$. It is not clear at all how to use $E$ to produce an $R$-basis of $S$. To achieve this, we first identify a sublattice $M$ of $L$ as well as a basis of $M$, naturally, in terms of $E$; we then compute the complete structure of the $R$-module $L / M$, namely its invariant factors, whose product is equal to $i(M, L)$; we finally indicate how to build a basis of $L$ from the given basis of $M$ by making use of the full structure of $L / M$.

Now if $r=n$, then $E$ consists of the first $n$ canonical vectors of $R^{m}$, and $L=0$. On the other hand, if $r=0$ then $E=0$ and $L=R^{n}$. None of these cases is of any interest, so we assume throughout that $0<r<n$.

In Sections 2, 3, 4, and 5, we use $E$ to naturally produce a lattice $U$ of rank $f$, a basis of $U$, and a nonzero scalar $d \in R$ such that $M=d U$ is a sublattice of $L$, and $L$ is a sublattice of $U$. Moreover, we compute the full structures of $L / M$ and $U / L$. Furthermore, in Section 6, we indicate how to use either the invariant factors or the elementary divisors of $L / M$ to construct a basis of $L$ from one of $M$ (this is done for arbitrary $L$ and $M$ ). In addition, if $d=p$ is a prime, we indicate in Section 7 how to produce a basis of $L$ more or less directly from one of $U$. Examples can be found in Section 8.

We may summarize our study of $S$ as follows: given the lattice $S$ of all solutions of $A X=0$ in $R^{n}$, we approximate $S$ from below by a naturally occurring lattice of solutions $M$ in $R^{n}$, we determine how far $M$ is from $S$, and we describe how to bridge the gap between them. A like approach was recently utilized in [9] in the special case of a single linear homogeneous equation, that is, when $m=1$, except that in [9] the approximation was taken from above, by means of $U$. The case $m=1$ is necessarily simpler than the general case addressed here, as much in the computation of the structures of $U / S$ and $S / M$ as in the passage from a basis of a lattice to a basis of $S$, where the material from Section 6 not required.

As is well known (see the note at the end of [9, Section 4] in the special case $m=1$ ), we may also find a basis of $S$ by appealing to the Smith normal form $D$ of $A$. There are $P \in \mathrm{GL}_{m}(R)$ and $Q \in \mathrm{GL}_{n}(R)$ such that $D=P A Q$. It is then trivial to find a basis, say $\mathcal{B}$, of the nullspace of $D$, whence $Q \mathcal{B}$ is a basis of the nullspace of $A$. This approach gives no information whatsoever on how far naturally occurring lattices of solutions of $A X=0$ are from $S$, as provided in Theorem 5.1, or how to expand or shrink these lattices to reach $S$, as expounded in Section 6 or [9, Theorem 4.5].

Most of the literature on systems of linear diophantine equations is naturally focused on the case $R=Z$. One significant body of work is focused on nonnegative solutions, with applications to linear programming and combinatorial optimization. See [1], [2], [3], [4], [7], [10], and references therein.

Regarding lattices over the integers and their bases, a large body of literature is concerned with lattice basis reduction, which takes as input a basis of a lattice and aims at producing as output a new basis of
the same lattice with vectors that are short and nearly orthogonal. A celebrated algorithm of this kind is the LLL algorithm [6], which has a wide range of applications, such as in cryptanalysis, algorithmic number theory, factorization of polynomials with rational coefficients, integer linear programming, and many more. See the reference book [8] for comprehensive information on this subject.
2. Reduced matrices. A matrix $Z \in M_{m, n}(R)$ of rank $r$ is said to be reduced if there are $0 \neq d \in R$ and $K \in M_{r, f}(R)$ such that

$$
Z=\left(\begin{array}{cc}
d I_{r} & K  \tag{2.2}\\
0 & 0
\end{array}\right)
$$

Two matrices $B, C \in M_{m, n}$ are associated if there are $L \in \mathrm{GL}_{m}(F)$ and $\Sigma \in \mathrm{GL}_{n}(F)$ such that $\Sigma$ is a permutation matrix and $L B \Sigma=C$. This is clearly an equivalence relation.

Lemma 2.1. The given matrix $A$ is associated with a reduced matrix.
Proof. Let $Y \in M_{m, n}(F)$ be the reduced row echelon form of $A$. Multiplying $Y$ by suitable element of $R$ and permuting the columns of resulting matrix yields a reduced matrix associated with $A$.

