

# LARGEST EIGENVALUES OF THE DISCRETE $p$ -LAPLACIAN OF TREES WITH DEGREE SEQUENCES\*

TÜRKER BIYIKOĞLU<sup>†</sup>, MARC HELLMUTH<sup>‡</sup>, AND JOSEF LEYDOLD<sup>§</sup>

**Abstract.** Trees that have greatest maximum  $p$ -Laplacian eigenvalue among all trees with a given degree sequence are characterized. It is shown that such extremal trees can be obtained by breadth-first search where the vertex degrees are non-increasing. These trees are uniquely determined up to isomorphism. Moreover, their structure does not depend on  $p$ .

**Key words.** Discrete  $p$ -Laplacian, Largest eigenvalue, Eigenvector, Tree, Degree sequence, Majorization.

**AMS subject classifications.** 05C35, 05C75, 05C05, 05C50.

**1. Introduction.** The eigenvalues of the combinatorial Laplacian have been intensively investigated during the last decades. Recently there is an increasing interest in the discrete  $p$ -Laplacian, a natural generalization of the Laplacian, which corresponds to  $p = 2$ ; see e.g., [1–3, 9]. The related eigenvalue problems have been occasionally occurred in fields like network analysis [5, 10], pattern recognition [12], or image processing [6].

For a simple connected undirected graph  $G = (V, E)$  with vertex set  $V$  and edge set  $E$  the *discrete  $p$ -Laplacian*  $\Delta_p(G)$  of a function  $f$  on  $V$  ( $1 < p < \infty$ ) is given by

$$\Delta_p(G)f(v) = \sum_{u \in V, uv \in E} (f(v) - f(u))^{[p-1]}.$$

We use the symbol  $t^{[q]}$  to denote a “power” function that preserves the sign of  $t$ , i.e.,  $t^{[q]} = \text{sign}(t) \cdot |t|^q$ . Notice that for  $p = 2$ ,  $\Delta_2(G)$  is the well-known combinatorial graph Laplacian, usually defined as  $\Delta(G) = D(G) - A(G)$  where  $A(G)$  denotes the adjacency matrix of  $G$  and  $D(G)$  the diagonal matrix of vertex degrees. For  $p \neq 2$ ,  $\Delta_p(G)$  is a non-linear operator. We write  $\Delta_p$  (and  $\Delta$ ) for short if there is no risk of confusion.

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\*Received by the editors June 9, 2008. Accepted for publication March 17, 2009. Handling Editor: Richard A. Brualdi.

<sup>†</sup>Department of Mathematics, Işık University, Şile 34980, Istanbul, Turkey (turker.biyikoglu@isikun.edu.tr).

<sup>‡</sup>Department of Computer Science, Bioinformatics, University of Leipzig, Haertelstrasse 16–18, D-04107 Leipzig, Germany (marc@bioinf.uni-leipzig.de).

<sup>§</sup>Department of Statistics and Mathematics, WU (Vienna University of Economics and Business), Augasse 2–6, A-1090 Wien, Austria (josef.leydold@wu-wien.ac.at).

A real number  $\lambda$  is called an *eigenvalue* of  $\Delta_p(G)$  if there exists a function  $f \neq 0$  on  $V$  such that

$$(1.1) \quad \Delta_p f(v) = \lambda f(v)^{[p-1]}.$$

The function  $f$  is then called the *eigenfunction* corresponding to  $\lambda$ .

In this paper we are interested in the largest eigenvalue of  $\Delta_p(G)$ , which we denoted by  $\mu_p(G)$ . In particular we investigate the structure of trees which have largest maximum eigenvalue  $\mu_p(T)$  among all trees with a given degree sequence. We call such trees *extremal trees*. We show that for such trees the degree sequence is non-increasing with respect to an ordering of the vertices that is obtained by breadth-first search. Furthermore, we show that the largest maximum eigenvalue in such classes of trees is strictly monotone with respect to some partial ordering of degree sequences. Thus, we extend a result that has been independently shown by Zhang [11] and Bıyıkoglu et al. [4]. It is remarkable that this result is independent from the value of  $p$ . Although there is still little known about the  $p$ -Laplacian our result shows that it shares at least some of the properties with the combinatorial Laplacian.

