

## NONNEGATIVE MATRICES WITH PRESCRIBED ELEMENTARY DIVISORS\*

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Abstract. The inverse elementary divisor problem for nonnegative matrices asks for necessary and sufficient conditions for the existence of a nonnegative matrix with prescribed elementary divisors. In this work a Brauer type perturbation result is introduced. This result allows the construction, from a given a list of real or complex numbers  $\Lambda = \{\lambda_1, \ldots, \lambda_n\}$ , of certain structured nonnegative matrices with spectrum  $\Lambda$  and with any legitimately prescribed elementary divisors.

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**1. Introduction.** Let  $A \in \mathbb{C}^{n \times n}$  and let

$$J(A) = S^{-1}AS = \begin{bmatrix} J_{n_1(\lambda_1)} & 0 & \ddots & 0\\ 0 & J_{n_2(\lambda_2)} & \ddots & \ddots \\ \ddots & \ddots & \ddots & 0\\ 0 & \ddots & 0 & J_{n_k(\lambda_k)} \end{bmatrix}$$

be the Jordan canonical form of A (hereafter JCF of A). The  $n_i \times n_i$  submatrices

$$J_{n_i}(\lambda_i) = \begin{bmatrix} \lambda_i & 1 & & \\ & \lambda_i & \ddots & \\ & & \ddots & 1 \\ & & & & \lambda_i \end{bmatrix}, \quad i = 1, 2, \dots, k$$

are called the Jordan blocks of J(A). Then the elementary divisors of A are the polynomials  $(\lambda - \lambda_i)^{n_i}$ , that is, the characteristic polynomials of  $J_{n_i}(\lambda_i)$ ,  $i = 1, \ldots, k$ . The inverse elementary divisor problem (IEDP) is the problem of determining necessary and sufficient conditions under which the polynomials

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 $(\lambda - \lambda_1)^{n_1}, (\lambda - \lambda_2)^{n_2}, \dots, (\lambda - \lambda_k)^{n_k}, n_1 + \dots + n_k = n$ , are the elementary divisors of an  $n \times n$  matrix A. It is clear that for any arbitrarily prescribed Jordan form J and for any nonsingular matrix S, there exists a matrix  $A = SJS^{-1}$  with J as its *JCF*. In order that the problem be meaningful, the matrix A is required to have a particular structure. When A is required to be an entrywise nonnegative matrix, the problem is called the *nonnegative inverse elementary divisor problem* (*NIEDP*) (see [9], [10], [11]).

The NIEDP contains the nonnegative inverse eigenvalue problem (NIEP), which asks for necessary and sufficient conditions for the existence of an entrywise nonnegative matrix A with prescribed spectrum  $\Lambda$ . Both problems remain unsolved. The nonnegative inverse eigenvalue problem has attracted the attention of many authors (see [1], [3], [5], [8], [12]-[16] and references therein). In contrast, only a few works are known about the NIEDP ([6], [7], [9], [10]). In [10], Minc studied the problem for doubly quasi-stochastic matrices and gives conditions for the existence of doubly quasi-stochastic and doubly stochastic matrices with prescribed elementary divisors. These results are extended in [9] to matrices with complex eigenvalues. In [6], London studied the question of the existence of a doubly quasi-stochastic matrix having prescribed elementary divisors and diagonal elements.

In Section 2 of this paper, we consider the notation that will be used and a number of basic results related with the *IEDP*. In Section 3, we introduce a Brauer type perturbation result, which allows us to construct, from a given list of real or complex numbers  $\Lambda = \{\lambda_1, \ldots, \lambda_n\}$ , certain nonnegative matrices with constant row sums and spectrum  $\Lambda$  and with any legitimately prescribed elementary divisors (that is, their product is a polynomial whose zeroes are the numbers  $\lambda_i$ ). In particular we completely solve the *NIEDP* for lists of real numbers  $\lambda_1 > \lambda_2 \ge \cdots \ge \lambda_n \ge 0$  and lists with  $\lambda_i < 0$ ,  $i = 2, \ldots, n$ . By using results from the *NIEP* we also give sufficient conditions for the general case. The proofs are constructive, in the sense that we can always construct a solution matrix. Two examples are given in Section 4.

**2.** Preliminaries.  $\sigma(A)$  will denote the set of eigenvalues of A. We shall consider that in the *JCF* of a matrix A, equal eigenvalues are consecutive.

A matrix  $A = (a_{ij})_{i,j=1}^n$  is said to have constant row sums if all its rows sum up to the same constant, say  $\alpha$ , i.e.

$$\sum_{j=1}^{n} a_{ij} = \alpha, \quad i = 1, \dots, n.$$

The set of all matrices with constant row sums equal to  $\alpha$  is denoted by  $\mathcal{CS}_{\alpha}$ . It is clear that any matrix in  $\mathcal{CS}_{\alpha}$  has the eigenvector  $\mathbf{e} = (1, 1, ...1)^T$  corresponding to the eigenvalue  $\alpha$ . Denote by  $\mathbf{e}_k$  the vector with one in the k-th position and zeros



elsewhere.

Johnson [4] proved that if  $\Lambda = \{\lambda_1, \lambda_2, \dots, \lambda_n\}$  is the spectrum of an  $n \times n$  nonnegative matrix, then  $\Lambda$  is also the spectrum of a nonnegative matrix with constant row sums equal to its Perron root. This fact leads us to consider the *NIEDP* for matrices in  $CS_{\lambda_1}$ .

A matrix A is called quasi-stochastic if  $A \in CS_1$  and it is called doubly quasistochastic if A and  $A^T$  are in  $CS_1$ . A nonnegative quasi-stochastic matrix is called stochastic, and a nonnegative doubly quasi-stochastic matrix is called doubly stochastic. In other words, a nonnegative matrix A is stochastic if and only if  $A\mathbf{e} = \mathbf{e}$ , and it is doubly stochastic if and only if  $AJ_n = J_n A = J_n$ , where  $J_n$  is the matrix whose entries are all  $\frac{1}{n}$ .

Let S a nonsingular matrix such that  $S^{-1}AS = J(A)$  is the *JCF* of A. If  $A \in CS_{\lambda_1}$ , then S can be chosen so that  $S\mathbf{e}_1 = \mathbf{e}$  and it is easy to see that the rows of  $S^{-1} = (\widehat{s}_{ij})$  satisfy:

$$\sum_{j=1}^{n} \widehat{s}_{1j} = 1 \text{ and } \sum_{j=1}^{n} \widehat{s}_{ij} = 0, \quad i = 2, \dots, n.$$
(2.1)

If T is an  $n \times n$  matrix of the form

$$T = \begin{bmatrix} \lambda_1 & * & \cdots & * \\ 0 & * & \ddots & \ddots \\ \vdots & \ddots & \ddots & \ddots \\ 0 & * & \cdots & * \end{bmatrix} \text{ and } S = \begin{bmatrix} 1 & s_{12} & \cdots & s_{1n} \\ 1 & s_{22} & \cdots & s_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ 1 & s_{n2} & \cdots & s_{nn} \end{bmatrix}$$
(2.2)

is nonsingular, then  $STS^{-1}\mathbf{e} = \lambda_1 \mathbf{e}$ . That is,  $STS^{-1} \in \mathcal{CS}_{\lambda_1}$ .