For the remainder of the paper, we fix a reduced matrix $Z$ associated with $A$, say via that $L A \Sigma=Z$, and write $J=\left(d I_{r} K\right) \in M_{r, n}(R)$ for the matrix obtained from $Z$ by eliminating its last $m-r$ rows. We let $N$ stand for the nullspace of $J$ in $R^{n}$ so that $S=\Sigma N$ (thus, up to permutation of the variables $X_{1}, \ldots, X_{n}$, our linear system is $J X=0$ ).
3. Choice of a lattice. The linear system $J X=0$ reads as follows:

$$
\begin{gathered}
d X_{1}=-\left(K_{1,1} X_{r+1}+\cdots+K_{1, f} X_{n}\right), \\
d X_{2}=-\left(K_{2,1} X_{r+1}+\cdots+K_{2, f} X_{n}\right), \\
\vdots \\
d X_{r}=-\left(K_{r, 1} X_{r+1}+\cdots+K_{r, f} X_{n}\right) .
\end{gathered}
$$

Consider the $f$ vectors $V(1), \ldots, V(f) \in F^{n}$ and defined as follows:

$$
V(1)=\left(\begin{array}{c}
-\frac{K_{1,1}}{d}  \tag{3.3}\\
\vdots \\
-\frac{K_{r, 1}}{d} \\
1 \\
0 \\
\vdots \\
0
\end{array}\right), \ldots, V(f)=\left(\begin{array}{c}
-\frac{K_{1, f}}{d} \\
\vdots \\
-\frac{K_{r, f}}{d} \\
0 \\
\vdots \\
0 \\
1
\end{array}\right)
$$

It is clear that $\{V(1), \ldots, V(f)\}$ is an $F$-basis of the nullspace of $J$ in $F^{n}$. We set

$$
W=\operatorname{span}_{R}\{V(1), \ldots, V(f)\}
$$

so that $\{V(1), \ldots, V(f)\}$ is an $R$-basis of $W$. We thus have

$$
\begin{equation*}
d W \subseteq N \subseteq W \tag{3.4}
\end{equation*}
$$

and we aim to determine the structure of the factors:

$$
N / d W \text { and } W / N
$$

where

$$
W / d W \cong(R / R d)^{f}
$$

Given $\alpha_{1}, \ldots, \alpha_{f} \in F$, we have

$$
\begin{equation*}
\alpha_{1} V(1)+\cdots+\alpha_{f} V(f) \in N \Leftrightarrow \alpha_{1}, \ldots, \alpha_{f} \in R \text { and } \alpha_{1} K_{i, 1}+\cdots+\alpha_{f} K_{i, f} \equiv 0 \quad \bmod d, 1 \leq i \leq r \tag{3.5}
\end{equation*}
$$

Thus, we have an isomorphism $R^{f} \rightarrow W$ given by:

$$
\left(\alpha_{1}, \ldots, \alpha_{f}\right) \mapsto \alpha_{1} V(1)+\cdots+\alpha_{f} V(f),
$$

and $N$ corresponds to the submodule, say $Y$, of $R^{f}$ of all $\left(\alpha_{1}, \ldots, \alpha_{f}\right)$ such that the right-hand side of (3.5) holds. In particular, $W / N \cong R^{f} / Y$.
4. Each of $N / d W$ and $W / N$ determines the other. By the theory of finitely generated modules over a principal ideal domain, there is a basis $\left\{u_{1}, \ldots, u_{f}\right\}$ of $W$ and nonzero elements $a_{1}, \ldots, a_{f} \in R$ such that

$$
a_{1}|\cdots| a_{f} \mid d
$$

and $\left\{a_{1} u_{1}, \ldots, a_{f} u_{f}\right\}$ is a basis of $N$. Since $\left\{d u_{1}, \ldots, d u_{f}\right\}$ is a basis of $d W$, we see that

$$
W / N \cong\left(R / R a_{1}\right) \oplus \cdots \oplus\left(R / R a_{f}\right) \text { and } N / d W \cong\left(R / R b_{f}\right) \oplus \cdots \oplus\left(R / R b_{1}\right)
$$