**2. Degree Sequences and Largest Eigenvalue.** Let  $d(v)$  denote the degree of vertex  $v$ . We call a vertex  $v$  with  $d(v) = 1$  a *pendant vertex* (or *leaf*) of a graph. Recall that a non-increasing sequence  $\pi = (d_0, \dots, d_{n-1})$  of non-negative integers is called *degree sequence* if there exists a graph  $G$  for which  $d_0, \dots, d_{n-1}$  are the degrees of its vertices. In particular,  $\pi$  is a tree sequence, i.e. a degree sequence of some tree, if and only if every  $d_i > 0$  and  $\sum_{i=0}^{n-1} d_i = 2(n-1)$ . We refer the reader to [7] for relevant background on degree sequences. We denote the class of trees with a given degree sequence  $\pi$  as

$$\mathcal{T}_\pi = \{G \text{ is a tree with degree sequence } \pi\}.$$

We want to give a characterization of extremal trees in  $\mathcal{T}_\pi$ , i.e., those trees in  $\mathcal{T}_\pi$  that have greatest maximum eigenvalue. For this task we introduce an ordering of the vertices  $v_0, \dots, v_{n-1}$  of a graph  $G$  by means of breadth-first search: Select a vertex  $v_0 \in G$  and create a sorted list of vertices beginning with  $v_0$ ; append all neighbors  $v_1, \dots, v_{d(v_0)}$  of  $v_0$  sorted by decreasing degrees; then append all neighbors of  $v_1$  that are not already in this list; continue recursively with  $v_2, v_3, \dots$  until all vertices of  $G$  are processed. In this way we build layers where each  $v$  in layer  $i$  is adjacent to some vertex  $w$  in layer  $i-1$  and vertices  $u$  in layer  $i+1$ . We then call the vertex  $w$  the *parent* of  $v$  and  $v$  a *child* of  $w$ .

**DEFINITION 2.1** (BFD-ordering). Let  $G = (V, E)$  be a connected graph with root  $v_0$ . Then a well-ordering  $\prec$  of the vertices is called *breadth-first search ordering with decreasing degrees* (*BFD-ordering* for short) if the following holds for all vertices in  $V$ :

- (B1) if  $w_1 \prec w_2$  then  $v_1 \prec v_2$  for all children  $v_1$  of  $w_1$  and  $v_2$  of  $w_2$ ;  
 (B2) if  $v \prec u$ , then  $d(v) \geq d(u)$ .

We call a connected graph that has a BFD-ordering of its vertices a *BFD-graph* (see Fig. 2.1 for an example).

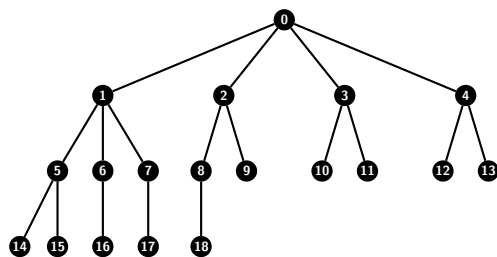


FIG. 2.1. A BFD-tree with degree sequence  $\pi = (4^2, 3^4, 2^3, 1^{10})$

Every graph has for each of its vertices  $v$  an ordering with root  $v$  that satisfies (B1). This can be found by a breadth-first search as described above. However, not all trees have an ordering that satisfies both (B1) and (B2); consider the tree in Fig. 2.2.

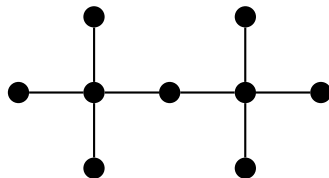


FIG. 2.2. A tree with degree sequence  $\pi = (4^2, 2^1, 1^6)$  where no BFD-ordering exists.

**THEOREM 2.2.** *A tree  $T$  with degree sequence  $\pi$  is extremal in class  $\mathcal{T}_\pi$  (i.e., has greatest maximum  $p$ -Laplacian eigenvalue) if and only if it is a BFD-tree.  $T$  is then uniquely determined up to isomorphism. The BFD-ordering is consistent with the corresponding eigenfunction  $f$  of  $G$  in such a way that  $|f(u)| > |f(v)|$  implies  $u \prec v$ .*

For a tree with degree sequence  $\pi$  a sharp upper bound on the largest eigenvalue can be found by computing the corresponding BFD-tree. Obviously finding this tree can be done in  $O(n)$  time if the degree sequence is sorted.