We shall denote by  $E_{ij}$  the  $n \times n$  matrix with 1 in the (i, j) position and zeros elsewhere. The following simple perturbation allows us to change the eigenvalue associated to a Jordan block, without changing any of the remaining Jordan blocks: Let  $A \in \mathbb{C}^{n \times n}$  with elementary divisors

$$(\lambda - \lambda_1)^{n_1}, (\lambda - \lambda_2)^{n_2}, \dots, (\lambda - \lambda_k)^{n_k}, \quad n_1 + \dots + n_k = n_k$$

and let S be a nonsingular matrix such that

$$S^{-1}AS = J(A) = diag\{J_{n_1}(\lambda_1), \dots, J_{n_p}(\lambda_p), \dots, J_{n_k}(\lambda_k)\}$$

is the *JCF* of *A*. Let  $\xi = \sum_{j=1}^{p-1} n_j$ ,  $1 \le p \le k$ , with  $\xi = 0$  if p = 1. Let  $K = \{\xi+1, \xi+2, \ldots, \xi+n_p\}$  and  $C = \sum_{i \in K} E_{i,i}$ . Then, for any  $\alpha \in \mathbb{C}$ , the matrix  $A + \alpha SCS^{-1}$ 



has elementary divisors

 $(\lambda - \lambda_1)^{n_1}, \dots, (\lambda - \lambda_{p-1})^{n_{p-1}}, (\lambda - \lambda_p - \alpha)^{n_p}, (\lambda - \lambda_{p+1})^{n_{p+1}}, \dots, (\lambda - \lambda_k)^{n_k}.$ 

Observe that if  $A \in CS_{\lambda_1}$ ,  $C = \sum_{i \in K} E_{i,i}$  and p = 1, then  $A + \alpha SCS^{-1}$  belongs to  $CS_{\lambda_1+\alpha}$ ; otherwise if  $1 , <math>A + \alpha SCS^{-1}$  belongs to  $CS_{\lambda_1}$ . If A is doubly quasi-stochastic and  $p \ne 1$ , so is  $A + \alpha SCS^{-1}$ .

The above perturbation can be simultaneously extended to r blocks in J(A),  $1 \le r \le k$ . In particular for the r first blocks we have

$$C = \alpha_1 \sum_{i=1}^{n_1} E_{ii} + \dots + \alpha_r \sum_{i=\xi+1}^{\xi+n_r} E_{ii},$$

where  $\xi = \sum_{j=1}^{r-1} n_j$ . Then  $A + SCS^{-1}$  has elementary divisors

$$(\lambda - \lambda_1 - \alpha_1)^{n_1}, \dots, (\lambda - \lambda_r - \alpha_r)^{n_r}, (\lambda - \lambda_{r+1})^{n_{r+1}}, \dots, (\lambda - \lambda_k)^{n_k}.$$

The following two perturbations allow us, respectively, to split out a Jordan block  $J_{n_i}(\lambda_i)$ ,  $n_i \geq 2$ , into Jordan blocks of smaller size and to join two or more Jordan blocks corresponding to a same eigenvalue  $\lambda_p$  to obtain one Jordan block of a bigger size.

Let the elementary divisor  $(\lambda - \lambda_p)^{n_p}$  (Jordan block  $J_{n_p}(\lambda_p)$ ),  $n_p \ge 2$ , be given. Then by using the perturbation J(A) + C, where

$$C = -\sum_{i \in K} E_{i,i+1}, \text{ with}$$

$$K = \{t_1, t_2, \dots, t_r\} \subset \{\xi + 1, \xi + 2, \dots, \xi + n_p - 1\},$$

$$t_1 < t_2 < \dots < t_r, r \le n_p - 1,$$
(2.3)

we obtain the r + 1 elementary divisors

$$(\lambda - \lambda_p)^{q_1}, \dots, (\lambda - \lambda_p)^{q_{r+1}}, \quad q_1 + \dots + q_{r+1} = n_p, \quad 1 \le p \le k.$$

Now, let the elementary divisors  $(\lambda - \lambda_p)^{m_1}, \ldots, (\lambda - \lambda_p)^{m_q}$  (Jordan blocks  $J_{m_1}(\lambda_p), \ldots, J_{m_q}(\lambda_p)$ ) corresponding to  $\lambda_p$ . Then by using the perturbation J(A)+C, where

$$C = \sum_{i \in K} E_{i,i+1}, \text{ with}$$

$$K = \{\xi + m_1, \xi + m_1 + m_2, \dots, \xi + \sum_{i=1}^{q-1} m_i\},$$
(2.4)



we obtain the elementary divisor of bigger size  $(\lambda - \lambda_p)^{\gamma}$ ,  $\gamma = m_1 + \cdots + m_q$ . We state these facts in the following lemma.

LEMMA 2.1. Let  $A \in \mathbb{C}^{n \times n}$  be a matrix with elementary divisors

$$(\lambda - \lambda_1)^{n_1}, (\lambda - \lambda_2)^{n_2}, \dots, (\lambda - \lambda_k)^{n_k}, \quad n_1 + \dots + n_k = n_k$$

and let S be a nonsingular matrix such that  $S^{-1}AS = J(A)$  is the JCF of A. Let  $\xi = n_1 + n_2 + \cdots + n_{p-1}$ .

i) If  $(\lambda - \lambda_p)^{n_p}$  is a nonlinear elementary divisor,  $1 \le p \le k$ , then  $A + SCS^{-1}$ , where C is the matrix defined in (2.3), has same spectrum as A but with elementary divisors

$$(\lambda - \lambda_1)^{n_1}, \dots, (\lambda - \lambda_{p-1})^{n_{p-1}}, (\lambda - \lambda_{p+1})^{n_{p+1}}, \dots, (\lambda - \lambda_k)^{n_k}$$

and

$$(\lambda - \lambda_p)^{t_1 - \xi}, (\lambda - \lambda_p)^{t_2 - t_1}, \dots, (\lambda - \lambda_p)^{t_r - t_{r-1}}, (\lambda - \lambda_p)^{\xi + n_p - t_r}$$

ii) If  $\lambda_p = \lambda_{p+1} = \ldots = \lambda_{p+q-1}$ ,  $p+q-1 \leq k$ , then  $A + SCS^{-1}$ , where C is the matrix defined in (2.4), has same spectrum as A but with elementary divisors

$$(\lambda - \lambda_1)^{n_1}, \dots, (\lambda - \lambda_{p-1})^{n_{p-1}}, (\lambda - \lambda_{p+q})^{n_{p+q}}, \dots, (\lambda - \lambda_k)^{n_k}$$

and

$$(\lambda - \lambda_p)^{w_1}, (\lambda - \lambda_p)^{w_2}, \dots, (\lambda - \lambda_p)^{w_{q-r}},$$
  
$$w_1 + \dots + w_{q-r} = n_p + \dots + n_{p+q-1}.$$

Observe that if  $A \in CS_{\lambda_1}$ , then  $(A + SCS^{-1}) \in CS_{\lambda_1}$ . If A is doubly quasistochastic, so is  $A + SCS^{-1}$ .