where

$$
b_{i}=d / a_{i}, \quad 1 \leq i \leq f
$$

and

$$
b_{f}|\cdots| b_{1}
$$

As $d$ is fixed, we see that $N / d W$ and $W / N$ determine each other.
5. Structures of $W / N$ and $N / d W$. Set $\bar{R}=R / R d$ and consider the homomorphism of $R$-modules:

$$
\Delta: R^{f} \rightarrow R^{r} \rightarrow \bar{R}^{r}
$$

given by:

$$
\alpha \mapsto \bar{K} \bar{\alpha},
$$

where $\alpha=\left(\alpha_{1}, \ldots, \alpha_{f}\right)$, and $\bar{K}$ and $\bar{\alpha}$ are the reductions of $K$ and $\alpha$ modulo $R d$. Then, (3.5) shows that the kernel of $\Delta$ is $Y$. Thus,

$$
W / N \cong R^{f} / Y \cong \Delta\left(R^{f}\right) \cong C(\bar{K})
$$

where $C(\bar{K})$ is the column space of $\bar{K}$, namely the $\bar{R}$-span of the columns of $\bar{K}$.
Consider the natural epimorphism of $R$-modules $\Lambda: R^{r} \rightarrow \bar{R}^{r}$ with kernel $(R d)^{r}$. Then, $\Lambda$ restricts to an epimorphism of $R$-modules $\Omega: C(K) \rightarrow C(\bar{K})$ with kernel $C(K) \cap(R d)^{r}$.

Let $Q=\operatorname{diag}\left(q_{1}, \ldots, q_{s}\right)$ be the Smith normal form of $K$, where $q_{1}|\cdots| q_{s}$ and $s=\min \{r, n-r\}$, and let $t$ be the rank of $K$, so that $t=0$ if and only if $K=0$.

If $K=0$, then (3.5) implies that $W=N$ and a fortiori:

$$
N / d W \cong W / d W \cong \bar{R}^{f}
$$

Suppose next $K \neq 0$. Then, $t$ is the last index such that $q_{t} \neq 0$ and from the theory of finitely generated modules over a principal ideal domain, there is a basis $\left\{u_{1}, \ldots, u_{r}\right\}$ of $R^{r}$ such that $\left\{q_{1} u_{1}, \ldots, q_{t} u_{t}\right\}$ is a basis for $C(K)$. Notice that

$$
C(K) \cap(R d)^{r}=\left(R q_{1} u_{1} \oplus \cdots \oplus R q_{t} u_{t}\right) \cap\left(R d u_{1} \oplus \cdots \oplus R d u_{r}\right)=R \operatorname{lcm}\left(d, q_{1}\right) u_{1} \oplus \cdots \oplus R \operatorname{lcm}\left(d, q_{t}\right) u_{t}
$$

so that

$$
W / N \cong C(\bar{K}) \cong C(K) /\left(C(K) \cap(R d)^{r}\right) \cong\left(R q_{1} u_{1} \oplus \cdots \oplus R q_{t} u_{t}\right) /\left(R \operatorname{lcm}\left(d, q_{1}\right) u_{1} \oplus \cdots \oplus R \operatorname{lcm}\left(d, q_{t}\right) u_{t}\right)
$$

Since

$$
\operatorname{lcm}\left(d, q_{i}\right) / q_{i}=d / \operatorname{gcd}\left(d, q_{i}\right), \quad 1 \leq i \leq t
$$

setting

$$
m_{i}=\operatorname{lcm}\left(d, q_{i}\right) / q_{i}, d_{i}=d / \operatorname{gcd}\left(d, q_{i}\right), \quad 1 \leq i \leq t
$$

we infer

$$
\begin{equation*}
W / N \cong R / R m_{t} \oplus \cdots \oplus R / R m_{1} \cong R / R d_{t} \oplus \cdots \oplus R / R d_{1} \tag{5.6}
\end{equation*}
$$

Adding $f-t$ zero summands to the right-hand side of (5.6), we may write

$$
W / N \cong(R / R \cdot 1)^{f-t} \oplus R / R d_{t} \oplus \cdots \oplus R / R d_{1}
$$

We finally deduce from Section 4 the sought formula:

$$
\begin{equation*}
N / W d \cong R / R \operatorname{gcd}\left(d, q_{1}\right) \oplus \cdots \oplus R / R \operatorname{gcd}\left(d, q_{t}\right) \oplus(R / R d)^{f-t} \tag{5.7}
\end{equation*}
$$

Dividing every entry of $Z$ by $g=\operatorname{gcd}\left\{d, K_{i j} \mid 1 \leq i \leq r, 1 \leq j \leq f\right\}$, we may assume that $g=1$, which translates into $\operatorname{gcd}\left(d, q_{1}\right)=1$. In this case, if $r=1$ then (5.6) and (5.7) reduce to the corresponding formulas from [9, Theorems 4.1 and 3.2], respectively.