We now define a partial ordering on degree sequences  $\pi = (d_0, \dots, d_{n-1})$  and  $\pi' = (d'_0, \dots, d'_{n'-1})$  with  $n \leq n'$  and  $\pi \neq \pi'$  as follows: we write  $\pi \triangleleft \pi'$  if and only if  $\sum_{i=0}^j d_i \leq \sum_{i=0}^j d'_i$  for all  $j = 0, \dots, n-1$  (recall that the degree sequences are non-increasing). Such an ordering is called *majorization*.

**THEOREM 2.3.** *Let  $\pi$  and  $\pi'$  be two distinct degree sequences of trees with  $\pi \triangleleft \pi'$ . Let  $T$  and  $T'$  be extremal trees in the classes  $\mathcal{T}_\pi$  and  $\mathcal{T}_{\pi'}$ , respectively. Then we find for the corresponding maximum eigenvalues  $\mu_p(T) < \mu_p(T')$ .*

The following corollaries generalize results which are well-known for the case  $p = 2$ .

**COROLLARY 2.4.** *A tree  $T$  is extremal in the class of all trees with  $n$  vertices if and only if it is the star  $K_{1,n-1}$ .*

**COROLLARY 2.5.** *A tree  $G$  is extremal in the class of all trees with  $n$  vertices and  $k$  leaves if and only if it is a star  $K_{1,k}$  with paths of almost the same lengths attached to each of its  $k$  leaves. Almost means that each path of two leaves in the tree  $G$  has length  $l \in \{2 \cdot \lfloor \frac{n-1}{k} \rfloor, \lfloor \frac{n-1}{k} \rfloor + \lceil \frac{n-1}{k} \rceil, 2 \cdot \lceil \frac{n-1}{k} \rceil\}$ .*

*Proof.* [ of Cor. 2.4 and 2.5] The tree sequences  $\pi_n = (n-1, 1, \dots, 1)$  and  $\pi_{n,k} = (k, 2, \dots, 2, 1, \dots, 1)$  are maximal w.r.t. ordering  $\triangleleft$  in the respective classes of all trees with  $n$  vertices and all trees with  $n$  vertices and  $k$  pendant vertices. Thus the statement immediately follows from Theorems 2.2 and 2.3.  $\square$

**3. Preliminaries.** The non-linear Rayleigh quotient of  $\Delta_p(G)$  is given as [2, 9]

$$\mathcal{R}_G^p(f) = \frac{\langle f, \Delta_p f \rangle}{\langle f, f^{[p-1]} \rangle} = \frac{\sum_{uv \in E} |f(u) - f(v)|^p}{\sum_{v \in V} |f(v)|^p}.$$

We can define a Banach space of real-valued functions on  $V$  using the  $p$ -norm of a function  $f$ ,  $\|f\|_p = \sqrt[p]{\sum_{v \in V} |f(v)|^p}$ , and a Banach space of a function  $F$  on the directed edges  $[uv]$  using the norm  $\|F\|_p = \sqrt[p]{\sum_{e \in E} |F([uv])|^p}$ . Thus the Rayleigh quotient can be written as [2]

$$\mathcal{R}_G^p(f) = \frac{\|\nabla f\|_p^p}{\|f\|_p^p},$$

where  $(\nabla f)([uv]) = f(u) - f(v)$  for an oriented edge  $[uv] \in E$ . Calculus of variation then implies the existence of eigenvalues and eigenfunctions. These are exactly the critical points of  $\mathcal{R}_G^p(f)$  constrained to  $\|f\|_p^p = 1$  and can be found by means of Lagrange multipliers (with then are the eigenvalues).

An immediate consequence of these considerations is that  $\Delta_p$  is a nonnegative operator, i.e., the smallest eigenvalue is 0 and all other eigenvalues are strictly positive (in case of a connected graph). We find the following characterization of the largest eigenvalue  $\mu_p(G)$  of  $\Delta_p(G)$  which generalizes the well-known Rayleigh-Ritz theorem.

**PROPOSITION 3.1** ([2]).

$$\mu_p(G) = \max_{\|f\|_p=1} \mathcal{R}_G^p(f) = \max_{\|f\|_p=1} \sum_{uv \in E} |f(u) - f(v)|^p.$$

Moreover, if  $\mathcal{R}_G^p(f) = \mu_p(G)$  for a function  $f$ , then  $f$  is an eigenfunction corresponding to the maximum eigenvalue  $\mu_p(G)$  of  $\Delta_p(G)$ .