REMARK 2.2. Let C be the matrix

$$C = \pm \sum_{i \in K} E_{i,i+1}, \quad K \subset \{1, 2, \dots, n-1\}$$

and let A be an  $n \times n$  complex matrix with  $JCF \quad J(A) = S^{-1}AS$ . Then for an appropriated set K,

$$J(A) + C = S^{-1}AS + C$$
  
=  $S^{-1}(A + SCS^{-1})S$ 

is the *JCF* of  $A + SCS^{-1}$ . Thus, given a complex matrix A with *JCF*  $J(A) = S^{-1}AS$ , we may obtain, trivially, a matrix  $B = A + SCS^{-1}$ , with  $\sigma(B) = \sigma(A)$  and



prescribed elementary divisors. Observe that if  $A \in \mathcal{CS}_{\lambda_1}$  and  $S = [\mathbf{e} | * | \cdots | *]$  then  $(A + SCS^{-1}) \in \mathcal{CS}_{\lambda_1}$ .

In [9, Theorem 1] Minc proves that given an  $n \times n$  diagonalizable positive matrix A, then there exists an  $n \times n$  positive matrix B, with  $\sigma(B) = \sigma(A)$  and with arbitrarily prescribed elementary divisors, provided that elementary divisors corresponding to nonreal eigenvalues occur in conjugate pairs. As a consequence we have the following result.

THEOREM 2.3. Let  $A \in CS_{\lambda_1}$  be an  $n \times n$  diagonalizable positive matrix with complex spectrum. Then there exists an  $n \times n$  positive matrix  $B \in CS_{\lambda_1}$ , with  $\sigma(B) = \sigma(A)$  and with legitimately prescribed elementary divisors (that is, their product has to be equal to the characteristic polynomial of A).

Proof. Let  $S^{-1}AS = D = diag\{\lambda_1, \lambda_2, \ldots, \lambda_n\}$  be the JCF of A, where the eigenvalues are ordered such that  $\lambda_1 > \lambda_2 \ge \ldots \ge \lambda_p$  are real and  $\lambda_{p+1}, \ldots, \lambda_n$  are complex nonreal; equal eigenvalues are consecutive and  $\overline{\lambda_j} = \lambda_{r+j}$  for  $j = p+1, \ldots, p+r$ , where  $r = \frac{n-p}{2}$ .  $S = [\mathbf{e} | * | \cdots | *]$  is a nonsingular matrix whose j - th column is an eigenvector corresponding to  $\lambda_j$ ,  $j = 1, \ldots, n$ , and whose columns j and r + j are conjugate,  $j = p + 1, \ldots, p + r$ . Let C defined as in (2.4), with  $K \subset \{2, \ldots, n-1\}$  in such a way that D+C is the prescribed JCF. Then, as it was proved in [9, Theorem 1],  $SCS^{-1}$  is real. From Remark 2.2 there exists a matrix  $B = A + \epsilon SCS^{-1}$ ,  $B \in \mathcal{CS}_{\lambda_1}$ , with  $\sigma(B) = \sigma(A)$  and with the prescribed elementary divisors. Since A is positive, then  $B = A + \epsilon SCS^{-1}$  is positive for sufficiently small positive  $\epsilon$ .  $\square$ 

Observe that if in the above Theorem, A has real spectrum, then it does not need to be diagonalizable. In this case, however, we can not split out a Jordan block  $J_{n_i}(\lambda_i)$ ,  $n_i \geq 2$ , into blocks of smaller size, except if  $A + SCS^{-1}$  is positive (that is, if  $\epsilon = 1$ ).

In [10] Minc gives conditions for the existence of doubly quasi-stochastic and doubly stochastic matrices with prescribed elementary divisors. In the next section we give conditions for the existence of nonnegative matrices with constant row sums and prescribed elementary divisors.

**3.** Nonnegative IEDP. In this section we completely solve the *NIEDP for*  $\Lambda = \{\lambda_1, \lambda_2, \ldots, \lambda_n\}$  with  $\lambda_i \geq 0$ , and for  $\Lambda = \{\lambda_1, \lambda_2, \ldots, \lambda_n\}$  with  $\lambda_i < 0$ ,  $i = 2, \ldots, n$ . For  $\Lambda = \{\lambda_1, \lambda_2, \ldots, \lambda_n\}$  with  $\lambda_1 > \lambda_2 \geq \cdots \geq \lambda_p \geq 0 > \lambda_{p+1} \geq \cdots \geq \lambda_n$ , we give sufficient conditions. Moreover, in these cases, we can always construct a nonnegative matrix with spectrum  $\Lambda$  and with any legitimately prescribed elementary divisors. In this construction, if necessary, we guarantee the nonnegativity by applying the below fundamental result (Lemma 3.1), a Brauer [2] type perturbation result (see [1], [14]). The Brauer result in [2] shows how to modify one single eigenvalue of a matrix, via a

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rank-one perturbation, without changing any of the remaining eigenvalues, and it has been employed with success to obtain sufficient conditions for the *nonnegative inverse eigenvalue problem*. We point out that Theorem 3.2 and Theorem 3.3 below contain the result in [10, Theorem 2], seen as results for nonnegative matrices.

LEMMA 3.1. Let  $\mathbf{q} = (q_1, \ldots, q_n)^T$  be an arbitrary n-dimensional vector and let  $A \in \mathcal{CS}_{\lambda_1}$  with JCF

$$S^{-1}AS = J(A) = \begin{bmatrix} \lambda_1 & 0 & \ddots & 0 \\ 0 & J_{n_2}(\lambda_2) & \ddots & \ddots \\ \ddots & \ddots & \ddots & 0 \\ 0 & \ddots & 0 & J_{n_k}(\lambda_k) \end{bmatrix}$$

Let  $\lambda_1 + \sum_{i=1}^n q_i \neq \lambda_i$ , i = 2, ..., n. Then the matrix  $A + \mathbf{eq}^T$  has Jordan canonical form  $J(A) + (\sum_{i=1}^n q_i)E_{11}$ . In particular, if  $\sum_{i=1}^n q_i = 0$  then A and  $A + \mathbf{eq}^T$  are similar.