Notice that (5.6) and (5.7) remain valid when $K=0$.
Set $U=\Sigma W$, with $\Sigma$ as in Section 2, and let $M=d U$. We have an isomorphism $W \rightarrow U$, given by $X \mapsto \Sigma X$, yielding isomorphisms $W / N \rightarrow U / S$ and $N / d W \rightarrow S / M$. We have thus proved the following result.

Theorem 5.1. Let $A \in M_{m, n}(R)$, with rank $0<r<n$ and nullspace $S$ in $R^{n}$. Let $Z$ be a reduced matrix associated with $A$, as in (2.2), say via $L A \Sigma=Z$. Let $W$ be the free $R$-module of rank $n-r$ corresponding to $Z$ as defined in Section 3 and set $U=\Sigma W$ and $M=d U$. Then, $M \subseteq S \subseteq U$, where $U / S \cong W / N$ and $S / M \cong N / d W$ are as described in (5.6) and (5.7), respectively.

Corollary 5.2. We have $U=S$ if and only if d divides every entry of $K$, and $S=M$ if and only if $\operatorname{gcd}\left(d, q_{i}\right)=1,1 \leq i \leq t$, and either $d$ is a unit or $K$ has rank $f$.

Proof. This follows immediately from (5.6) and (5.7).
6. An improved procedure to construct a basis of $L$. Here, we go back to the general case and suppose that $L$ is an arbitrary lattice of rank $f$ with a proper sublattice $M$. We assume that the list of invariant factors or elementary divisors of $L / M$ is known, and we wish to use one list or the other to improve the process indicated in the Introduction to obtain a basis of $L$ from a given basis $\left\{u_{1}, \ldots, u_{f}\right\}$ of $M$.

Let $g_{1}, \ldots, g_{s} \in R$ be the unique elements, up to multiplication by units, such that $g_{1}$ is not a unit, $g_{s}$ is not zero, $g_{1}|\cdots| g_{s}$, and

$$
\begin{equation*}
L / M \cong R / R g_{1} \oplus \cdots \oplus R / R g_{s} \tag{6.8}
\end{equation*}
$$

Here, $i(M, L)=g_{1} \cdots g_{s}$, and we will use all of $g_{1}, \ldots, g_{s}$ instead of $i(M, L)$ to obtain a basis of $L$. The idea is to advance one invariant factor of $L / M$ at a time, rather than one prime factor of $i(M, L)$ at a time.

According to (6.8), $S / M$ has a vector with annihilating ideal $R g_{s}$. This means that there are $a_{1}, \ldots, a_{f}$ in $R$ such that the following extension of (1.1) holds

$$
\begin{equation*}
v=\frac{a_{1} u_{1}+\cdots+a_{f} u_{f}}{g_{s}} \in L \text { but } h v \notin M \text { for any proper factor } h \text { of } g_{s} \tag{6.9}
\end{equation*}
$$

In particular, $R v \cap M=R g_{s} v$, and we set $P=R v+M$. Thus,

$$
P / M \cong R v /(R v \cap M) \cong R / R g_{s}
$$

is a submodule of $L / M$. On the other hand, it is well known [5, Lemma 6.8 and Theorem 6.7] that any cyclic submodule of $L / M$ with annihilating ideal $R g_{s}$ is complemented in $L / M$. The uniqueness of the invariant factors of $L / M$ implies that

$$
S / P \cong R / R g_{1} \oplus \cdots \oplus R / R g_{s-1}
$$

Thus, if we can provide a way to produce a basis of $P$ from a basis of $M$, then successively applying the above procedure with $g_{s}, g_{s-1}, \ldots, g_{1}$ will yield a basis of $L$. We next indicate two ways to construct a basis of $P$ from $\left\{u_{1}, \ldots, u_{f}\right\}$ and $v$. Set $v=u_{f+1}$ and $a_{f+1}=-g_{s}$. Then from the first condition in (6.9), we have

$$
\begin{equation*}
a_{1} u_{1}+\cdots+a_{f} u_{f}+a_{f+1} u_{f+1}=0 \tag{6.10}
\end{equation*}
$$

while the second condition in (6.9) implies

$$
\begin{equation*}
\operatorname{gcd}\left(a_{1}, \ldots, a_{f}, a_{f+1}\right)=1 \tag{6.11}
\end{equation*}
$$