Notice that every eigenfunction  $f$  corresponding to the maximum eigenvalue must fulfill the eigenvalue equation (1.1) for every  $v \in V$ .

An eigenfunction  $f$  corresponding to the largest eigenvalue  $\mu_p(G)$  has alternate signs [2]. Thus the following observation simplifies our task. It generalizes a result of Merris [8]. Define the *signless  $p$ -Laplacian*  $Q_p(G)$  analog to the linear case by

$$Q_p(G)f(v) = \sum_{u \in V, uv \in E} (f(v) + f(u))^{[p-1]}.$$

Its Rayleigh quotient is given by

$$\mathcal{Q}_G^p(f) = \frac{\langle f, Q_p f \rangle}{\langle f, f^{[p-1]} \rangle} = \frac{\sum_{uv \in E} |f(u) + f(v)|^p}{\sum_{v \in V} |f(v)|^p}.$$

LEMMA 3.2. Let  $G = (V_1 \dot{\cup} V_2, E)$  be a bipartite graph. Then  $\Delta_p(G)$  and  $Q_p(G)$  have the same spectrum. Moreover,  $f$  is an eigenfunction of  $\Delta_p(G)$  affording eigenvalue  $\lambda$  if and only if  $f'$  is an eigenfunction of  $Q_p(G)$  affording  $\lambda$ , whereby  $f'(v) = f(v)$  if  $v \in V_1$  and  $f'(v) = -f(v)$  if  $v \in V_2$ .

*Proof.* Let  $f$  be an eigenfunction of  $\Delta_p(G)$ , i.e.,  $\Delta_p f(v) = \sum_{u \in V, uv \in E} (f(v) - f(u))^{[p-1]} = \lambda f(v)$ . Define  $s(v) = 1$  if  $v \in V_1$  and  $s(v) = -1$  if  $v \in V_2$ , hence  $f'(v) = s(v)f(v)$ . Then we find  $(Q_p f')(v) = \sum_{u \in V, uv \in E} (f'(v) + f'(u))^{[p-1]} = \sum_{u \in V, uv \in E} s(v)(f(v) - f(u))^{[p-1]} = \lambda s(v)f(v)^{[p-1]} = \lambda f'(v)^{[p-1]}$  and hence  $f'$  is an eigenfunction of  $Q_p(G)$  affording the same eigenvalue  $\lambda$ , as claimed. The necessity of the condition follows analogously.  $\square$

Hence we will investigate the eigenfunction to the maximum eigenvalue of  $Q_p(G)$  in our proof. Prop. 3.1 holds completely analogously for the maximum eigenvalue.

PROPOSITION 3.3.

$$\mu_p(G) = \max_{\|f\|_p=1} \mathcal{Q}_G^p(f) = \max_{\|f\|_p=1} \sum_{uv \in E} |f(u) + f(v)|^p.$$

Moreover, if  $\mathcal{Q}_G^p(f) = \mu_p(G)$  for a function  $f$ , then  $f$  is an eigenfunction corresponding to the maximum eigenvalue  $\mu_p(G)$  of  $Q_p(G)$ .

COROLLARY 3.4. The maximum eigenvalue is strictly positive, i.e.,  $\mu_p(G) > 0$ . Moreover, if  $f$  is an eigenfunction of  $Q_p(G)$  of a connected graph  $G$  corresponding to  $\mu_p(G)$ , then  $f$  is either strictly positive, i.e.,  $f(v) > 0$  for all  $v \in V$ , or strictly negative.

We call a positive eigenfunction  $f$  corresponding to  $\mu_p(G)$  a *Perron vector* of  $Q(G)$ . The following technical result will be useful.