of. Let 
$$S = [\mathbf{e} \mid \mathbf{S}^{(2)} \mid \dots \mid \mathbf{S}^{(n)}]$$
. Then  

$$B = S^{-1}(A + \mathbf{eq}^{T})S = J(A) + S^{-1}\mathbf{eq}^{T}S$$

$$= J(A) + \begin{bmatrix} \sum_{i=1}^{n} q_{i} & \mathbf{q}^{T}\mathbf{S}^{(2)} & \dots & \mathbf{q}^{T}\mathbf{S}^{(n)} \\ 0 & \cdots & \cdots & 0 \\ \vdots & \vdots & \vdots & \vdots \\ 0 & \cdots & \cdots & 0 \end{bmatrix}$$

$$= \begin{bmatrix} \lambda & \mathbf{q}^{T}\mathbf{S}^{(2)} & \cdots & \mathbf{q}^{T}\mathbf{S}^{(n)} \\ 0 & J_{n_{2}}(\lambda_{2}) & \ddots & 0 \\ \vdots & \ddots & \ddots & \vdots \\ 0 & \ddots & \ddots & J_{n_{k}}(\lambda_{k}) \end{bmatrix},$$

where  $\lambda = \lambda_1 + \sum_{i=1}^n q_i \neq \lambda_i$ , i = 2, ..., n. First, we show that in the *JCF* of *B*, J(B), there exists only one Jordan block corresponding to  $\lambda$  and it is of size 1. Clearly, written entrywise,

$$(B - \lambda I)^{m} = \begin{bmatrix} 0 & * & * & \ddots & * \\ & (\lambda_{2} - \lambda)^{m} & \ddots & \ddots & \ddots \\ & & \ddots & \ddots & * \\ & & & \ddots & * \\ & & & \ddots & * \\ & & & & & (\lambda_{k} - \lambda)^{m} \end{bmatrix},$$



where m = 1, 2, ... Since  $\lambda \neq \lambda_i$ ,  $rank[(B - \lambda I)^m] = n - 1$  and the number of Jordan blocks of size k = 1 and  $k \geq 2$ , associated with  $\lambda$ , are, respectively, n - 2(n - 1) + (n - 1) = 1 and (n - 1) - 2(n - 1) + (n - 1) = 0.

Now, we show that if  $\lambda_2, \ldots, \lambda_k$ , are all distinct then in J(B) there exists only one Jordan block corresponding to  $\lambda_i$ ,  $i = 2, \ldots, k$ , and it is of size  $n_i$ . Without loss of generality, we may assume that  $\lambda_i = \lambda_2$ . Then we have that  $(B - \lambda_2 I)^m$  is an upper triangular matrix with main diagonal

$$(\lambda - \lambda_2)^m, \underbrace{0, \dots, 0}_{n_2 \text{ times}}, \dots, \underbrace{(\lambda_k - \lambda_2)^m, \dots, (\lambda_k - \lambda_2)^m}_{n_k \text{ times}}$$

Then

$$\begin{cases} rank(B - \lambda_2 I)^m = n - m, \ 1 \le m \le n_2 \\ rank(B - \lambda_2 I)^m = n - n_2, \qquad m \ge n_2. \end{cases}$$

It is well known that if  $r_m(\lambda) = rank(A - \lambda I)^m$ , m = 1, 2, ..., then the difference  $d_m(\lambda) = r_{m-1}(\lambda) - r_m(\lambda)$  is equal to the total number of Jordan blocks of all sizes  $k \ge m$  and that  $d_m(\lambda) = 0$  for all m > n. Hence, the number of Jordan blocks  $J_k(\lambda_2)$  associated to  $\lambda_2$  for  $k \ge 1$  is

$$d_1(\lambda_2) = r_0(\lambda_2) - r_1(\lambda_2) = n - (n - 1) = 1$$

and since for  $k = n_2$ , we have  $n - (n_2 - 1) - 2(n - n_2) + (n - n_2) = 1$ , then the size of this block is  $n_2$ .

Now we consider the case in which there are  $p \ge 2$  blocks corresponding to the same eigenvalue  $\lambda_i$ . We start assuming that p = 2 with  $\lambda_2 = \lambda_3$ , that is,  $\lambda_2$  has one block of size  $n_2$  and one block of size  $n_3$  with  $n_2 \ge n_3$ . In this case the sequence of ranks of powers of  $B - \lambda_2 I$  is

$$rank[(B - \lambda_2 I)^m] = n - 2m, \ m \le n_3$$
  

$$rank[(B - \lambda_2 I)^m] = n - (n_2 + n_3), \ m \ge n_2 \text{ and}$$
  

$$rank[(B - \lambda_2 I)^{n_3 + i}] = n - 2n_3 - i; \ 1 \le i \le (n_2 - n_3 - 1); \ n_2 - n_3 \ge 2.$$

Since

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$$d_1(\lambda_2) = r_0(\lambda_2) - r_1(\lambda_2) = n - (n - 2) = 2,$$

then there exists exactly two Jordan blocks corresponding to  $\lambda_2$  and they are of size  $n_2$  and  $n_3$ . On the other hand, the number of blocks of size  $n_3$  corresponding to  $\lambda_2$  is

$$p_{n_3} = r_{n_3-1}(\lambda_2) - 2r_{n_3}(\lambda_2) + r_{n_3+1}(\lambda_2),$$



that is,

$$p_{n_3} = \begin{cases} [n - 2(n_3 - 1)] - 2[n - 2n_3] + [n - (2n_3)] = 2 & \text{if } n_3 = n_2 \\ [n - 2(n_3 - 1)] - 2[n - 2n_3] + [n - (2n_3 + 1)] = 1 & \text{if } n_3 < n_2. \end{cases}$$

The number of blocks of size  $n_2$  corresponding to  $\lambda_2$  is, for  $n_2 > n_3$ ,

$$p_{n_2} = r_{n_2-1}(\lambda_2) - 2r_{n_2}(\lambda_2) + r_{n_2+1}(\lambda_2) = 1.$$

For  $p \geq 3$ , the same argument shows that there exists exactly p Jordan blocks  $J_{n_2}(\lambda_2), \ldots, J_{n_{p+1}}(\lambda_2)$ , corresponding to  $\lambda_2$  and they are of size  $n_2, \ldots, n_{p+1}$ , respectively.  $\square$ 

Next, we consider the following result due to Perfect [12]. She showed that the matrix

$$A = Pdiag\{1, \lambda_2, \lambda_3, \dots, \lambda_n\}P^{-1}$$

where  $1 > \lambda_2 \ge \lambda_3 \ge \cdots \ge \lambda_n \ge 0$  and

$$P = \begin{bmatrix} 1 & 1 & 1 & 1 & \cdots & \cdots & 1 \\ 1 & 1 & & 1 & -1 \\ 1 & & \cdots & -1 & 0 \\ \vdots & 1 & \cdots & \cdots & 0 \\ \vdots & 1 & -1 & 0 & & \vdots \\ 1 & -1 & 0 & 0 & \cdots & 0 \end{bmatrix},$$
(3.1)

is an  $n \times n$  positive stochastic matrix. As a consequence, we establish here the following result.