In the first way, set $a=\left(a_{1}, \ldots, a_{f+1}\right)$ and let $u$ be the column vector with vector entries $\left(u_{1}, \ldots, u_{f+1}\right)$. Using an obvious notation, (6.10) means $a u=0$. Moreover, from (6.11), we infer the existence of $Q$ in $\operatorname{GL}_{f+1}(R)$ such that $a Q=(1,0, \ldots, 0)$. Setting $v=Q^{-1} u$, we have

$$
0=a u=a Q Q^{-1} u=(1,0, \ldots, 0) v
$$

Now $v$ is a column vector, say with vector entries $\left(v_{1}, \ldots, v_{f+1}\right)$, where $v_{1}=0$. But $v=Q^{-1} u$ ensures that the entries of $u$ and $v$ have the same span. Since $P$ is a lattice of rank $f$, it follows that the $f$ spanning vectors $v_{2}, \ldots, v_{f+1}$ must form a basis of $P$.

For the second way, we assume that $R$ is an Euclidean domain. Thus, $R$ is an integral domain endowed with a function $\delta: R \rightarrow \mathbb{Z}_{\geq 0}$ such that given any $a, b \in R$ with $b \neq 0$, there are $q, r \in R$ such that $a=b q+r$, with $r=0$ or $\delta(r)<\delta(b)$. We may then use (6.11) and the Euclidean algorithm to transform (6.10) into

$$
\begin{equation*}
b_{1} v_{1}+\cdots+b_{f} v_{f}+v_{f+1}=0 \tag{6.12}
\end{equation*}
$$

where $u_{1}, \ldots, u_{f+1}$ and $v_{1}, \ldots, v_{f}$ span the same module. As above, this implies that $\left\{v_{1}, \ldots, v_{f}\right\}$ is a basis of $P$. We briefly describe the foregoing transformation. Choose $1 \leq i \leq f+1$ such that $a_{i} \neq 0$ with $\delta\left(a_{i}\right)$ is as small as possible. For notational convenience, let us assume that $i=f+1$. Dividing every other $a_{j}$ by $a_{f+1}$, we obtain $a_{j}=q_{j} a_{f+1}+r_{j}$, where $r_{j}=0$ or $\delta\left(r_{j}\right)<\delta\left(a_{j}\right), 1 \leq j \leq f$. If every $r_{j}=0$, then (6.11) forces $a_{f+1}$ to be a unit, so dividing (6.10) by $a_{f+1}$ we obtain (6.12). Suppose at least one $r_{j} \neq 0$. We can rewrite (6.10) in the form:

$$
r_{1} u_{1}+\cdots+r_{f} u_{f}+a_{f+1}\left(q_{1} u_{1}+\cdots+q_{f} u_{f}+u_{f+1}\right)=0,
$$

where $u_{1}, \ldots, u_{f}, u_{f+1}$ and $u_{1}, \ldots, u_{f}, q_{1} u_{1}+\cdots+q_{f} u_{f}+u_{f+1}$ span the same module, $r_{j} \neq 0, \delta\left(r_{j}\right)<$ $\delta\left(a_{f+1}\right)$, and $\operatorname{gcd}\left(r_{1}, \ldots, r_{f}, a_{f+1}\right)=1$. Since $\delta$ takes only nonnegative values, repeating this process we must eventually arrive to a unit remainder, as required for (6.12).