LEMMA 3.5. *Let  $0 \leq \epsilon \leq \delta \leq z$  and  $p > 1$ . Then  $(z + \epsilon)^p + (z - \epsilon)^p \leq (z + \delta)^p + (z - \delta)^p$ . Equality holds if and only if  $\epsilon = \delta$ .*

*Proof.* Obviously equality holds when  $\epsilon = \delta$ . Let  $\epsilon < \delta$ . Notice that  $t^p$  is strictly monotonically increasing and strictly convex for every  $t \geq 0$ . Thus we find  $(z - \epsilon)^p - (z - \delta)^p < (\delta - \epsilon)p(z - \epsilon)^{p-1}$  and  $(z + \epsilon)^p - (z + \delta)^p < -(\delta - \epsilon)p(z + \epsilon)^{p-1}$  by using tangents in  $z - \epsilon$  and  $z + \epsilon$ , respectively. Consequently  $(z - \epsilon)^p - (z - \delta)^p + (z + \epsilon)^p - (z + \delta)^p < p(\delta - \epsilon)((z - \epsilon)^{p-1} - (z + \epsilon)^{p-1}) \leq 0$  and thus the statement follows.  $\square$

**4. Proof of the Theorems.** Because of Lemma 3.2 we characterize trees that maximize the largest eigenvalue  $\mu_p(G)$  of  $Q_p$ . In the following  $f$  always denotes a Perron vector of  $Q_p(T)$  for some tree  $T$ .  $P_{uv}$  denotes the path between two vertices  $u$  and  $v$ .

The main techniques for proving our theorems is *rearranging* of edges. We need two types of rearrangement steps that we call *switching* and *shifting*, respectively, in the following.

LEMMA 4.1 (*Switching*). *Let  $T \in \mathcal{T}_\pi$  and let  $u_1v_1, u_2v_2 \in E(T)$  be edges such that the path  $P_{v_1v_2}$  neither contains  $u_1$  nor  $u_2$ , and  $v_1 \neq v_2$ . Then by replacing edges  $u_1v_1$  and  $u_2v_2$  by the respective edges  $u_1v_2$  and  $u_2v_1$  we get a new tree  $T' \in \mathcal{T}_\pi$ . Furthermore,  $\mu(T') \geq \mu(T)$  whenever there exists a Perron vector  $f$  with  $f(u_1) \geq f(u_2)$  and  $f(v_2) \geq f(v_1)$ , and  $\mu(T') > \mu(T)$  if one of the two inequalities is strict.*

*Proof.* Since  $P_{v_1v_2}$  neither contains  $u_1$  nor  $u_2$  by assumption,  $T'$  is again a tree. Since switching of two edges does not change degrees,  $T'$  also belongs to class  $\mathcal{T}_\pi$ . Let  $f$  be a Perron vector with  $\|f\|_p = 1$ . To verify the inequality we have to compute the effects of removing and inserting edges on the Rayleigh quotient.

$$\begin{aligned} \mu_p(T') - \mu_p(T) &\geq \mathcal{Q}_{T'}^p(f) - \mathcal{Q}_T^p(f) \\ &= [(f(u_1) + f(v_2))^p + (f(v_1) + f(u_2))^p] \\ &\quad - [(f(v_1) + f(u_1))^p + (f(u_2) + f(v_2))^p] \\ &\doteq D_1^p + D_2^p - D_3^p - D_4^p \\ &\geq 0. \end{aligned}$$

The last inequality follows from Lemma 3.5 by setting  $z + \delta = D_1$ ,  $z - \delta = D_2$ ,  $z + \epsilon = \max\{D_3, D_4\}$ , and  $z - \epsilon = \min\{D_3, D_4\}$ . Notice that  $D_1 + D_2 = D_3 + D_4$  and that  $D_1 \geq D_3, D_4 \geq D_2$ . If  $f(u_1) > f(u_2)$  or  $f(v_2) > f(v_1)$  then the eigenvalue equation (1.1) would not hold for  $v_1$  or  $u_2$ . Thus  $f$  is not an eigenfunction corresponding to  $\mu_p(T')$  and thus  $\mu_p(T') > \mathcal{Q}_{T'}^p(f) \geq \mu_p(T)$  as claimed.  $\square$

LEMMA 4.2 (*Shifting*). Let  $T \in \mathcal{T}_\pi$  and  $u, v \in V(T)$  with  $u \neq v$ . Assume we have edges  $ux_1, \dots, ux_k \in E(T)$  such that none of the  $x_i$  is in  $P_{uv}$ . Then we get a new graph  $T'$  by replacing all edges  $ux_1, \dots, ux_k$  by the respective edges  $vx_1, \dots, vx_k$ . Moreover,  $\mu_p(T') > \mu_p(T)$  whenever there exists a Perron vector  $f$  with  $f(u) \leq f(v)$ .