THEOREM 3.2. Let  $\Lambda = \{1, \lambda_2, \ldots, \lambda_n\}$  with  $1 > \lambda_2 \ge \cdots \ge \lambda_n \ge 0$ . There exists a stochastic matrix A with spectrum  $\Lambda$  and arbitrarily prescribed elementary divisors  $(\lambda - 1), (\lambda - \lambda_2)^{n_2}, \ldots, (\lambda - \lambda_k)^{n_k}, n_2 + \cdots + n_k = n - 1.$ 

*Proof.* Let  $D = diag\{1, \lambda_2, \ldots, \lambda_n\}$  and let P be the matrix in (3.1). Then  $PDP^{-1}$  is a positive stochastic matrix with spectrum  $\Lambda$  and linear elementary divisors  $(\lambda - 1), \ldots, (\lambda - \lambda_n)$ , counting multiplicities. Let

$$K = \{t_1, \ldots, t_r\} \subset \{2, \ldots, n-1\},\$$

 $t_1 < t_2 < \cdots < t_r, r \le n-1$ , where  $(t_i, t_i+1), i = 1, \ldots, r$ , define the positions in D in which we desired to set 1. If  $C = \sum_{i \in K} E_{i,i+1}$ , then  $A = PDP^{-1} + \epsilon PCP^{-1}$ , where  $\epsilon > 0$  is such that  $(PDP^{-1})_{ij} + \epsilon (PCP^{-1})_{ij} \ge 0, i, j = 1, \ldots, n$ , is nonnegative, and since  $D + \epsilon C$  and D + C are diagonally similar (with  $diag\{1, \epsilon, \epsilon^2, \ldots, \epsilon^{n-1}\}$ ), then A has



JCF equal to D + C. Since  $P\mathbf{e}_1 = \mathbf{e}$ , the rows of  $P^{-1}$  satisfy (2.1) and  $PCP^{-1}\mathbf{e} = \mathbf{0}$ . Then  $A \in \mathcal{CS}_1$ . Thus, A is stochastic with the desired elementary divisors.  $\Box$ 

If in Theorem 3.2, we take  $\epsilon > 0$  such that  $(PDP^{-1})_{ij} + \epsilon(PCP^{-1})_{ij} > 0$ , then we obtain a positive stochastic matrix with prescribed elementary divisors.

Now we observe that if C is the matrix

$$C = \sum_{i \in K} E_{i,i+1}, \quad K \subset \{2, 3, \dots, n-1\}$$

and

$$S = \begin{bmatrix} 1 & 0 \\ \mathbf{e} & I_{n-1} \end{bmatrix}, \quad S^{-1} = \begin{bmatrix} 1 & 0 \\ -\mathbf{e} & I_{n-1} \end{bmatrix}, \quad (3.2)$$

then by (2.2)  $SCS^{-1} \in \mathcal{CS}_0$  and it has the form

$$SCS^{-1} = \sum_{i \in K} (-E_{i1} + E_{i,i+1})$$
 (3.3)

In [16] Suleimanova announced and Perfect [12] proved that if  $\Lambda = \{\lambda_1, \ldots, \lambda_n\}$  satisfies  $\lambda_1 > 0 > \lambda_2 \ge \cdots \ge \lambda_n$ , then

$$\lambda_1 + \lambda_2 + \dots + \lambda_n \ge 0 \tag{3.4}$$

is a sufficient condition for the existence of an  $n \times n$  nonnegative matrix A with spectrum  $\Lambda$ . The condition (3.4) is also necessary.

THEOREM 3.3. Let  $\Lambda = \{\lambda_1, \ldots, \lambda_n\}$  with  $\lambda_1 > 0 > \lambda_2 \ge \cdots \ge \lambda_n$ . Then there exists a nonnegative matrix  $A \in \mathcal{CS}_{\lambda_1}$ , with spectrum  $\Lambda$  and prescribed elementary divisors  $(\lambda - \lambda_1), (\lambda - \lambda_2)^{n_2}, \ldots, (\lambda - \lambda_k)^{n_k}$ , if and only if  $\lambda_1 + \lambda_2 + \cdots + \lambda_n \ge 0$ .

*Proof.* Let  $D = diag\{\lambda_1, \lambda_2, \dots, \lambda_n\}$  and let  $C = \sum_{i \in K} E_{i,i+1}$  with

 $K \subset \{2, \ldots, n-1\}$ , in such a way that D + C be the prescribed *JCF*. Let S the matrix in (3.2). Then  $SCS^{-1}$  has the form (3.3) and

$$B = S(D + \lambda_2 C)S^{-1} = \begin{bmatrix} \lambda_1 & 0 & & & \\ \vdots & \ddots & \ddots & \\ \lambda_1 - \lambda_j - \lambda_2 & \lambda_j & \lambda_2 & & \\ \lambda_1 - \lambda_j & & \lambda_j & \ddots & \\ \lambda_1 - \lambda_{j+1} - \lambda_2 & & \lambda_{j+1} & \lambda_2 & \\ \vdots & & & \ddots & \ddots & \\ \lambda_1 - \lambda_n & & & & \lambda_n \end{bmatrix}$$



is a matrix in  $\mathcal{CS}_{\lambda_1}$  with spectrum  $\Lambda$ . Observe that the matrices  $D + \lambda_2 C$  and D + C are diagonally similar (with  $diag\{1, \lambda_2, \lambda_2^2, \ldots, \lambda_2^{n-1}\}$ ). Then the *JCF* of *B* is D + C. Now let

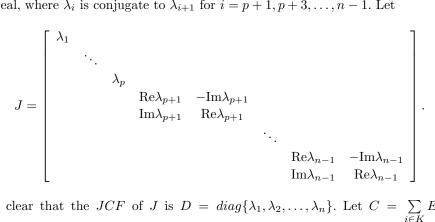
$$\mathbf{q}^T = (\sum_{i=2}^n \lambda_i, -\lambda_2, -\lambda_3, \dots, -\lambda_n).$$

Then from a result of Brauer [2], the matrix  $A = B + \mathbf{eq}^T$ ,  $A \in \mathcal{CS}_{\lambda_1}$ , is nonnegative with spectrum  $\Lambda = \{\lambda_1, \ldots, \lambda_n\}$ ,  $\lambda_i < 0$ ,  $i = 2, \ldots, n$ , if and only if  $\lambda_1 + \cdots + \lambda_n \ge 0$ (see [14] for a proof of this fact) and from Lemma 3.1 it has the prescribed elementary divisors  $(\lambda - \lambda_1), (\lambda - \lambda_2)^{n_2}, \ldots, (\lambda - \lambda_k)^{n_k}$ .  $\square$ 

In ([1], Theorem 3.3) the authors give a complex version of the Suleimanova-Perfect result: if  $\{\lambda_2, \ldots, \lambda_n\} \subset \{z \in \mathbb{C} : \operatorname{Re} z \leq 0, |\operatorname{Re} z| \geq |\operatorname{Im} z|\}$ , then  $\Lambda = \{\lambda_1, \lambda_2, \ldots, \lambda_n\}$  is the spectrum of an  $n \times n$  nonnegative matrix  $A \in \mathcal{CS}_{\lambda_1}$  if and only if  $\lambda_1 + \lambda_2 + \cdots + \lambda_n \geq 0$ . This result allows us to extend Theorem 3.3 to a complex spectrum as follows.