We next indicate how to use the elementary divisors of $L / M$ instead of its invariant factors to construct a basis of $L$. There are more of the former than of the latter, but this is be balanced by the fact that each intermediate basis is more easily found. Let $p \in R$ be a prime, $1 \leq e_{1} \leq \cdots \leq e_{k}$, and suppose that $p^{e_{1}}, \ldots, p^{e_{k}}$ are the $p$-elementary divisors of $L / M$. Set $e=e_{k}$. Then, $L / M$ has a vector with annihilating ideal $R p^{e}$, which translates as follows. There are $a_{1}, \ldots, a_{f} \in R$ such that the following extension of (1.1) holds

$$
\begin{equation*}
v=\frac{a_{1} u_{1}+\cdots+a_{f} u_{f}}{p^{e}} \in L \text { but } p^{e-1} v \notin M . \tag{6.13}
\end{equation*}
$$

By [5, Lemma 6.8], any vector of $L / M$ with annihilating ideal $R p^{e}$ has a complement in $L / M$. Thus, the preceding procedure applies, except that now we advance one $p$-elementary divisor of $L / M$ at a time. In this case, however, it is easier to pass from a basis to the next one. Indeed, since $p^{e-1} v \notin M$, we must have $p \nmid a_{i}$ for some $i$, and the same argument given in the Introduction produces a basis of the span of $v, u_{1}, \ldots, u_{f}$ from the basis $\left\{u_{1}, \ldots, u_{f}\right\}$ of $M$.

We finally indicate how to apply the above procedure when $L=S, M=d U$, and we take $\left\{u_{1}, \ldots, u_{f}\right\}=$ $\Sigma\{d V(1), \ldots, d V(f)\}$. The invariant factors of $S / M \cong N / d W$ are given in Theorem 5.1, and we can obtain from these corresponding the elementary divisors. Furthermore, Corollary 5.2 makes it clear when $S=M$. Observe that we can replace $L$ in (6.9) and (6.13) by $R^{n}$, for in that case $v \in F^{n} \cap T=S$.
7. The case when $d$ is a prime. We assume throughout this section that $d=p$ is a prime and set $\bar{R}=R / R p$. In this case, a sharpening of (5.6) and (5.7) is available, and we can obtain a basis of $N$, and hence of $S=\Sigma N$, directly, without having to resort to the procedure outlined in Section 6. It follows from (3.4) that all of $W / p W, W / N$, and $N / p W$ are $\bar{R}$-vector spaces, and hence completely determined by their dimensions. Let $\bar{K}$ be the reduction of $K$ modulo $p$. Then, $W / p W \cong \bar{R}^{f} ; N / p W$ is isomorphic to the nullspace of $\bar{K}$ by Section 3; and $W / N$ is isomorphic to the column space of $\bar{K}$ by Section 5. Thus,

$$
\begin{equation*}
\operatorname{dim} W / N=\operatorname{rank} \bar{K}, \operatorname{dim} N / p W=f-\operatorname{rank} \bar{K} . \tag{7.14}
\end{equation*}
$$

This formula is compatible with the isomorphism:

$$
W / N \cong(W / p W) /(N / p W) .
$$

Moreover, a careful examination of (7.14) reveals that, as expected, it is in agreement with (5.6) and (5.7).
Next, we show how to obtain a basis of $N$ directly from the basis $\{V(1), \ldots, V(f)\}$ of $W$. Let $H \in$ $M_{r, f}(R)$ be such that $\bar{H}$ is the reduced row echelon form of $\bar{K}$. For simplicity of notation, let us assume that the leading columns of $\bar{H}$ are columns $1, \ldots, s$.

Theorem 7.1. Consider the vectors:

$$
z_{1}=p V(1), \ldots, z_{s}=p V(s)
$$

and if $s<f$ also the vectors:

$$
z_{s+i}=-\left(H_{1, s+i} V(1)+\cdots+H_{s, s+i} V(s)\right)+V(s+i), \quad 1 \leq i \leq f-s
$$

Then, $\left\{z_{1}, \ldots, z_{f}\right\}$ is a basis of $N$ (if $s=0\left\{z_{1}, \ldots, z_{f}\right\}$ is simply $\{V(1), \ldots, V(f)\}$ ).
Proof. Given $\alpha=\left(\alpha_{1}, \ldots, \alpha_{f}\right) \in R^{f}$, we have

$$
\bar{K} \bar{\alpha}=0 \Leftrightarrow \bar{H} \bar{\alpha}=0,
$$

and therefore (3.5) gives

$$
\alpha_{1} V(1)+\cdots+\alpha_{f} V(f) \in N \Leftrightarrow \bar{H} \bar{\alpha}=0 .
$$

Our choice of $H$ ensures that $z_{1}, \ldots, z_{f} \in N$. Let $G \in M_{f}(R)$ be the matrix whose columns are the coefficients of $z_{1}, \ldots, z_{f}$ relative to the basis $V(1), \ldots, V(f)$ of $W$. Then, $|G|=p^{s}$. On the other hand, $W / N \cong C(\bar{K})$ is a vector space over $\bar{R}$ of dimension $s$, so there is a basis $\left\{u_{1}, \ldots, u_{f}\right\}$ of $W$ such that $\left\{p u_{1}, \ldots, p u_{s}, u_{s+1}, \ldots, u_{f}\right\}$ is a basis of $N$. It follows from [9, Lemma 4.3] that $\left\{z_{1}, \ldots, z_{f}\right\}$ is a basis of N.]