*Proof.* Let  $f$  be a Perron vector with  $\|f\|_p = 1$ . Then

$$\begin{aligned} \mu_p(T') - \mu_p(T) &\geq \mathcal{Q}_{T'}^p(f) - \mathcal{Q}_T^p(f) \\ &= \sum_{i=1}^k [(f(v) + f(x_i))^p - (f(u) + f(x_i))^p] \\ &\geq 0. \end{aligned}$$

where the last inequality immediately follows from the strict monotonicity of  $t^p$  for every  $t \geq 0$ . Now if  $\mu_p(T') = \mu_p(T)$  then  $f$  also must be an eigenfunction of  $T'$  by Lemma 3.3. Thus the eigenvalue equation (1.1) for vertex  $u$  in  $T$  and  $T'$  implies that  $f(u) + f(x_i) = 0$ , a contradiction, since  $f$  is strictly positive on each vertex.  $\square$

LEMMA 4.3. Let  $T$  be extremal in class  $\mathcal{T}_\pi$  and  $f$  a Perron vector of  $Q_p(T)$ . If  $d(u) > d(v)$ , then  $f(u) > f(v)$ .

*Proof.* Suppose  $d(u) > d(v)$  but  $f(u) \leq f(v)$ . Then we construct a new graph  $T' \in \mathcal{T}_\pi$  by shifting  $k = d(u) - d(v)$  edges in  $T$ . For this task we can choose any  $k$  of the  $d(u) - 1$  edges that are not contained in  $P_{uv}$ . Thus  $\mu_p(T') > \mu_p(T)$  by Lemma 4.2, a contradiction to our assumption that  $T$  is extremal.  $\square$

LEMMA 4.4. Each class  $\mathcal{T}_\pi$  contains a BFD-tree  $T$  that is uniquely determined up to isomorphism.

*Proof.* For a given tree sequence the construction of a BFD-tree is straightforward. To show that two BFD-trees  $T$  and  $T'$  in class  $\mathcal{T}_\pi$  are isomorphic we use a function  $\phi$  that maps the vertex  $v_i$  in the  $i$ th position in the BFD-ordering of  $T$  to the vertex  $w_i$  in the  $i$ th position in the BFD-ordering of  $T'$ . By the properties (B1) and (B2)  $\phi$  is an isomorphism, as  $v_i$  and  $w_i$  have the same degree and the images of neighbors of  $v_i$  in the next layer are exactly the neighbors of  $w_i$  in the next layer.  $\square$

Now let  $T$  be an extremal tree in  $\mathcal{T}_\pi$  with Perron vector  $f$ . Create an ordering  $\prec$  of its vertices by breadth-first search starting with the maximum of  $f$ . For all children  $u_i$  of a vertex  $w$  we set  $u_i \prec u_j$  whenever

- (i)  $f(u_i) > f(u_j)$  or
- (ii)  $f(u_i) = f(u_j)$  and  $d(u_i) > d(u_j)$ .

We enumerate the vertices of  $T$  with respect to this ordering, i.e.,  $v_i \prec v_j$  if and only if  $i < j$ . In particular,  $v_0$  is a maximum of  $f$ .

LEMMA 4.5. Let  $T$  be extremal in class  $\mathcal{T}_\pi$  with Perron vector  $f$ . Construct an ordering  $\prec$  as described above. Then  $f(v_i) > f(v_j)$  implies  $v_i \prec v_j$ .

*Proof.* Suppose we have two vertices  $v_i \succ v_j$  with  $f(v_i) > f(v_j)$ . Let  $w_k$  be the first vertex (in the ordering  $\prec$ ) which has such a child  $v_j$  with this property, and choose  $v_i (\succ v_j)$  as the first vertex with  $f(v_i) > f(v_j)$ . Since  $v_0$  is a maximum of  $f$  such a  $w_k$  must exist. By construction of our breadth-first search we have  $w_k \prec v_j \prec v_i$ ,  $w_k v_i \notin E(T)$ , and  $f(w_k) \geq f(u)$  for all  $u \succ w_k$ . We have two cases:

- (1)  $v_j$  is in the path  $P_{w_k v_i}$ : Then  $f(v_i) > f(v_j)$  and Lemma 4.3 imply  $d(v_i) \geq d(v_j) \geq 2$  and thus there exists a child  $w_m$  of  $v_i$  which cannot be adjacent to  $v_j$ .
- (2)  $v_j$  is not in the path  $P_{w_k v_i}$ : Then the parent  $w_m$  of  $v_i$  cannot be adjacent to  $v_j$ . Moreover,  $w_m \succ w_k$  since otherwise  $v_i \prec v_j$ .