THEOREM 3.4. Let  $\Lambda = \{\lambda_1, \lambda_2, \ldots, \lambda_n\}$  be a list of complex numbers satisfying  $Re\lambda_i < 0$  and  $|Re\lambda_i| \ge |Im\lambda_i|$  for  $i = 2, \ldots, n$ . Then if  $\lambda_1 + \cdots + \lambda_n > 0$ , there exists a nonnegative matrix  $A \in CS_{\lambda_1}$ , with spectrum  $\Lambda$  and with prescribed elementary divisors  $(\lambda - \lambda_1), (\lambda - \lambda_2)^{n_2}, \ldots, (\lambda - \lambda_k)^{n_k}$ .

*Proof.* Let  $\lambda_1 > 0 > \lambda_2 \ge \cdots \ge \lambda_p$  be real and let  $\lambda_{p+1}, \ldots, \lambda_n$  be complex nonreal, where  $\lambda_i$  is conjugate to  $\lambda_{i+1}$  for  $i = p + 1, p + 3, \ldots, n - 1$ . Let



It is clear that the *JCF* of *J* is  $D = diag\{\lambda_1, \lambda_2, \ldots, \lambda_n\}$ . Let  $C = \sum_{i \in K} E_{i,i+1}$ ,  $K = \{2, \ldots, n-2\}$  such that D + C is the prescribed *JCF*. Let *S* the matrix in (3.2). Then  $SCS^{-1}$  has the form (3.3) and by (2.2)  $B = S(J + \epsilon C)S^{-1}$  is a matrix in  $\mathcal{CS}_{\lambda_1}$ with spectrum  $\Lambda$  and, by similarity, with the prescribed *JCF*. Now, let

$$\mathbf{q}^{T} = \left(\sum_{i=2}^{n} \lambda_{i}, -\lambda_{2}, \dots, -\lambda_{p}, -\operatorname{Re}\lambda_{p+1}, \dots, -\operatorname{Re}\lambda_{n-1}\right)$$



and  $A = B + \mathbf{eq}^T$ . Since  $|\operatorname{Re}\lambda_i| \ge |\operatorname{Im}\lambda_i|$ , the entries in columns 2, 3, ..., *n* of *A* are all nonnegative. In the first column, the entries in positions (i, 1),  $i = 2, \ldots p$ , are of the form  $\lambda_1 - \lambda_i + \sum_{i=2}^n \lambda_i$  or they are of the form  $\lambda_1 - \lambda_i + \sum_{i=2}^n \lambda_i - \epsilon$ . For the positions  $(i, 1), i = p + 1, \ldots, n$ , the entries are of the form  $\lambda_1 - \operatorname{Re}\lambda_{p+j} - \operatorname{Im}\lambda_{p+j} + \sum_{i=2}^n \lambda_i$  or of the form  $\lambda_1 - \operatorname{Re}\lambda_{p+j} - \operatorname{Im}\lambda_{p+j} + \sum_{i=2}^n \lambda_i - \epsilon$ . Since  $\lambda_1 + \sum_{i=2}^n \lambda_i > 0, -\lambda_i > 0$ ,  $i = 2, \ldots, p$ , and  $-\operatorname{Re}\lambda_{p+j} - \operatorname{Im}\lambda_{p+j} \ge 0, j = 1, \ldots, n-p$ , then the entries on the first column of *A* are all nonnegative for  $0 < \epsilon \le \min\{-\lambda_2, \lambda_1 + \sum_{i=2}^n \lambda_i\}$ . Thus,  $A \in \mathcal{CS}_{\lambda_1}$  is nonnegative with spectrum  $\Lambda$  and by Lemma 3.1 it has the prescribed elementary divisors.  $\Box$ 

COROLLARY 3.5. Let  $\Lambda = \{\lambda_1, \ldots, \lambda_n\}$  with

$$\lambda_1 > \lambda_2 \ge \dots \ge \lambda_p \ge 0 > \lambda_{p+1} \ge \dots \ge \lambda_n, \quad 2 \le p < n.$$

If

$$\lambda_1 \ge \lambda_2 - \sum_{j=p+1}^n \lambda_j,\tag{3.5}$$

when the nonnegative numbers  $\lambda_2, \ldots, \lambda_p$  are all distinct or if

$$\lambda_1 > \lambda_2 - \sum_{j=p+1}^n \lambda_j, \tag{3.6}$$

when some of the numbers  $\lambda_2, \dots, \lambda_p$  has algebraic multiplicity m > 1, then there exists a nonnegative matrix  $A \in CS_{\lambda_1}$ , with spectrum  $\Lambda$  and prescribed elementary divisors  $(\lambda - \lambda_1), (\lambda - \lambda_2)^{n_2}, \dots, (\lambda - \lambda_k)^{n_k}, n_2 + \dots + n_k = n - 1$ .

Proof. Let  $D = diag\{\lambda_1, \lambda_2, \dots, \lambda_n\}$  and let S be the matrix in (3.2). Then by (2.2)  $SDS^{-1} \in \mathcal{CS}_{\lambda_1}$ . Let  $C = \sum_{i \in K} E_{i,i+1}$ , with  $K \subset \{2, \dots, n-1\}$  in such a way that  $B = SDS^{-1} + \epsilon SCS^{-1}$ ,  $\epsilon > 0$ , has  $JCF \quad D + C \quad (D + \epsilon C \text{ is similar to } D + C)$ . Let

$$q^{T} = \left(\sum_{j=p+1}^{n} \lambda_{i}, \underbrace{0, \dots, 0}_{p-1 \text{ times}}, -\lambda_{p+1}, \dots, -\lambda_{n}\right).$$

Then the entries of  $A = B + \mathbf{eq}^T$  in columns  $2, 3, \dots, n$  are all nonnegative. The entries in positions  $(i, 1), 2 \le i \le n$  on the first column of A are of the form

$$\lambda_1 - \lambda_i + \sum_{j=p+1}^n \lambda_j, \quad i \in \{2, \dots, n\},$$
(3.7)

or they are of the form

$$\lambda_1 - \lambda_i - \epsilon + \sum_{j=p+1}^n \lambda_j, \quad i \in \{2, \dots, n\}.$$
(3.8)



Since

$$\lambda_1 - \lambda_i + \sum_{j=p+1}^n \lambda_j \ge \lambda_1 - \lambda_2 + \sum_{j=p+1}^n \lambda_j \ge 0, \quad i = 2, \dots, n,$$
(3.9)

the second inequality being true from (3.5) and (3.6), then the entries of the form (3.7) are all nonnegative (whether the nonnegative numbers  $\lambda_2, \ldots, \lambda_p$  are distinct or not). However, for the entries of the form (3.8) we have two cases:

i) If  $\lambda_2, \ldots, \lambda_p$  are all distinct, then the entries of the form (3.8) appear only in certain positions (i, 1), for  $i = p + 1, \ldots, n$ . Since

$$\lambda_1 - \lambda_i + \sum_{j=p+1}^n \lambda_j \ge \lambda_1 - \lambda_{p+1} + \sum_{j=p+1}^n \lambda_j > \lambda_1 - \lambda_2 + \sum_{j=p+1}^n \lambda_j,$$

i = p + 1, ..., n, then from condition (3.5), we can choose  $0 < \epsilon < \lambda_1 - \lambda_{p+1} + \sum_{j=p+1}^n \lambda_j$  such that  $\lambda_1 - \lambda_i + \sum_{j=p+1}^n \lambda_j - \epsilon \ge 0$ .