## 8. Examples.

(1) Consider the case $R=\mathbb{Z}, n=4$, and

$$
A=\left(\begin{array}{cccc}
2 & 3 & 5 & 4 \\
3 & -5 & 2 & -7
\end{array}\right)
$$

Let $B$ (resp. $C$ ) be the $2 \times 2$ submatrix formed by the first (resp. last) two columns of $A$ and let $D$ be the adjoint of $B$. Then $|B|=-19$, which implies $|D|=-19$ and $|D C| \equiv|D||C| \equiv 0 \bmod 19$. Multiplying $A$ on the left by $C$, we obtain the the following reduced matrix $Z$ associated with $A$ :

$$
Z=\left(\begin{array}{cc}
d I_{2} & K
\end{array}\right)=\left(\begin{array}{cccc}
-19 & 0 & -31 & 1 \\
0 & -19 & -11 & -26
\end{array}\right) .
$$

The reduction of $K$ modulo 19 has rank $s=1$, since $|K| \equiv 0 \bmod 19$ and not all entries of $K$ are divisible by 19. In this case, the formulas from Section 7 give $S / 19 W \cong \mathbb{Z} / 19 \mathbb{Z}$ and $W / S \cong \mathbb{Z} / 19 \mathbb{Z}$. We can use this information to obtain a $\mathbb{Z}$-basis of $S$. Indeed, by Section 3 the vectors:

$$
V(1)=(-31 / 19,-11 / 19,1,0), V(2)=(1 / 19,-26 / 19,0,1)
$$

form a $\mathbb{Q}$-basis of $T$. Moreover, it is clear that if $\alpha_{1}, \alpha_{2} \in \mathbb{Q}$, then $\alpha_{1} V(2)+\alpha_{2} V(2) \in S$ if and only if $\alpha_{1}, \alpha_{2} \in \mathbb{Z}$ and

$$
-31 \alpha_{1}+\alpha_{2} \equiv 0 \quad \bmod 19,11 \alpha_{1}+26 \alpha_{2} \equiv 0 \quad \bmod 19
$$

The second equation is redundant since $|K| \equiv 0 \bmod 19$, and the first equation is equivalent to

$$
\alpha_{2} \equiv 12 \alpha_{1} \quad \bmod 19
$$

This yields the following vectors from $S$ :

$$
z_{1}=19 V(2)=(1,-26,0,19), z_{2}=V(1)+12 V(2)=(-1,-17,1,12)
$$

The $2 \times 2$ matrix formed by coordinates of $z_{1}, z_{2}$ relative to $V(1), V(2)$ is

$$
\left(\begin{array}{cc}
0 & 1 \\
19 & 12
\end{array}\right)
$$

This implies $W /\left(R z_{1} \oplus R z_{2}\right) \cong \mathbb{Z} / 19 \mathbb{Z}$, whence $\left\{z_{1}, z_{2}\right\}$ is a basis of $S$.
(2) Consider the case $R=\mathbb{Z}, r=3, n=6$, and

$$
A=\left(\begin{array}{cccccc}
1 & 1 & 1 & 1 & 2 & 3 \\
1 & 3 & 7 & 4 & 5 & 6 \\
1 & 9 & 49 & 7 & 8 & 9
\end{array}\right)
$$

Let $B$ be the $3 \times 3$ submatrix formed by the first three columns of $A$. Then $B$ is a Vandermonde matrix with determinant 48 . Let $C$ be the adjoint of $B$. Then,

$$
C=\left(\begin{array}{ccc}
84 & -40 & 4 \\
-42 & 48 & -6 \\
6 & -8 & 2
\end{array}\right)
$$