In either case we find  $f(w_k) \geq f(w_m)$  and  $f(v_i) > f(v_j)$ . Thus we can replace edges  $w_k v_j$  and  $w_m v_i$  by the edges  $w_k v_i$  and  $w_m v_j$  we get a new tree  $T' \in \mathcal{T}_\pi$  with  $\mu_p(T') > \mu_p(T)$  by Lemma 4.1, a contradiction to our assumption.  $\square$

*Proof of Theorem 2.2.* Again use the above ordering  $\prec$ . Thus (B1) holds. By Lemma 4.5  $f$  is monotone with respect to this ordering. Thus Lemma 4.3 (together with construction rule (ii)) implies (B2). The sufficiency of our condition is a consequence of the uniqueness of BFD-trees as stated in Lemma 4.4.  $\square$

*Proof of Theorem 2.3.* Let  $\pi = \{d_0, \dots, d_{n-1}\}$  and  $\pi' = \{d'_0, \dots, d'_{n'-1}\}$  be two tree sequences with  $\pi \triangleleft \pi'$  and  $n = n'$ . By Theorem 2.2 the maximum eigenvalue is largest for a tree  $T$  within class  $\mathcal{T}_\pi$  when  $T$  is a BFD-tree. Again  $f$  denotes a Perron vector of  $Q_p(T)$ . We have to show that there exists a tree  $T' \in \mathcal{T}_{\pi'}$  such that  $\mu(T') > \mu(T)$ . Therefore we construct a sequence of trees  $T = T_0 \rightarrow T_1 \rightarrow \dots \rightarrow T_s = T'$  by shifting edges and show that  $\mu_p(T_j) > \mu_p(T_{j-1})$  for every  $j = 1, \dots, s$ . We denote the degree sequence of  $T_j$  by  $\pi^{(j)}$ .

For a particular step in our construction, let  $k$  be the least index with  $d'_k > d_k^{(j)}$ . Let  $v_k$  be the corresponding vertex in tree  $T_j$ . Since  $\sum_{i=0}^k d'_i > \sum_{i=0}^k d_i^{(j)}$  and  $\sum_{i=0}^{n-1} d'_i = \sum_{i=0}^{n-1} d_i^{(j)} = 2(n-1)$  there must exist a vertex  $v_l \succ v_k$  with degree  $d_l^{(j)} \geq 2$ . Thus it has a child  $u_l$ . By Lemma 4.2 we can replace edge  $v_l u_l$  by edge  $v_k u_l$  and get a new tree  $T_{j+1}$  with  $\mu_p(T_{j+1}) > \mu_p(T_j)$ . Moreover,  $d_k^{(j+1)} = d_k^{(j)} + 1$  and  $d_l^{(j+1)} = d_l^{(j)} - 1$ , and consequently  $\pi^{(j)} \triangleleft \pi^{(j+1)}$ . By repeating this procedure we end up with degree sequence  $\pi'$  and the statement follows for the case where  $n' = n$ .

Now assume  $n' > n$ . Then we construct a sequence of trees  $T_j$  by the same procedure. However, now it happens that we arrive at some tree  $T_r$  where  $d'_k > d_k^{(r)}$  but  $d_l^{(r)} = 1$  for all  $v_l \succ v_k$ , i.e., they are pendant vertices. In this case we join a new pendant vertex to  $v_k$ . Then  $d_k^{(r+1)} = d_k^{(r)} + 1$  and we have added a new vertex degree of value 1 to  $\pi^{(r)}$  to obtain  $\pi^{(r+1)}$ . Thus  $\pi^{(r+1)}$  is again a tree sequence with  $\pi^{(r)} \triangleleft \pi^{(r+1)}$ . Moreover,  $\mu_p(T_{r+1}) > \mu_p(T_r)$  as  $T_{r+1} \supset T_r$ . By repeating this procedure we end up with degree sequence  $\pi'$  and the statement of Theorem 2.3.  $\square$

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