*ii*) If some of the nonnegative eigenvalues  $\lambda_2, \ldots, \lambda_p$  are equal, then the entries of the form (3.8) can also appear in positions (i, 1), for  $i = 2, \ldots, p$ . In this case from (3.9)

$$\lambda_1 - \lambda_i + \sum_{j=p+1}^n \lambda_j - \epsilon \ge \lambda_1 - \lambda_2 + \sum_{j=p+1}^n \lambda_j - \epsilon \ge 0$$

for

$$0 < \epsilon \le \lambda_1 - \lambda_2 + \sum_{j=p+1}^n \lambda_j,$$

which is condition (3.6). Thus,  $A \in CS_{\lambda_1}$  is nonnegative with spectrum  $\Lambda$  and the prescribed elementary divisors.  $\Box$ 

Observe that if  $\lambda_1 \geq -\sum_{j=p+1}^n \lambda_j$ , then we may split out the set  $\Lambda = \{\lambda_1, \ldots, \lambda_n\}$ into  $\Lambda_1 = \{\lambda_1, \lambda_{p+1}, \ldots, \lambda_n\}$  and  $\Lambda_2 = \{\lambda_2, \ldots, \lambda_p\}$ , and to use Theorem 3.2 and Theorem 3.3 to obtain a nonnegative matrix  $A = A_1 \oplus A_2$  with spectrum  $\Lambda = \Lambda_1 \cup \Lambda_2$ and prescribed elementary divisors

 $(\lambda - \lambda_1), (\lambda - \lambda_2)^{n_2}, \dots, (\lambda - \lambda_k)^{n_k}, n_2 + \dots + n_k = n - 1$ , where  $A_1$  and  $A_2$  are nonnegative with spectrum  $\Lambda_1$  and  $\Lambda_2$  and  $J(A) = J(A_1) \oplus J(A_2)$ .

The following result gives a sufficient condition for the existence of a positive matrix with arbitrarily prescribed real elementary divisors  $(\lambda - \lambda_1), (\lambda - \lambda_2)^{n_2}, \ldots, (\lambda - \lambda_k)^{n_k}, n_2 + \cdots + n_k = n-1$ . The proof is constructive, in the sense that we can always construct a solution matrix, and it combines results related to the *nonnegative inverse* 



eigenvalue problem ([3], [15]) with results in Section 2. The result in [15] is recent and gives a symmetric version of a perturbation result, due to Rado and presented by Perfect in ([13], 1955). This symmetric version is used in [15] to obtain a new, more general, sufficient condition for the existence of a symmetric nonnegative matrix with prescribed spectrum. The result in [3] establishes that if  $\Lambda = \{\lambda_1, \ldots, \lambda_n\}$  is the spectrum of a symmetric nonnegative matrix, with  $\lambda_1$  being the Perron root, and  $\epsilon > 0$ , then  $\Lambda_{\epsilon} = \{\lambda_1 + \epsilon, \lambda_2, \ldots, \lambda_n\}$  is the spectrum of a symmetric positive matrix.

THEOREM 3.6. Let  $\Lambda = \{\lambda_1, \ldots, \lambda_n\}$  be a list of real numbers and let  $\Lambda_{\delta} = \{\lambda_1 - \delta, \lambda_2, \ldots, \lambda_n\}$  with  $\lambda_1 - \delta \ge \lambda_2 \ge \cdots \ge \lambda_n$  and  $\delta > 0$ . Suppose there exists:

i) A partition of  $\Lambda_{\delta}$  into r nonempty sublists

$$\Lambda_k = \{\lambda_{k1}, \lambda_{k2}, \dots, \lambda_{kp_k}\}, \ \lambda_{11} = \lambda_1 - \delta, \ \lambda_{k1} \ge 0; \ \lambda_{k1} \ge \dots \ge \lambda_{kp_k},$$

such that  $\Gamma_k = \{\omega_k, \lambda_{k2}, \dots, \lambda_{kp_k}\}$ , where  $0 \leq \omega_k \leq \lambda_1 - \delta$ ,  $k = 1, \dots, r$ , is the spectrum of a  $p_k \times p_k$  symmetric nonnegative matrix, and

ii) An  $r \times r$  symmetric nonnegative matrix with eigenvalues  $\lambda_{11}, \lambda_{21}, \ldots, \lambda_{r1}$  and diagonal entries  $\omega_1, \omega_2, \ldots, \omega_r$ .

Then there exists an  $n \times n$  positive matrix A with spectrum  $\Lambda$  and with any legitimately prescribed elementary divisors  $(\lambda - \lambda_1), (\lambda - \lambda_2)^{n_2}, \dots, (\lambda - \lambda_k)^{n_k}, n_2 + \dots + n_k = n-1$ .

Proof. The list  $\Lambda_{\delta}$  satisfies conditions of Theorem 3.1 in [15]. Then there exists an  $n \times n$  symmetric nonnegative matrix  $B_{\delta}$  with spectrum  $\Lambda_{\delta}$  ( $B_{\delta}$  can be computed from Theorem 3.1 in [15]). From the result in [3, Theorem 3.2] there exists an  $n \times n$ symmetric positive matrix B with spectrum  $\Lambda$  (B can be computed from Theorem 3.2 in [3]). Since B is symmetric, it is diagonalizable and then, from the result in [9, Theorem 1] there exists an  $n \times n$  positive matrix A with spectrum  $\Lambda$  and with the prescribed elementary divisors  $(\lambda - \lambda_1), (\lambda - \lambda_2)^{n_2}, \dots, (\lambda - \lambda_k)^{n_k}, n_2 + \dots + n_k = n-1. \square$ 

## 4. Examples.

EXAMPLE 4.1. Let  $\Lambda = \{9, -2, -2, -1 + i, -1 + i, -1 - i, -1 - i\}$  be given. We shall construct a nonnegative matrix with spectrum  $\Lambda$  and elementary divisors  $(\lambda - 9)$ ,  $(\lambda + 2)^2$ ,  $(\lambda + 1 - i)^2$ ,  $(\lambda + 1 + i)^2$ . For S defined in (3.2),  $C = E_{23} + E_{56}$  and  $\epsilon = 1$ ,



we have

$$B' = S \begin{bmatrix} 9 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & -2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & -2 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 & -1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & -1 & -1 \\ 0 & 0 & 0 & 0 & 0 & 0 & -1 & -1 \end{bmatrix} S^{-1}$$
$$= \begin{bmatrix} 9 & 0 & 0 & 0 & 0 & 0 & 0 \\ 11 & -2 & 0 & 0 & 0 & 0 & 0 \\ 11 & 0 & -2 & 0 & 0 & 0 & 0 \\ 11 & 0 & 0 & -1 & -1 & 0 & 0 \\ 11 & 0 & 0 & -1 & -1 & 0 & 0 \\ 9 & 0 & 0 & 1 & -1 & 0 & 0 \\ 11 & 0 & 0 & 0 & 0 & -1 & -1 \\ 9 & 0 & 0 & 0 & 0 & 1 & -1 \end{bmatrix}$$

and

$$B = B' + SCS^{-1} = \begin{bmatrix} 9 & 0 & 0 & 0 & 0 & 0 & 0 \\ 10 & -2 & 1 & 0 & 0 & 0 & 0 \\ 11 & 0 & -2 & 0 & 0 & 0 & 0 \\ 11 & 0 & 0 & -1 & -1 & 0 & 0 \\ 8 & 0 & 0 & 1 & -1 & 1 & 0 \\ 11 & 0 & 0 & 0 & 0 & -1 & -1 \\ 9 & 0 & 0 & 0 & 0 & 1 & -1 \end{bmatrix}.$$