Multiplying $A$ on the left by $C$, we obtain the matrix:

$$
\left(\begin{array}{cccccc}
48 & 0 & 0 & -48 & 0 & 48 \\
0 & 48 & 0 & 108 & 108 & 108 \\
0 & 0 & 48 & -12 & -12 & -12
\end{array}\right)
$$

Dividing every entry by 12 , we obtain the following reduced matrix $Z$ associated with $A$ :

$$
Z=\left(\begin{array}{cc}
d I_{3} & K
\end{array}\right)=\left(\begin{array}{cccccc}
4 & 0 & 0 & -4 & 0 & 4 \\
0 & 4 & 0 & 9 & 9 & 9 \\
0 & 0 & 4 & -1 & -1 & -1
\end{array}\right)
$$

Thus, (3.3) produces a free submodule $M$ of $S$ of rank 3 with basis:

$$
u_{1}=(4,-9,1,4,0,0), u_{2}=(0,-9,1,0,4,0), u_{3}=(-4,-9,1,0,0,4)
$$

The Smith normal form of $K$ is $\operatorname{diag}(1,4,0)$. Here, $d=4, f=3$, and $t=2$, so according to (5.7), we have

$$
S / M \cong \mathbb{Z} / 4 \mathbb{Z} \oplus \mathbb{Z} / 4 \mathbb{Z}
$$

We look for $a, b, c \in \mathbb{Z}$ such that

$$
v=\frac{a u_{1}+b u_{2}+c u_{3}}{4} \in \mathbb{Z}^{3} \text { but } 2 v \notin M
$$

This translates into

$$
a+b+c \equiv 0 \quad \bmod 4 \text { and }(a, b, c) \notin(2 \mathbb{Z})^{3} .
$$

Taking $(a, b, c)=(1,-1,1)$, we find the following vectors from $S$ :

$$
z_{1}=\left(u_{1}-u_{2}\right) / 4, z_{2}=\left(u_{2}-u_{3}\right) / 4, u_{3}
$$

We clearly have

$$
\left(\mathbb{Z} z_{1} \oplus \mathbb{Z} z_{2} \oplus \mathbb{Z} z_{3}\right) / M \cong \mathbb{Z} / 4 \mathbb{Z} \oplus \mathbb{Z} / 4 \mathbb{Z}
$$

which implies that $\left\{z_{1}, z_{2}, z_{3}\right\}$ is a basis of $S$.
(3) Consider the case $R=\mathbb{Z}, r=3, n=6$, and

$$
A=\left(\begin{array}{cccccc}
12 & 24 & 36 & -4 & 12 & 44 \\
24 & 36 & 12 & -2 & 10 & 20 \\
36 & 12 & 24 & 0 & 20 & 44
\end{array}\right)
$$

Multiplying $A$ on the left by a suitable matrix from $\mathrm{GL}_{3}(\mathbb{Q})$ yields the the following reduced matrix associated with $A$ :

$$
Z=\left(\begin{array}{ll}
d I_{3} & K
\end{array}\right)=\left(\begin{array}{cccccc}
12 & 0 & 0 & 1 & 5 & 6 \\
0 & 12 & 0 & -1 & -1 & -2 \\
0 & 0 & 12 & -1 & 3 & 14
\end{array}\right)
$$

Following (3.3), we obtain a free submodule $M$ of $S$ of rank 3 having basis:

$$
u_{1}=(-1,1,1,12,0,0), u_{2}=(-5,1,-3,0,12,0), u_{3}=(-6,2,-14,0,0,12)
$$

The Smith normal form of $K$ is $\operatorname{diag}(1,4,12)$. We have $d=12, f=3$ and $t=3$, so (5.7) yields

$$
S / M \cong \mathbb{Z} / 4 \mathbb{Z} \oplus \mathbb{Z} / 12 \mathbb{Z}
$$

We use (6.9) to obtain the vector:

$$
v=\frac{-u_{1}-u_{2}+u_{3}}{12}=(0,0,-1,-1,-1,1) \in S
$$

Then, $\left\{v, u_{2}, u_{3}\right\}$ is a basis of a module $P$ containing $M$ such that $S / P \cong \mathbb{Z} / 4 \mathbb{Z}$. Applying (6.9) once again yields the vector:

$$
w=\frac{4 v+2 u_{2}+u_{3}}{4}=(-4,1,-6,-1,5,4) \in S
$$

and the basis $\left\{w, v, u_{2}\right\}$ of $S$.

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