Then

$$A = B + \mathbf{e}(-8, 2, 2, 1, 1, 1, 1) = \begin{pmatrix} 1 & 2 & 2 & 1 & 1 & 1 & 1 \\ 2 & 0 & 3 & 1 & 1 & 1 & 1 \\ 3 & 2 & 0 & 1 & 1 & 1 & 1 \\ 3 & 2 & 2 & 0 & 0 & 1 & 1 \\ 0 & 2 & 2 & 2 & 0 & 2 & 1 \\ 3 & 2 & 2 & 1 & 1 & 0 & 0 \\ 1 & 2 & 2 & 1 & 1 & 2 & 0 \end{pmatrix}$$

has the prescribed elementary divisors. We may also obtain nonnegative matrices with any other legitimately prescribed elementary divisors.

EXAMPLE 4.2. Let  $(\lambda - 7.5)$ ,  $(\lambda - 5)$ ,  $(\lambda - 1)^2$ ,  $(\lambda + 4)^2$ ,  $(\lambda + 6)$  be given. Then, let  $\Lambda = \{7.5, 5, 1, 1, -4, -4, -6\}$  and  $\Gamma = \{7, 5, 1, 1, -4, -4, -6\}$ . In ([15], Theorem 3.1, Example 5.2) it was shown that  $\Gamma$  is the spectrum of the symmetric nonnegative



matrix

$$B' = \begin{pmatrix} 0 & 6 & \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} \\ 6 & 0 & \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} \\ \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & 0 & \frac{3+\sqrt{5}}{2} & \frac{3-\sqrt{5}}{2} & \frac{3-\sqrt{5}}{2} \\ \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{3+\sqrt{5}}{2} & 0 & \frac{3+\sqrt{5}}{2} & \frac{3-\sqrt{5}}{2} \\ \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{3-\sqrt{5}}{2} & \frac{3+\sqrt{5}}{2} & 0 & \frac{3+\sqrt{5}}{2} \\ \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{3-\sqrt{5}}{2} & \frac{3-\sqrt{5}}{2} & \frac{3+\sqrt{5}}{2} \\ \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{3-\sqrt{5}}{2} & \frac{3-\sqrt{5}}{2} & \frac{3+\sqrt{5}}{2} & 0 & \frac{3+\sqrt{5}}{2} \\ \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{3-\sqrt{5}}{2} & \frac{3-\sqrt{5}}{2} & \frac{3+\sqrt{5}}{2} & 0 & \frac{3+\sqrt{5}}{2} \\ \frac{\sqrt{10}}{10} & \frac{\sqrt{10}}{10} & \frac{3+\sqrt{5}}{2} & \frac{3-\sqrt{5}}{2} & \frac{3+\sqrt{5}}{2} & 0 \end{pmatrix}$$

Since B' is irreducible, then for any  $\delta > 0$ ,  $B = B' + \frac{\delta}{\mathbf{v}^T \mathbf{v}} \mathbf{v} \mathbf{v}^T$ , where  $\mathbf{v}$  is the Perron eigenvector of B', is a symmetric positive matrix with spectrum

 $\Lambda_{\delta} = \{7 + \delta, 5, 1, 1, -4, -4, -6\}$  and with any legitimately prescribed elementary divisors. In particular, for  $\delta = \frac{1}{2}$  we have

$$B = \begin{pmatrix} \frac{1}{8} & \frac{49}{8} & \frac{1}{8}\sqrt{10} & \frac{1}{20} & \frac{31+10\sqrt{5}}{20} & \frac{31-10\sqrt{5}}{20} & \frac{31+10\sqrt{5}}{20} & \frac{31-10\sqrt{5}}{20} & \frac{31+10\sqrt{5}}{20} & \frac{31+10\sqrt$$

and  $S^{-1}BS = diag\{7.5, 5, 1, 1, -4, -4, -6\}$ , where the columns of S are eigenvectors of B, is the *JCF* of B. If we take  $C = E_{3,4} + E_{5,6}$ , then the positive matrix  $A = B + \frac{1}{20}SCS^{-1} =$ 

$$= \begin{pmatrix} \frac{1}{8} & \frac{49}{8} & \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} \\ \frac{49}{8} & \frac{1}{8} & \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} \\ \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} & \frac{9+\sqrt{5}}{200} & \frac{309+101\sqrt{5}}{200} & \frac{157-49\sqrt{5}}{200} & \frac{309-101\sqrt{5}}{200} & \frac{309+97\sqrt{5}}{200} \\ \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} & \frac{311+99\sqrt{5}}{200} & \frac{11+\sqrt{5}}{200} & \frac{311+101\sqrt{5}}{200} & \frac{311-101\sqrt{5}}{200} & \frac{153-50\sqrt{5}}{100} \\ \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} & \frac{77-25\sqrt{5}}{50} & \frac{313+99\sqrt{5}}{200} & \frac{4-\sqrt{5}}{25} & \frac{154+51\sqrt{5}}{100} & \frac{313-99\sqrt{5}}{200} \\ \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} & \frac{315-99\sqrt{5}}{200} & \frac{305-103\sqrt{5}}{20} & \frac{31+10\sqrt{5}}{20} & \frac{1}{20} & \frac{155+51\sqrt{5}}{100} \\ \frac{1}{8}\sqrt{10} & \frac{1}{8}\sqrt{10} & \frac{307+99\sqrt{5}}{200} & \frac{156-49\sqrt{5}}{100} & \frac{307-101\sqrt{5}}{50} & \frac{78+25\sqrt{5}}{50} & \frac{3}{50} \end{pmatrix}$$

has the given elementary divisors  $(\lambda - 7.5), (\lambda - 5), (\lambda - 1)^2, (\lambda + 4)^2, (\lambda + 6)$ . We may also obtain nonnegative matrices with the following elementary divisors:

$$\begin{split} &(\lambda-7.5), (\lambda-5), (\lambda-1), (\lambda-1), (\lambda+4), (\lambda+4), (\lambda+6)\\ &(\lambda-7.5), (\lambda-5), (\lambda-1)^2, (\lambda+4), (\lambda+4), (\lambda+6)\\ &(\lambda-7.5), (\lambda-5), (\lambda-1), (\lambda-1), (\lambda+4)^2, (\lambda+6). \end{split}$$



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